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## Exhibit 1 – Institutional Information

### 1. Protection Level Classification<sup>1</sup>:

- Protection Level 1
- Protection Level 2
- Protection Level 3
- Protection Level 4

**Explanation:** [Optional, add detail if needed, may be covered in SOW]

The Protection Level determines the applicable cyber security insurance requirement in the Terms and Conditions.

### 2. Institutional Information data element descriptors:

Select all data types that apply:

- A.  Animal Research Data.
- B.  Controlled Technical Information (CTI).
- C.  Controlled Unclassified Information (CUI) – 800-171/NARA.
- D.  Defense Department: Covered Defense Information (CDI).
- E.  Federal Acquisition Regulations (FARS/DFAR) other than CUI.
- F.  GDPR personal data.
- G.  GDPR special data.
- H.  Health data – other identifiable medical data not covered by HIPAA. (Including but not limited to: occupational health, special accommodation, or services qualification, etc.)
- I.  Health Records subject to HIPAA Privacy or Security Rule (PHI).
- J.  Human Subject Research Data.
  - 1.  Identified.
  - 2.  Anonymized.
- K.  Intellectual property (IP), such as patents, copyright, or trade secrets.
- L.  ITAR/EAR-controlled data.
- M.  Payment card data (PCI, PCI DSS).
- N.  Personally identifiable information – PII.
- O.  Student data, whether or not subject to FERPA.

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<sup>1</sup> For reference see: <https://security.ucop.edu/policies/institutional-information-and-it-resource-classification.html>

- P.  Other: \_\_\_\_\_
- Q.  Other: \_\_\_\_\_
- R.  Other: \_\_\_\_\_
- S.  Other: \_\_\_\_\_

3. Institutional Information Regulation or Contract Requirements:

Select all regulations or external obligations that apply to inform UC and the Supplier of obligations related to this Appendix:

**Privacy (\* indicates data security requirements are also present)**

- A.  California Confidentiality of Medical Information Act (CMIA) \*.
- B.  California Consumer Privacy Act (CCPA).
- C.  California Information Practices Act (IPA).
- D.  European Union General Data Protection Regulation (GDPR)\*.
- E.  Family Educational Rights and Privacy Act (FERPA) \*.
- F.  Federal Policy for the Protection of Human Subjects (“Common Rule”).
- G.  Genetic Information Nondiscrimination Act (GINA).
- H.  Gramm-Leach-Bliley Act (GLBA) (Student Financial Aid) \*.
- I.  Health Insurance Portability and Accountability Act/Health Information Technology for Economic and Clinical Health Act (HIPAA/HITECH) \*.
- J.  Substance Abuse and Mental Health Services Administration SAMHSA (CFR 42 Part 2).
- K.  The Fair and Accurate Credit Transaction Act (FACTA).
- L.  The Fair Credit Reporting Act (FCRA).

**Data Security**

- M.  Chemical Facility Anti-Terrorism Standards (CFATS).
- N.  Defense Federal Acquisition Regulations (DFARS).
- O.  Export Administration Regulations (EAR).
- P.  Federal Acquisition Regulations (FARS).
- Q.  Federal Information Security Modernization Act (FISMA).
- R.  International Traffic in Arms Regulations (ITAR).
- S.  Payment card data (PCI, PCI DSS).
- T.  Toxic Substances Control Act (TSCA).
- U.  Other: \_\_\_\_\_
- V.  Other: \_\_\_\_\_
- W.  Other: \_\_\_\_\_
- X.  Other: \_\_\_\_\_