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January 6, 2020

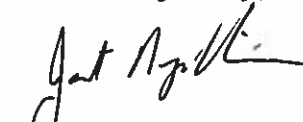
The Honorable Holly J. Mitchell
Chair, Joint Legislative Budget Committee
1020 N Street, Room 553
Sacramento, California 95814

Dear Senator Mitchell:

Pursuant to Section 66057 of the Education Code, enclosed is the University of California's annual report to the Legislature on *Summer Enrollment*.

If you have any questions regarding this report, Associate Vice President David Alcocer would be pleased to speak with you. He can be reached by telephone at (510) 987-9113, or by email at David.Alcocer@ucop.edu.

Yours very truly,


Janet Napolitano
President

Enclosure

cc: Senate Budget and Fiscal Review
The Honorable Richard D. Roth, Chair
Senate Budget and Fiscal Review Subcommittee #1
(Attn: Ms. Anita Lee)
(Attn: Ms. Jean-Marie McKinney)
The Honorable Kevin McCarty, Chair
Assembly Budget Subcommittee #2
(Attn: Mr. Mark Martin)
(Attn: Ms. Carolyn Nealon)

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Ms. Jennifer Troia, Joint Legislative Budget Committee
Ms. Erika Contreras, Secretary of the Senate
Ms. Tina McGee, Legislative Analyst's Office
Ms. Amy Leach, Office of the Chief Clerk of the Assembly
Mr. E. Dotson Wilson, Chief Clerk of the Assembly
Mr. Jeff Bell, Department of Finance
Mr. Chris Ferguson, Department of Finance
Ms. Rebecca Kirk, Department of Finance
Mr. Brian Rutledge, Department of Finance
Ms. Tina McGee, Legislative Analyst's Office
Mr. Gabriel Petek, Legislative Analyst Office
Ms. Jennifer Pacella, Legislative Analyst Office
Mr. Jason Constantouros, Legislative Analyst Office
Provost and Executive Vice President Michael Brown
Vice Provost and Chief Outreach Officer Yvette Gullatt
Vice President Pamela Brown
Associate Vice Provost Elizabeth Halimah
Interim Executive Vice President and Chief Financial Officer Paul Jenny
Associate Vice President David Alcocer
Senior Vice President Claire Holmes
Associate Vice President and Director Kieran Flaherty

UNIVERSITY OF CALIFORNIA

Summer Enrollment

The following report is submitted in compliance with Section 66057 of the Education Code, which states in part:

- “(d) On or before January 10 of each year, the University of California is requested to, the California State University shall, submit to the Legislature a report describing summer enrollment for their respective systems. The report shall include all of the following information separately for each campus in the system:
- (1) The number of state-funded headcount students enrolled during the summer term of the preceding calendar year and, for comparison purposes, the year-average number of state-funded headcount students enrolled during the preceding fall, winter, and spring terms.
 - (2) The number of state-funded full-time equivalent students enrolled during the summer term of the preceding calendar year and, for comparison purposes, the number of year-average state funded full-time equivalent students enrolled during the preceding fall, winter, and spring terms.
 - (3) Efforts undertaken to increase summer enrollment.”

SUMMARY

Facing extraordinary growth in high school graduating classes beginning in the late 1990s and the need to accommodate substantial enrollment increases, the University, with funding from the State, began expanding summer instruction programs in 2001. In the nineteen years from 2001 to 2019, the University more than doubled its summer enrollments. In 2019, more than 84,000 students participated in summer instruction. The systemwide summer 2019 headcount was 33 percent of that in the 2018-19 fall, winter, and spring terms, ranging from 22 to 39 percent by campus. Summer enrollments represent 20 percent of an average academic year term’s full-time equivalent (FTE) student enrollment.

The key to achieving substantial enrollment growth in the summer has been to offer summer instruction that is critical to student progress toward graduation, along with essential student support services and student financial aid. Expansion of summer enrollments has resulted in more efficient use of facilities and accelerated time-to-degree for undergraduates, making room for more students during the regular year. Campuses have offered a greater breadth of courses during the summer to maximize efficiency and student progress toward the degree; campuses offered more than 5,600 primary classes in summer 2018 (the last year for which complete data are available)—more than double the number of primary classes offered in summer 2000. Students report using summer as a means to graduate on time and enjoy the smaller class sizes and greater faculty contact that are often provided by summer courses.

Display 1: Year-Average Headcount and Full-Time Equivalent Enrollment by Term*

University Total	Year-Average Headcount	Full-Time Equivalent**
Fall-Winter-Spring 2018-19	252,825	247,137
Summer 2019	84,006	17,397
% of Fall-Winter-Spring	33%	20%

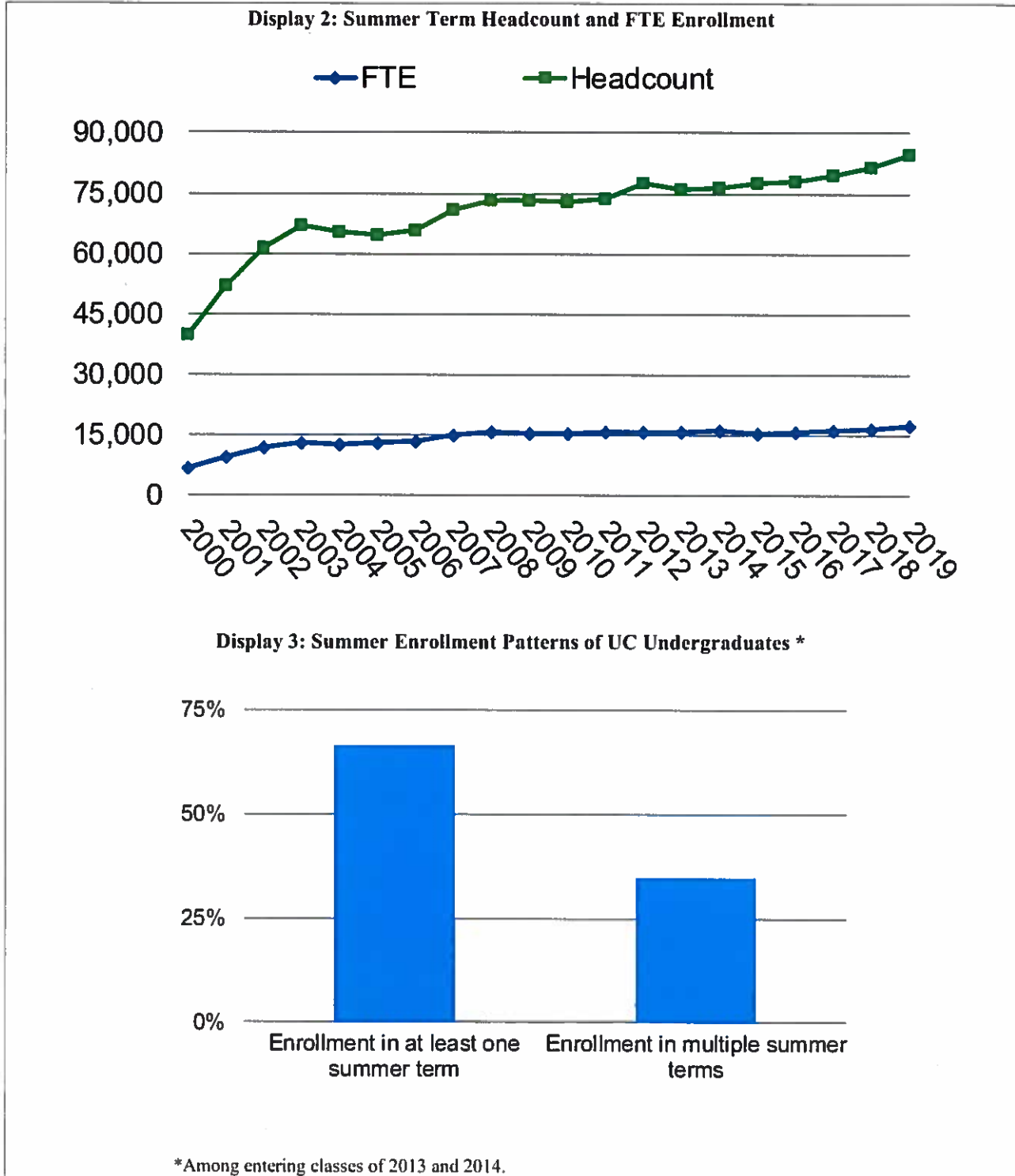
* Includes undergraduate, post-baccalaureate, and graduate students. Excludes health sciences and self-supporting degree programs.

** Full-time equivalency is based on the number of student credit hours (units) a student takes over the fall-winter-spring terms divided by the normative load for the student. On a quarter campus, for an undergraduate, 45 units equals 1.0 FTE; for a graduate student, 36 units. On a semester campus, the normative undergraduate load is 30 units and the graduate load is 24. Summer units are also divided by the normative load, so a student taking 15 units in the summer at a quarter campus would represent 1/3 FTE. See the footnote under Display 5 for a detailed explanation of how FTE percentages are derived.

Source: Budget Analysis and Planning, UC Office of the President.

CAMPUS EFFORTS TO INCREASE SUMMER ENROLLMENT

As Display 2 shows, FTE enrollment in summer instruction grew substantially with the onset of State support in 2001 but leveled off around 2009. As shown in Display 2, and as with earlier cohorts, among undergraduates who entered UC in 2013 and 2014, 67 percent enrolled during at least one summer term during their undergraduate careers and approximately 37 percent enrolled during multiple summer terms.



With State support since 2001, campuses implemented strategies to encourage more students to participate in the summer term and to increase summer unit loads. These strategies included providing more financial aid, reducing

the cost of summer attendance through summer financial aid, and expanding the availability of summer courses and programs.

Ongoing budget pressures resulted in some campuses scaling back efforts to increase summer enrollment that they had made in earlier years. Most notably, two campuses that had offered a summer charges cap (in which students did not pay the per-unit charge for units beyond a certain number) discontinued this incentive in summer 2015. Diminished resources through summer 2015 also resulted in a reduction in advertising and marketing efforts that in past years had attracted additional summer enrollments.

Efforts Undertaken to Increase Summer Enrollment

In the 2016 summer session, as part of a budget framework agreement with Governor Jerry Brown, the University piloted three initiatives aimed at increasing summer enrollment through alternative pricing models¹. These initiatives led to an increase in summer enrollment at the pilot campuses by 638 undergraduate FTE, compared to a collective increase at the six non-pilot campuses of only 106 FTE. In the following years, campuses applied best practices established by the pilot programs to increase summer enrollment. As a result, the University increased undergraduate summer enrollment by approximately 260 FTE in summer 2017, approximately 500 FTE in summer 2018, and approximately 730 FTE in summer 2019.

**Display 4: UC Undergraduate FTE Enrollment
Summer 2018 and 2019***

Campus	Summer 2018 Undergraduate FTE	Summer 2019 Undergraduate FTE	Change from 2018
Berkeley	2,358	2,387	29
Davis	2,156	2,204	48
Irvine	2,505	2,505	(0)
Los Angeles	2,739	2,742	3
Merced	417	468	51
Riverside	1,083	1,238	155
San Diego	1,902	2,007	106
Santa Barbara	1,920	2,105	185
Santa Cruz	1,025	1,183	158
UC Total	16,105	16,839	734

*Summer 2019 figures submitted by campuses in November 2019.

Student Financial Aid

Historically, the availability of additional financial aid during summer has made it possible for more students to attend summer terms. In an effort to provide financial access to all students in the summer, campuses continue to set aside a portion of summer charges revenue for financial aid. In summer 2018, the last year for which complete financial aid data for summer enrollments are available, campuses provided 34,051 students with more than \$104 million in need-based financial aid during the summer, including \$85 million in grants and scholarships.

The Budget Act of 2019 set aside \$4 million of the University’s State General Fund (SGF) appropriation for summer financial aid to UC students who are both California residents (including students receiving an exemption from Nonresident Supplemental Tuition) and eligible for State financial aid. The availability of this funding in the future

¹ More information about the pilot programs and their outcomes can be found in a report published by the University in July 2017, available at <https://www.ucop.edu/institutional-research-academic-planning/files/2016-Summer-Session-Pilot-Outcomes-final-report.pdf>.

is contingent on continuation of these SGF revenues in 2021-22 and 2022-23 or an equivalent action by the State to fund summer Cal Grants.

Course Offerings and Special Programs

Campuses have expanded course offerings to encourage more students to participate in the summer term. Between 2000 and 2018,² the number of primary courses available in the summer has grown from about 2,700 to more than 5,600. Some of this growth has been because of the special advantages the summer term offers. For example, campuses use summer to offer special courses not available as frequently during the regular academic year (e.g., internships, field study, and travel study). Additional benefits of enrolling during the summer term are included below.

- More campuses are offering special summer programs for entering students (new freshmen and transfers), which give them an early start on their UC coursework.
- Students can choose to take an entire year's worth of foreign language in less than three months.
- For undergraduates, enrolling in courses offered during the summer that are usually impacted in the regular academic year can decrease their time-to-degree.
- Students are attracted to summer because of its shorter terms and more flexible scheduling, which allow them use the remaining time to work, travel, or fulfill other personal obligations.
- Online instruction has attracted additional students to summer because of convenience and flexibility, helping students complete their UC coursework without having to stay on or commute to campus.
- Students find the summer per-unit tuition model, which allows them to pay only for the courses they take, affordable and more tailored to their individual needs.
- Students often choose to enroll in the summer term to take advantage of the smaller class sizes and increased faculty contact that summer courses often provide.

² Course data for summer 2019 are not yet available.

Administrative Improvements

Campuses have been incorporating summer into the broader educational structure, spotlighting summer options as they help students plan their undergraduate careers. As a result, summer programs are more visible both to students and departments as strategic tools to address time-to-degree and academic priorities. Other strategies campuses use to accommodate summer enrollment include:

- Allowing UC students to register in summer session earlier in the year and improving the management of wait lists so that students have more time to finalize their summer plans;
- Benchmarking best practices and tactics among UC campuses through close collaboration among UC Summer Session offices;
- Providing incentives to departments that offer more classes and otherwise increase enrollments; and
- Continuing the efforts to streamline cross-campus registration procedures and to increase online offerings, thereby enabling students to attend a UC campus that is accessible regardless of their whereabouts—in the past three years, about 2,000 UC students have taken advantage of the cross-campus enrollment option during the summer.

Conclusion

Summer enrollment for regularly matriculated UC students has gradually begun to increase in terms of both headcount and FTE. Although about 37 percent of the regular academic year's students enroll in multiple summer terms, nearly 67 percent of undergraduates enroll in at least one summer term during their UC campus experience. Those who never enroll in summer cite work, a desire to return home, and other conflicts as reasons not to enroll. Providing compelling incentives to students, such as those implemented as part of the Budget Framework Agreement, is considered a best practice and therefore, will be an important strategy for increasing UC summer enrollment in future years.

Summer enrollment has enabled some students to eliminate a fifth year of enrollment during the academic year and other students to graduate in less than four years. Specifically, summer enrollment following the fourth year allowed 6.7 percent of the fall 2014 cohort to graduate without having to enroll in a fifth year. Similarly, summer enrollment after the second year allowed ten percent of the fall 2016 transfer cohort to graduate without having to enroll in a third year.

In addition to offering UC students an alternative for taking needed courses during their undergraduate years, all of the UC campuses are creating innovative summer programs that are helping prepare incoming UC students at both the freshman and transfer levels, especially for demanding STEM majors. These innovative “summer start” and “summer bridge” programs should result in improvements in time-to-degree and in normative graduation rates over the next few years. Summer instruction has benefited students by providing them with unique academic offerings, additional opportunities to take impacted courses, and the flexibility of part-time enrollment. Summer instruction has benefited the State by increasing capacity of existing campus facilities, helping students graduate in a timely manner, and freeing up space for new enrollments.

Display 5: Year-Average Headcount and Full-Time Equivalent Enrollment by Campus and Term*

		Year-Average Headcount	Full-Time Equivalent**
Berkeley	Fall-Spring 2018-19	38,471	37,522
	Summer 2019	11,731	2,446
	% of Fall-Spring	30%	13%
Davis	Fall-Spring 2018-19	34,501	33,012
	Summer 2019	11,885	2,234
	% of Fall-Spring	34%	20%
Irvine	Fall-Spring 2018-19	32,895	32,646
	Summer 2019	11,864	2,619
	% of Fall-Spring	36%	24%
Los Angeles	Fall-Spring 2018-19	37,568	36,824
	Summer 2019	13,963	2,828
	% of Fall-Spring	37%	23%
Merced	Fall-Spring 2018-19	8,267	8,151
	Summer 2019	1,785	472
	% of Fall-Spring	22%	12%
Riverside	Fall-Spring 2018-19	22,740	21,878
	Summer 2019	6,707	1,309
	% of Fall-Spring	29%	18%
San Diego	Fall-Spring 2018-19	34,447	34,325
	Summer 2019	10,672	2,073
	% of Fall-Spring	31%	18%
Santa Barbara	Fall-Spring 2018-19	25,131	24,029
	Summer 2019	9,788	1,175
	% of Fall-Spring	39%	27%
Santa Cruz	Fall-Spring 2018-19	18,805	18,750
	Summer 2019	5,611	1,238
	% of Fall-Spring	30%	20%
Universitywide	Fall-Spring 2018-19	252,825	247,137
	Summer 2019	84,006	17,397
	% of Fall-Spring	33%	20%

*Includes undergraduate and graduate students. Excludes health sciences and self-supporting programs.

** Full-time equivalency is based on the number of student credit hours (units) a student takes over the fall-winter-spring terms divided by the normative load for the student. On a quarter campus, for an undergraduate, 45 units equals 1.0 FTE; for a graduate student, 36 units. On a semester campus, the normative undergraduate load is 30 units and the graduate load is 24. Summer units are also divided by the normative load, so a student taking 15 units in the summer would represent 1/3 FTE on quarter campuses and 1/2 FTE on semester campuses. Just as summer FTE represents workload intensity (as opposed to headcount enrollment) during a summer term, academic year (Fall-Winter-Spring) FTE represents workload intensity over the course of two terms on semester campuses or three terms on quarter campuses. To express summer FTE as a proportion of a full workload, one must either scale summer FTE up (by multiplying it by 2 for Berkeley and Merced, and by 3 for the quarter campuses), or scale the academic year FTE down (by dividing it by 2 or 3). The summer FTE percentages shown in Display 4, for example, can be derived by multiplying summer FTE by 2 or 3 and then dividing the result by Fall-Winter-Spring (or Fall-Spring) FTE.

Source: Budget Analysis and Planning, UC Office of the President

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