



Agenda

- UC Survey Objectives and Methods
- Key Messages
- University of California Overall Results: Norm Comparisons
- Sustainable Engagement and Retention at UC
- Written Comments
- Action Area Considerations and Next Steps

UC Survey Objectives and Methods

Survey Objectives

Target Population

Employee Sample

Survey Content

- Understand the current state of engagement of the UC workforce system-wide and how it differs by key organizational segments and demographics
- Determine what drives engagement at UC specifically
- Surface strengths to build on and opportunities to address; create a shortlist of actions to address issues and improve employee engagement across the system
- Involve and communicate with leaders and employees throughout the process

Non-represented UC staff with at least 1 year of service were invited to take the survey from **May 31**st **to July 12**th, **2012**. All UC locations participated except Hastings, ASUCLA and the Medical Centers.

A random stratified sample was selected based on campus. We over-sampled to account for the roles of employees within the each campus. The personnel category was used to increase the sample (e.g., professional and support staff, management).

32 opinion items: Engagement (8); Career Development (4); Communication (2); Image/Brand (1); Organizational Change (2); Performance Management (3); Supervision (11); Working Relationships (1)

1 open-ended comment opportunity: "What is the University of California's most significant unrealized opportunity? And how can we capitalize on it?" (58% comment response rate)

Survey Participation Breakdown by Location

Administration Dates: May 31st - July 12th

Campus	Outgoing	Returned	Return Rate
UC Overall	18,789	8,096	43%
Berkeley	1,861	746	40%
San Francisco	1,789	686	38%
Davis	2,798	1,168	42%
Los Angeles	2,383	834	35%
Riverside	1,188	559	47%
San Diego	2,246	959	43%
Santa Cruz	1,249	624	50%
Santa Barbara	1,399	682	49%
Irvine	1,642	800	49%
Merced	405	180	44%
Lawrence Berkeley National Laboratory	1,067	485	45%
Division of Agriculture and Natural Resources	26	11	42%
Office of the President	735	362	49%

Key Messages — An Opportunity to Engage the Workforce

- Employee favorability overall is moderate, most categories falling below norms
- There are positive engagement signs e.g., 84% are motivated to go beyond their job responsibilities and 74% would recommend UC as a good place to work
- Supervisor relationships and schedule flexibility are also perceived positively
- Yet there is a clear opportunity to further engage employees, as only about 2/3rds are generally engaged — this is below the national average and university benchmark
- If we break engagement down, 37% of employees are fully plugged in at UC, 21% are engaged but report being at risk for leaving, 22% are uninspired but not planning to leave, and 20% are fully disengaged
- Aspects of work life that matter most in engaging employees at UC offer opportunities:
 - Developing inspiring and equipping employees on a fulfilling career path at UC
 - Involving by listening and creating two-way dialogues
 - Recognizing performance informally and by matching pay with performance

Retention risk:

- Some employees (21%) are engaged but considering leaving there should be a focus on retaining these employees; turnover risk is notably higher than the U.S. norm and among other universities – more acute in specific populations
- In addition to development, supervisor relationships are important in retaining talent at UC

Key Messages — Strengths and Opportunities

Strengths on which to build:

- Supervisor relationships most employees feel favorable about their relationship with their supervisor. Specifically, most feel treated with respect and are clear on departmental goals. Most see their supervisor as listening to different point of views, encouraging new ideas, and supporting them in participating in training and development opportunities
- Work-life balance 84% of UC employees report that their schedule affords them the flexibility they need to meet their personal/family responsibilities
- 'Natural' development 73% of employees do feel they have opportunities for personal growth and development at UC (most likely by nature of the job)

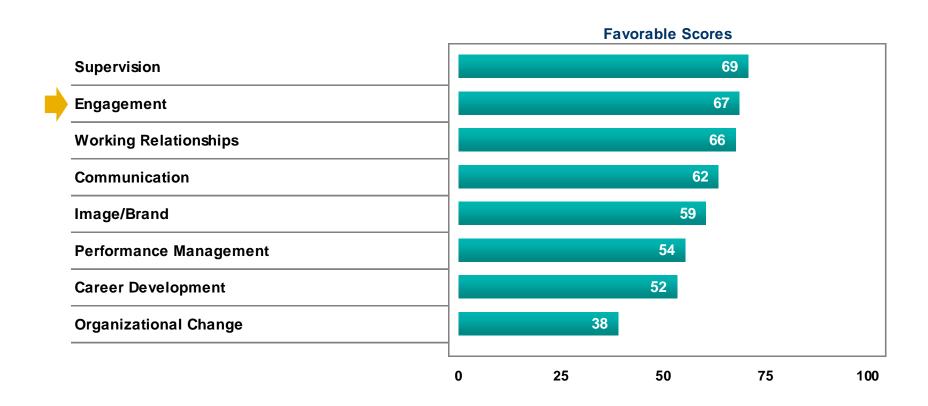
Opportunities to address:

- UC's support of development just 50% of employees say that UC provides them with the information and resources they need to manage their careers, only 59% say that their supervisors are directly involved in developing them, only 30% feel their UC campus/location is planning for management succession well, and only 55% are confident that they can achieve their personal/career objectives at UC. #1 in written comments and in driving engagement + retention
- Involving by communicating just 61% of employees are satisfied with their involvement, only 58% say they can share their honest views, and only 67% say UC does an excellent job of keeping them informed
- Managing performance only 24% feel UC matches pay and performance well. Performance management is #3 in written comments and clear driver of engagement



UC Overall Results: Norm Comparisons

UC Category Results



Note: The Image/Brand and Working Relationships categories contain only one item.

Variations by Role

A. UC OVERALL [W] (N=8,096) D. MANAGER 2012 (N=1,607) B. INDIVIDUAL CONTRIBUTOR 2012 (N=4,089) E. DIRECTOR AND ABOVE 2012 (N=851) C. SUPERVISOR 2012 (N=1,514) Values displayed are based on Total Favorable Colored Cells indicate a statistically significant difference Category **Career Development** 52 7 -4 3 4 1 Communication 62 -3 7 2 1 3 **Engagement** 67 -1 3 1 1 2 Image/Brand 59 -3 1 1 6 **Organizational Change** 38 -1 0 2 1 54 -2 6 **Performance Management** 2 5 **Supervision** -1 69 2 2 2 **Working Relationships** 66 -3 0 11

Five Most and Least Favorable Items

Top 5 Favorable Scores

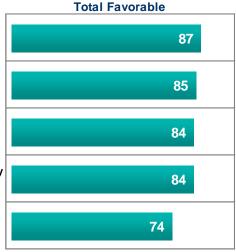
SUPERVISION: I have a clear understanding of how my job contributes to the departmental objectives.

SUPERVISION: My supervisor treats me with respect.

ENGAGEMENT: I feel motivated to go beyond my formal job responsibilities to get the job done.

ENGAGEMENT: My work schedule allows sufficient flexibility to meet my personal/family needs.

ENGAGEMENT: I would recommend UC as a good place to work.



Neutral Midpoint	Total Unfavor- able
5	8
5	10
5	11
4	12
13	13
5 5 4	10 11 12

Bottom 5 Favorable Scores

PERFORMANCE MANAGEMENT: I feel UC does a good job matching pay to performance.

CAREER DEVELOPMENT: My UC campus/location is doing a good job of planning for management succession.

ORGANIZATIONAL CHANGE: Generally, recent major organizational changes at UC have been: Well planned

ORGANIZATIONAL CHANGE: Generally, recent major organizational changes at UC have been: Well communicated

ENGAGEMENT: There is usually sufficient staff in my department to handle the workload.

Total Favorable

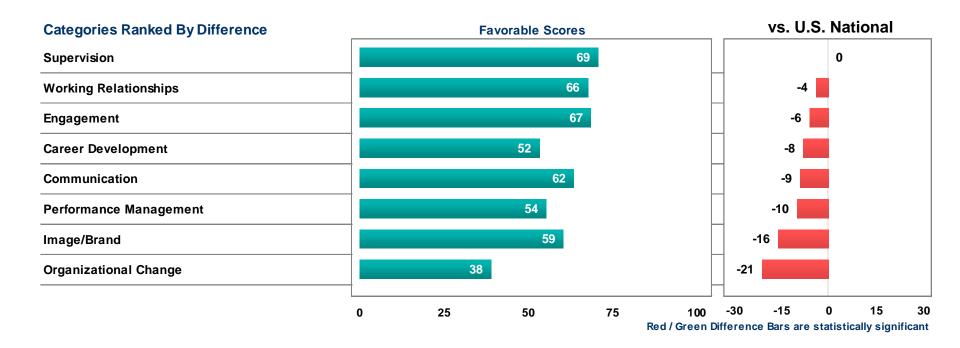
24
30
33
42
43

 Neutral Midpoint	Total Unfavor- able
11	65
31	39
26	40
20	38
8	49

Towers Watson Benchmarks for UC

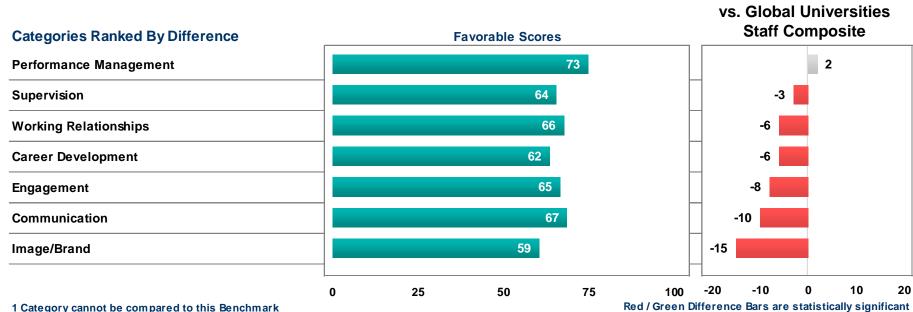
Towers Watson U.S. National	The U.S. National Norm provides a broad comparison to a composite of U.S. based organizations. # associates represented: 1,254,281
Norm	Sample companies: Amazon, Amgen, AT&T, Coca-Cola, Del Monte Foods, DirecTV, General Mills, Kaiser, McKesson, REI, Sara Lee, Verizon
Towara Mataon	The Global Universities Staff Composite provides a comparison to a composite of non-faculty staff employees in a cross-section of universities.
Towers Watson Universities Staff	# associates represented: 31,851
Composite	Sample universities: University of Notre Dame, Georgetown University, Loyola University Chicago

Category Results: UC Overall vs. U.S. National Norm



Note: The Image/Brand and Working Relationships categories contain only one item.

Category Results: UC Overall vs. Universities Staff Composite



Note: The Image/Brand and Working Relationships categories contain only one item.

Greatest Positive Variations for UC vs. U.S. National Norm

SUPERVISION: My supervisor helps me make time to participate in training and development activities.

SUPERVISION: Please indicate the extent to which you agree with the following statements about your supervisor: Effectively deals with poor performers

SUPERVISION: Please indicate the extent to which you agree with the following statements about your supervisor: Listens carefully to different points of view before coming to conclusions

ENGAGEMENT: My work schedule allows sufficient flexibility to meet my personal/family needs.

CAREER DEVELOPMENT: I believe I have the opportunity for personal development and growth at UC.

SUPERVISION: Please indicate the extent to which you agree with the following statements about your supervisor: Encourages new ideas and new ways of doing things

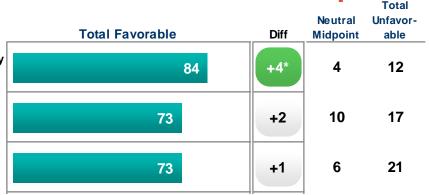
Total Favorable	Diff	Neutral Midpoint	Unfavor- able
68	+15*	19	12
46	+12*	21	33
70	+12*	9	21
84	+7*	4	12
73	+5*	6	21
73	+5*	9	17

Positive Variations for UC vs. Universities Staff Composite

ENGAGEMENT: My work schedule allows sufficient flexibility to meet my personal/family needs.

PERFORMANCE MANAGEMENT: I think my performance on the job is evaluated fairly.

CAREER DEVELOPMENT: I believe I have the opportunity for personal development and growth at UC.



Total

Greatest Negative Variations for UC vs. U.S. National Norm

PERFORMANCE MANAGEMENT: I feel UC does a good job matching pay to performance.

ORGANIZATIONAL CHANGE: Generally, recent major organizational changes at UC have been: Well communicated

ORGANIZATIONAL CHANGE: Generally, recent major organizational changes at UC have been: Well planned

COMMUNICATION: I feel able to openly and honestly communicate my views upwards.

IMAGE/BRAND: UC is highly regarded by its employees.

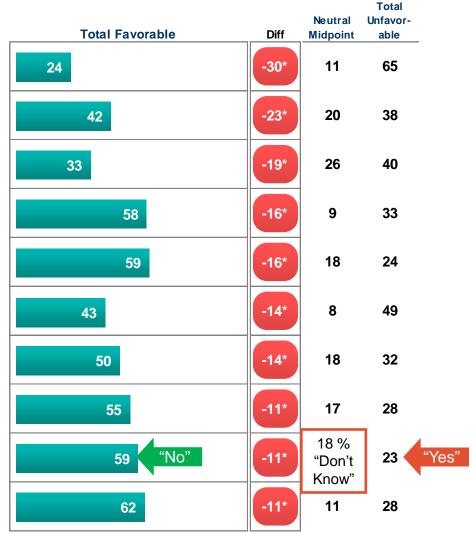
ENGAGEMENT: There is usually sufficient staff in my department to handle the workload.

CAREER DEVELOPMENT: UC provides people with the necessary information and resources to manage their own careers effectively.

CAREER DEVELOPMENT: I am confident I can achieve my personal career objectives with UC.

ENGAGEMENT: At the present time, are you seriously considering leaving UC?

SUPERVISION: My supervisor does a good job of building teamwork.



Greatest Negative Variations for UC vs. Univ. Staff Composite

IMAGE/BRAND: UC is highly regarded by its employees.

ENGAGEMENT: There is usually sufficient staff in my department to handle the workload.

CAREER DEVELOPMENT: UC provides people with the necessary information and resources to manage their own careers effectively.

ENGAGEMENT: At the present time, are you seriously considering leaving UC?

ENGAGEMENT: I would recommend UC as a good place to work.

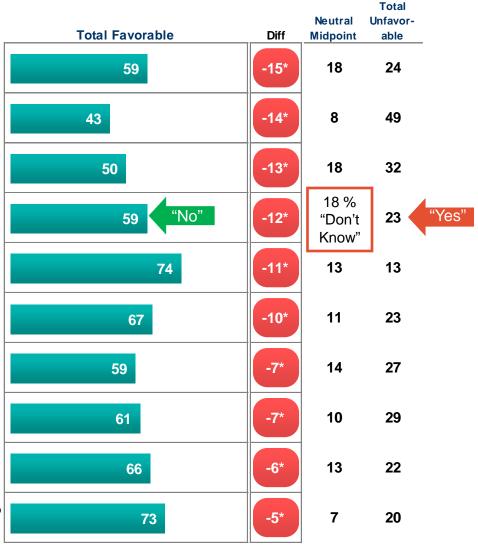
COMMUNICATION: UC does an excellent job of keeping employees informed about matters affecting us.

SUPERVISION: My supervisor develops people's abilities.

ENGAGEMENT: I am satisfied with my involvement in decisions that affect my work.

WORKING RELATIONSHIPS: There is good cooperation between my department and other departments at my campus/location.

ENGAGEMENT: I have the equipment/tools/resources I need to do my job effectively.





Sustainable Engagement at UC

Sustainably Engaging UC's Workforce

- Sustainable Engagement at UC is...
 - The intensity of employees' connection to UC, marked by a commitment to UC and inspiration to do one's best work (being engaged) in environments that support productivity (being enabled) and maintain personal well-being (feeling energized)

Traditionally Engaged

- I feel motivated to go beyond my formal job responsibilities to get the job done.
- UC inspires me to do my best work.
- I would recommend UC as a good place to work.

Enabled

- I am satisfied with my involvement in decisions that affect my work.
- I have the equipment /tools /resources I need to do my job effectively.

Energized

- There is usually sufficient staff in my department to handle the workload.
- My work schedule allows sufficient flexibility to meet my personal/family needs.

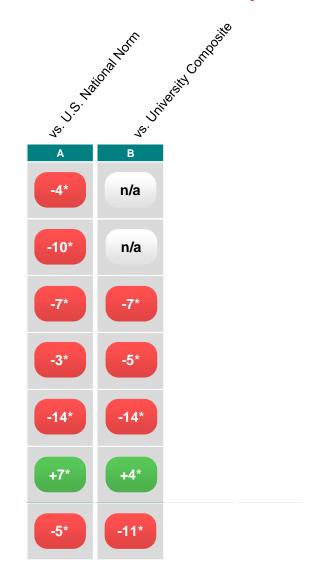
The truly engaged UC employee wants to stay with the organization, so a retention item is also included in the index: At the present time, are you seriously considering leaving UC?

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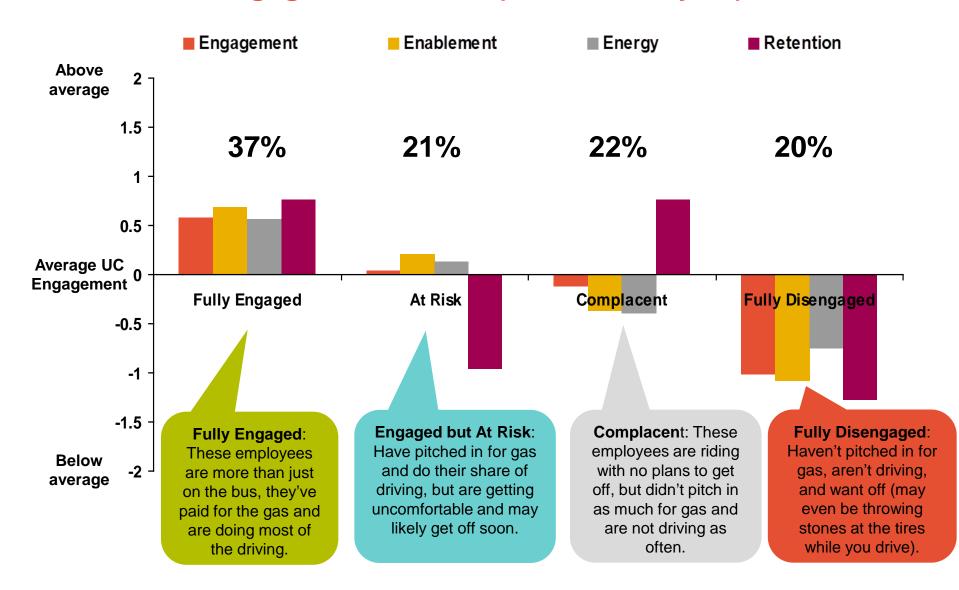
UC Engagement Items

Comparison to U.S. National Norm and Global Universities Staff Composite

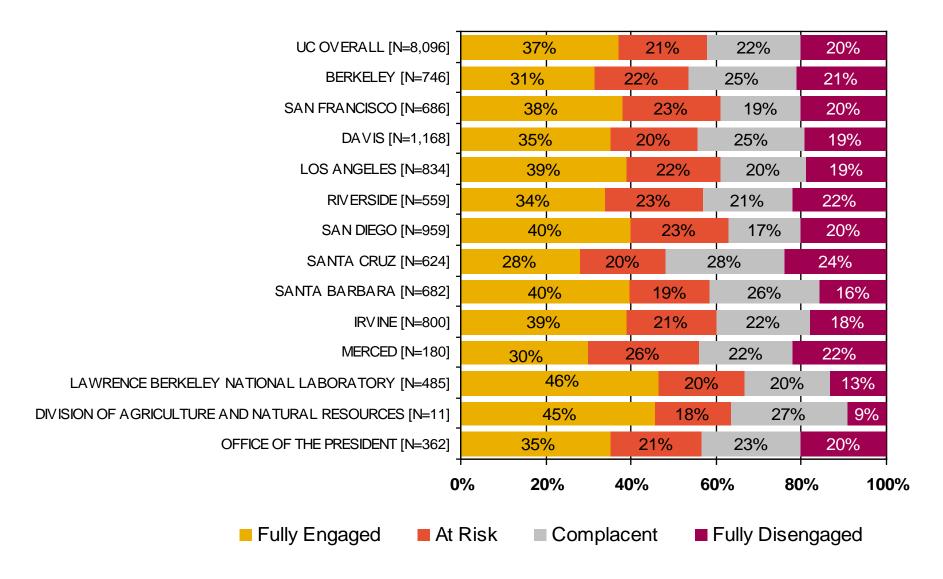
	Tota	l Disa	gree
Total A	Agree		
3. I feel motivated to go beyond my formal job responsibilities to get the job done.	84	5	11
11. UC inspires me to do my best work.	62	14	23
15. I am satisfied with my involvement in decisions that affect my work.	61	10	29
19. I have the equipment/tools/resources I need to do my job effectively.	73	7	20
21. There is usually sufficient staff in my department to handle the workload.	43	8	49
23. My work schedule allows sufficient flexibility to meet my personal/family needs.	84	4	12
25. I would recommend UC as a good place to work.	74	13	13



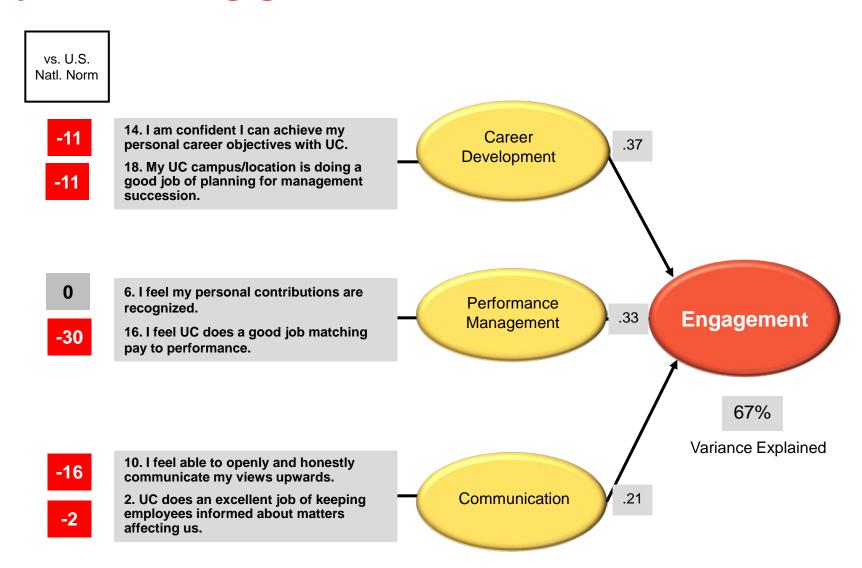
Sustainable Engagement Profile (Cluster Analysis)



Sustainable Engagement Profile by Location



Key Drivers of Engagement at UC

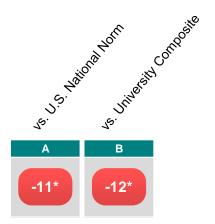


Red highlighting indicates a statistically significant negative variance from benchmark

Retention Item

Comparison to U.S. National Norm and Global Universities Staff Composite

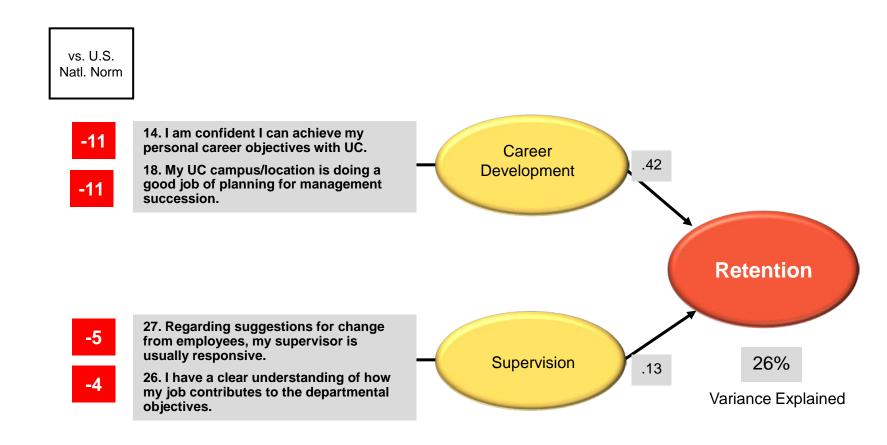




Observations on retention risk at UC:

- Locations: Certain campuses have notably higher risk than others
- Pay Ranges: \$150k-\$200k and \$200k+ (more definitive 'yes' responses)
- Tenure: 3-5 & 5-10 ('yes' and uncertainty); 25-30 & 30+ (more definitive 'yes')
- Gender: Males at greater risk
- Role and Ethnicity: No notable differences

Key Drivers of Retention at UC



Red or green highlighting indicates statistical significance

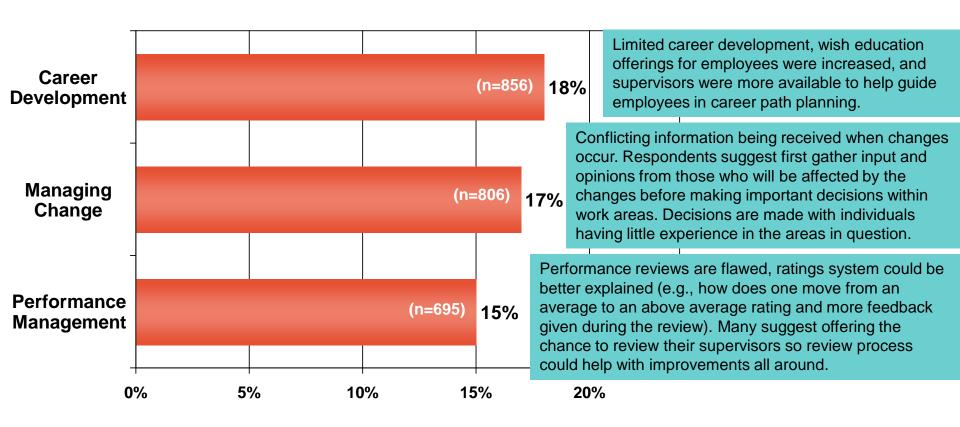


Written Comments

Comment Summary

What is the University of California's most significant unrealized opportunity? And how can we capitalize on it?"

Number of comments: 4,729 (58% comment response rate)





Action Area Considerations and Next Steps

Action Area Considerations

- As you review your campus results, please consider these areas for focus, as they
 are consistently important to staff and lower than desired across the system:
- UC's support of staff development
- 2. Involving & communicating
- Managing performance (esp. recognition)

Notable mention:

 We see management of change as a pain point for many staff members — just 33% of UC employees feel changes have been well planned and only 42% feel they have been well communicated. It is also #2 in written comments. We believe focusing on the above, especially <u>involving & communicating</u>, will help to address such concerns.

Next Steps

• Over to Joe Epperson...



Appendix

Survey Sample

Administration Dates: May 31st - July 12th

Campus	Total Population	Outgoing Sample	Returned	Return Rate	Precision
UC Overall	31,755	18,789	8,096	43%	0.9%
Berkeley	4,074	1,861	746	40%	3.2%
San Francisco	3,492	1,789	686	38%	3.4%
Davis	3,882	2,798	1,168	42%	2.4%
Los Angeles	6,507	2,383	834	35%	3.2%
Riverside	1,206	1,188	559	47%	3.0%
San Diego	3,948	2,246	959	43%	2.8%
Santa Cruz	1,356	1,249	624	50%	2.9%
Santa Barbara	1,524	1,399	682	49%	2.8%
Irvine	2,376	1,642	800	49%	2.8%
Merced	413	405	180	44%	5.5%
Lawrence Berkeley National Laboratory	1,916	1,067	485	45%	3.8%
Division of Agriculture and Natural Resources	26	26	11	42%	22.9%
Office of the President	1,035	735	362	49%	4.2%

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Variations by Campus/Location — Part 1

A. UC OVERALL [W] (N=8,096)

B. BERKELEY 2012 (N=746)

C. SAN FRANCISCO 2012 (N=686)

D. DAVIS 2012 (N=1,168)

- E. LOS ANGELES 2012 (N=834)
- F. RIVERSIDE 2012 (N=559)
- G. SAN DIEGO 2012 (N=959)

D. D	AVIS 2012 (N=1,168)							
	Values displayed are based on Total Favorable		Colored	d Cells i	ndicate	a statist	ically sig	nificant
#	Category	Α	В	С	D	E	F	G
1	Career Development	52	-6	-1	0	1	1	5
2	Communication	62	-8	-1	-3	3	1	3
3	Engagement	67	-4	0	-1	2	-1	2
4	Image/Brand	59	-14	-2	-5	7	6	7
5	Organizational Change	38	-7	1	-4	5	6	3
6	Performance Management	54	-4	3	0	-1	0	2
7	Supervision	69	-1	2	1	-3	-2	2
8	Working Relationships	66	-1	-4	-2	-1	1	4

Variations by Campus/Location — Part 2

A. UC OVERALL [W] (N=8,096) B. SANTA CRUZ 2012 (N=624) C. SANTA BARBARA 2012 (N=682) D. IRVINE 2012 (N=800) E. MERCED 2012 (N=180) F. LAWRENCE BERKELEY NATIONAL LABORATORY 2012 (N=485) G. DIVISION OF AGRICULTURE AND NATURAL RESOURCES 2012 (N=11) H. OFFICE OF THE PRESIDENT 2012 (N=362)									
Values displayed are based on Total Favorable		Colored	Cells i	ndicate	a statist	ically sig	nificant	differen	се
# Category	Α	В	С	D	E	F	G	H	
1 Career Development	52	-6	2	1	-5	5	9	-5	
2 Communication	62	2	3	2	-4	4	15	-4	
3 Engagement	67	-6	1	1	-3	6	8	-2)
4 Image/Brand	59	-19	-1	2	2	13	23	-2	
5 Organizational Change	38	-3	2	4	6	-7	8	-4	
6 Performance Management	54	-1	-1	0	-6	7	12	-1	
7 Supervision	69	1	0	2	-6	1	8	-4	
8 Working Relationships	66	4	9	4	-6	-3	7	1	

Variations by Pay Range

B. < C. \$ D. \$ E. \$(JC OVERALL [W] (N=8,096) \$40K 2012 (N=206) \$40K < \$50K 2012 (N=1,105) 50K < \$60K 2012 (N=1,618) 60K < \$70K 2012 (N=1,302) 70K < \$80K 2012 (N=1,055)		H. \$90k I. \$100l J. \$110 K. \$150	(< \$90K; (< \$100K (< \$110k K < \$150 K < \$200 K OR M C	(2012 (N (2012 (N K 2012 (I K 2012 (I	=594) N=390) N=747) N=219)							
	Values displayed are based on Total Favorable		Colore	d Cells i	ndicate	a statist	ically sig	gnificant	differe	nce			
#	Category	Α	В	С	D	E	F	G	<u> </u>	1	J	K	L
1	Career Development	52	-2	-2	-3	-2	-1	2	2	2	5	11	12
2	Communication	62	0	-2	-5	-1	0	4	2	6	5	8	4
3	Engagement	67	-2	-1	-2	-1	-1	1	3	1	1	5	5
4	Image/Brand	59	0	-2	-7	-2	-3	3	1	3	7	15	8
5	Organizational Change	38	2	1	-1	-1	0	4	-1	-1	-3	-3	-4
6	Performance Management	54	-5	-4	-5	-2	0	4	4	6	6	13	8
7	Supervision	69	-2	-1	-1	1	0	1	2	1	1	4	2
8	Working Relationships	66	-8	-2	0	1	-2	2	0	3	7	14	11

Variations by Years of Service

A. UC OVERALL [W] (N=8,096)

B. 1 YR < 3 YRS 2012 (N=964)

C. 3 YRS < 5 YRS 2012 (N=1,092)

D. 5 YRS < 10 YRS 2012 (N=1,906)

E 10 YRS < 15 YRS 2012 (N=1,555)

F. 15 YRS < 20 YRS 2012 (N=942)

G. 20 YRS < 25 YRS 2012 (N=789)

H. 25 YRS < 30 YRS 2012 (N=521)

I. 30 YRS OR MORE 2012 (N=327)

	Values displayed are based on Total Favorable	Values displayed are based on Total Favorable Colored Cells indicate a statistically significant difference								
#	Category	Α	В	С	D	Е	F	G	H	- 1
1	Career Development	52	1	-3	-2	-1	3	2	1	10
2	Communication	62	5	-1	0	-2	1	-1	-2	6
3	Engagement	67	1	-3	-1	-1	2	0	0	3
4	Image/Brand	59	5	-1	-1	-5	1	0	-2	1
5	Organizational Change	38	6	2	-1	-3	1	-3	-2	0
6	Performance Management	54	3	-1	-2	-2	2	0	2	6
7	Supervision	69	3	-1	0	-1	1	-1	0	3
8	Working Relationships	66	-6	-2	-1	1	5	5	7	7

Variations by Gender

A. UC OVERALL [W] (N=8,096) B. MALE 2012 (N=2,949)			C. FEMALE 2012 (N=5,147)		
	Values displayed are based on Total Favorab	le	Colored Cells indicate a statistically significant difference		
# C	ategory	A	В	С	
1 C	areer Development	52	0	0	
2 C	ommunication	62	2	-1	
3 E	ngagement	67	-1	0	
4 In	nage/Brand	59	0	-1	
5 O	rganizational Change	38	0	0	
6 P	erformance Management	54	1	-1	
7 S	upervision	69	1	-1	
8 W	orking Relationships	66	-2	2	

Variations by Ethnicity

A. UC OVERALL [W] (N=8,096) E. CAUCASIAN/WHITE 2012 (N=5,326) B. AMERICAN INDIAN/ALASKAN NATIVE 2012 (N=56) F. HISPANIC/LATINO 2012 (N=886) C. ASIAN 2012 (N=1,212) G. UNSPECIFIED 2012 (N=205) D. BLACK/AFRO-AMERICA 2012 (N=411) Values displayed are based on Total Favorable Colored Cells indicate a statistically significant difference Category # Α В C G 52 -5 **Career Development** 1 -4 0 2 -6 1 Communication 62 -5 -6 -2 2 0 1 0 Engagement 67 -3 -1 2 -4 0 0 Image/Brand 59 -9 -2 -2 2 -8 4 **Organizational Change** 38 -6 4 1 -1 -6 2 **Performance Management** 54 -7 -2 6 -1 -6 -1 1 7 **Supervision** 69 -4 -2 -2 0 0 1 8 **Working Relationships** 66 -3 -2 -1

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Sample of Comments

- "Providing better education benefits and opportunities to its employees. The University should expand its
 part-time evening programs to allow full-time employees the opportunity to apply for degree programs at UC
 or make work schedules flexible to allow for class scheduling given the current lack of part-time evening
 programs. ... Tuition should be covered whether or not the degree has to do with the employee's current
 position, providing that the degree has to do with future career objectives at UC."
- "UC has vast amounts of talent already existing in their ranks. They should make it a priority to recognize the
 talent they already have. Current policy makes it difficult to promote from within, and almost impossible to
 recognize above and beyond achievements extrinsically."
- "There is no effective, well thought out organizational infrastructure. Everything is pieced together and decentralized; the campuses have been left to their own devices for years with little or no support from the top. Departments have been left to fend for themselves and make things work without proper systems in place. You have 10 people telling you what you did wrong, but no one that can tell you the correct way to do it when you are trying to be proactive and do things properly."
- "There is no clear direction or plan that will achieve the state goals. Little, if any, input from the people who
 actually do the work is being asked. Typically, consultants who are developing a plan to change an
 organization will sit with employees and develop an understanding of the work involved. I suspect that
 decision makers do not understand the different needs between the faculty of one department from the other."
- "The 3 divisions of a UC (Research, Operations, and Student Affairs) have conflicting goals, hence, have conflicting organizations. Each is rewarded for looking inward, yet to effect change we need to partner with outlying departments."
- "Those in a leadership/management position are afraid to address the issue of people not doing their jobs.
 Instead of addressing this issue, their work gets dumped on the people who actually do their job. There is no incentive to do A+ work because you get more work and do not get compensated for it."