

UNIVERSITY OF CALIFORNIA

Systemwide and Presidential Initiatives Fiscal Year 2017-18

The University of California provides the following report in response to Section 92010 of the California Education Code, which states:

92010. (a) By April 1 of each year, the University of California is requested to report to the Legislature on the systemwide and presidential initiatives of the university. The report is requested to include all of the following:

- (1) A description of each initiative and a justification for the initiative that explains how it furthers the mission of the university.
 - (2) The total expenditures from the previous fiscal year for each initiative, including carryover funds.
 - (3) The revenue sources for each initiative.
- (b) A report to be submitted pursuant to subdivision (a) shall be submitted in compliance with Section 9795 of the Government Code.

Summary

This report details the University of California systemwide and presidential initiatives, including a description of each initiative and its associated total expenditures and source of revenue for fiscal year 2017-18. Also included are the definitions for “presidential initiatives” and “systemwide initiatives” that were developed by a cross-functional workgroup and presented to the UC Board of Regents in March 2018 and submitted to the CA State Auditor in April 2018.

Background

The University of California Office of the President (UCOP) completed a comprehensive review of all initiatives and programs, and developed a set of definitions and criteria to identify the type of initiative and tracking mechanism within the UCOP budget. These definitions were approved by the Board of Regents in March 2018 and submitted to the CA State Auditor and Department of Finance in April 2018.

In April 2017, the California State Auditor recommended that by April 2018 UCOP a) develop and use a clear definition of systemwide initiatives versus administration to ensure consistency in future budgets; and b) develop a comprehensive list of systemwide initiatives and presidential initiatives, including their purpose and actual cost that will be reviewed in a public Regents’ meeting.

A cross-functional working group was convened to respond to these recommendations. The working group represented perspectives and institutional knowledge from Budget, Analysis and Planning; Budget and Finance; Academic Affairs; Government Relations; and Strategy and Program Management. Other perspectives were also solicited from the UC Executive Budget Committee, which includes representation from each UC campus and the Academic Senate, and the Council of Chancellors. The working group also consulted with Sjoberg Evashenk, the Board’s independent consultant, to ensure the work was meeting the expectations set forth by the California State Auditor.

Definitions and criteria were presented in an open session of the Regents' Finance and Capital Strategies Committee during the Regents' meeting in March 2018.¹ Materials were updated to clarify comments made to the narrative and were submitted to the Regents with the annual UCOP budget presentation in May 2018.²

Definitions

A cross-functional working group completed a comprehensive review of all UCOP-affiliated programs and initiatives and developed the following definitions applicable to this report in March 2018:

- **Systemwide Initiative:** A function that benefits the State, multiple campuses, or entire system, and is funded solely with time-bound or single-occasion funding commitments.
- **Presidential Initiative:** A function launched by a UC President to address University, statewide, national, or global issues, that is solely funded by time-bound or single-occasion funding commitments.

Presidential Initiatives and Systemwide Initiatives for Fiscal Year 2017-18

In Fiscal Year 2017-18, the Office of the President identified nine UC presidential initiatives and no systemwide initiatives on the UCOP expenditure budget. The section provides a summary of the initiatives and includes a description and justification for the initiative that explains how it furthers the mission of the university.

TABLE 1
UC Presidential and Systemwide Initiative Funding FY17-18

#	Initiative	Initiative Category	FY17-18 Budget
1	Carbon Neutrality Initiative (CNI)	Presidential	\$ 1,500,000
2	Cuba Faculty Matching Funds	Presidential	20,000
3	Global Food Initiative (GFI)	Presidential	1,250,000
4	Presidential Public Service Fellowship	Presidential	82,500
5	Public Service Law Fellowships	Presidential	4,500,000
6	Smoke and Tobacco-Free Fellowships	Presidential	173,850
7	UC-Mexico Initiative	Presidential	980,000
8	UC National Center for Free Speech and Civic Engagement	Presidential	435,000
9	Undocumented Students Initiative	Presidential	900,000
	TOTAL		\$ 9,841,350

¹ ["Budget Categories and Definitions: Systemwide Programs and Presidential Initiatives"](#) Regents of University of California, Mar. 2018.

² ["Fiscal Year 2018-19 Budget for the Office of the President"](#) Regents of University of California, Mar. 2018.

1. **Carbon Neutrality Initiative (CNI)**

The Carbon Neutrality Initiative (CNI) launched in 2013, committing UC to emit net zero greenhouse gases from its buildings and vehicle fleet by 2025 – something no other major university system has done. This initiative advances the **public service** component of the University’s mission by helping both California and the world to curb the forces that are driving global warming. This initiative also furthers the University’s mission to provide **instruction** by giving undergraduate and graduate students the opportunity to study issues and fund student-generated projects that support the UC system’s carbon neutrality goal through its Carbon Neutrality Student Fellowship Program. By bringing together a Global Climate Leadership Council to advance both teaching and research about climate change and sustainable business practices, this initiative also furthers the **instruction** and **research** components of the University’s mission.

2. **Cuba Faculty Matching Funds**

Cuba Faculty Matching Funds was funded by UCOP as a Multi-Campus Research Program for three years between 2006 and 2008. Normalization of US-Cuba diplomatic relations in 2015 prompted UC to explore how it might expand its relationship with Cuban scholars, furthering the **public service** tenant of the UC Mission. UCOP now supports one visiting scholar from Cuba each year to join a different UC campus, advancing the **instruction** mission. UCOP will provide four years of funding for a visiting Cuban scholar to be matched by the host UC campus.

3. **Global Food Initiative (GFI)**

The Global Food Initiative (GFI) was launched in 2014 to address how to sustainably and nutritiously feed a world population expected to reach 8 billion by 2025. By working to increase food access and security among communities across the ten UC campuses, this initiative furthers the **public service** component of the University’s mission. This initiative also furthers the University’s mission to provide **instruction** by giving undergraduate and graduate students the opportunity to study issues such as food security and food waste through the GFI Fellowship Program. A community garden project also enables this initiative to provide instruction to elementary school students about ecology and nutrition. Additionally, by conducting systemwide studies about UC student food access and security through the Healthy Campus Network, and by providing development-oriented graduate students from multiple UC campuses the opportunity to engage in planning and implementing projects related to international food systems and agriculture, this initiative furthers the **research** component of UC’s mission.

4. **Presidential Public Service Fellowship**

The Presidential Public Service Fellowship launched in FY15-16. This need-based fellowship catalyzes student interest in public service careers and encourage more undergraduate students to apply for public service internships in D.C. and Sacramento. By providing educational opportunities to UC students and encouraging students to become agents of change in the public arena, this initiative advances UC’s **instruction** and **public service** mission components.

5. **Public Service Law Fellowships**

The Public Service Law Fellowship launched in FY16-17 to support approximately 425 summer and 60 post-graduate fellowships annually at all four UC law schools for students pursuing opportunities in public service. Post-graduate fellowships provide up to \$45,000 for graduates entering public service plus an additional \$2,500 to help defray bar-related costs. Summer fellowships provide approximately \$4,000 to subsidize summer public interest law jobs. The UC National Public Service Law Conference was held in fall 2017 to showcase important legal

scholarship and practice and contribute to the national conversation on public interest law. By making post-graduate work and summer positions accessible for students who want to pursue public service legal careers, this initiative furthers the **instruction** and **public service** components of UC's mission.

6. **Smoke and Tobacco Free Student Fellowships**

UC Smoke- and Tobacco-Free Student Fellowships support UC's commitment to a smoke- and tobacco-free environment and to developing research and policy solutions for the evolving "smoking" landscape. These awards foster the next generation of leaders to reduce the harms and social costs from the use of tobacco products worldwide and enable UC to be a leader among university systems in maintaining smoke- and tobacco-free environments. This initiative furthers the **research** and **public service** missions of UC by providing students the opportunity to conduct research and develop policy solutions to support a smoke- and tobacco-free landscape.

7. **UC-Mexico Initiative**

The UC-Mexico Initiative launched in FY13-14 to enhance UC's relationships with academia, government, and the private sector in Mexico and create the ability for UC to respond quickly to emerging issues. By supporting academic and student exchanges and collaborating with Mexican universities, governmental agencies, and foundations on projects pertaining to arts and culture, education, energy, the environment, and public health, this initiative furthers the **instruction** and **research** components of UC's mission. In addition, the Initiative is working on re-envisioning planning for systemwide Mexico-related programs including UC-Mexico, UC Mexus and Casa de California.

8. **UC National Center for Free Speech and Civic Engagement**

The UC National Center for Free Speech and Civic Engagement launched in FY17-18 to explore how the fundamental democratic principles of free speech and civic engagement must adapt to the challenges and opportunities of modern society. By bringing together people of various academic and experiential background from across the country to inform free speech and civic engagement policies on college campuses, in state legislatures, and in Washington, D.C., this initiative furthers the **public service** mission of the University. Through this initiative, the UC National Center for Free Speech and Civic Engagement supports a fellowship program wherein fellows research First Amendment issues and present their findings at a national conference. The output of this Center also furthers the **research** component of the University's mission.

9. **Undocumented Students Initiative**

Launched in FY16-17, this initiative is anticipated to run through FY18-19, making this the final year of funding. The purpose of this funding is to strengthen programs and services aimed at improving access and success for undocumented students at the University. This funding specifically supports the UC Immigrant Legal Services Center. Additional funds are provided to campuses to deliver student loans and to support student services and financial aid for undocumented students. This initiative furthers the **instruction** component of UC's mission by lowering barriers to entry into the UC system for undocumented students. By enabling every campus to provide support services for undocumented students, this initiative also advances the **public service** component of UC's mission.

Presidential Initiatives and Systemwide Initiatives Fiscal Year 2017-18 Approved Budget and Actual Expenditures

Details on the funding of Presidential and Systemwide Initiatives for Fiscal Year 2017-18, including source of funds, approved budget, and actual expenditures including prior year carryforward are provided in **Table 2**.

In Fiscal Year 2017-18, presidential initiatives were funded from the President's Initiative Fund (PIF) and were reviewed annually from the date they were initiated. Starting with the FY18-19 budget year, presidential initiatives are funded from the Strategic Priorities Fund, with a reduction in the budget of \$2M and the conclusion of two initiatives. Pursuant to the Presidential Guidelines reviewed by the Board of Regents in January 2018, the Strategic Priorities Fund is a permanent line item in the UCOP budget to fund strategic priorities and projects, urgent, emergent issues, and initiatives that benefit the local and global communities the University.³ Funding for each presidential initiative is determined as part of the annual budget cycle and presented to the Regents as part of the UCOP budget. Outside of the annual budget process, funding from the Strategic Priorities Fund is approved by the President via the UCOP Major Projects and Initiatives (MPI) approval process or the Decision Memo approval process. In Fiscal Year 2017-18, the Global Food Initiative and Public Service Law Fellowship initiatives requested and were approved for additional funding by the President via the Decision Memo approval process.

³ ["Presidential Guidelines Governing the UCOP Strategic Priorities Fund"](#) *Regents of University of California*, Jan. 2018.

TABLE 2
University of California Systemwide and Presidential Initiatives in Fiscal Year 2017-18

#	Name	Category	Initiative	Advancement of UC Mission	Fiscal Year 2017-18			
					Fund Source	UCOP Budget	In-Year Approved Funding ²	Actual Expenses ³
1	Carbon Neutrality Initiative (CNI)	Presidential Initiative	The Carbon Neutrality Initiative (CNI) launched in 2013, committing UC to emit net zero greenhouse gases from its buildings and vehicle fleet by 2025. All 10 UC campuses, the UC Office of the President, Division of Agriculture and Natural Resources, and LBNL participate in programs to improve energy efficiency, develop new sources of renewable energy and enact a range of strategies to cut carbon emissions. A Global Climate Leadership Council, comprised of external experts and UC scientists, administrators and students, provides guidance in seeking out best practices, policies and technology to achieve carbon neutrality and to advance teaching/research in climate change and sustainability. Projects include Student Fellowship Program, Faculty Curriculum Workshops, and the Climate Knowledge-Action Network in association with CSU.	CNI advances the public service component of the University's mission by helping both California and the world to curb the forces that are driving global warming. By bringing together a Global Climate Leadership Council to advance both teaching and research about climate change and sustainable business practices, this initiative also furthers the instruction and research components of the University's mission.	President's Initiative Fund ¹	\$ 1,500,000	\$ 250,000	\$ 1,751,000
2	Cuba Faculty Matching Funds	Presidential Initiative	Cuba Faculty Matching Funds was funded by UCOP as a Multi-Campus Research Program for three years between 2006 and 2008. Normalization of US-Cuba diplomatic relations in 2015 prompted UC to explore how it might expand its relationship with Cuban scholars. UCOP now supports one visiting scholar from Cuba each year to join a different UC campus. UCOP will provide four years of funding for a visiting Cuban scholar to be matched by the host UC campus.	In support of the public service mission, UC sought to expand relationships with Cuban scholars. UCOP now supports one visiting scholar from Cuba each year to join a different UC campus, advancing the instruction mission. UCOP will provide four years of funding for a visiting Cuban scholar to be matched by the host UC campus.	President's Initiative Fund ¹	20,000	-	20,000
3	Global Food Initiative (GFI)	Presidential Initiative	The Global Food Initiative (GFI) launched in 2014 to address the issue of feeding a world population expected to reach 8 billion by 2025. GFI builds on existing efforts and creates new collaborations among UC's 10 campuses, UC Division of Agriculture and Natural Resources and the Lawrence Berkeley National Laboratory. A semi-annual competitive proposal process allocates funds to the campuses for GFI-related research and other activities such as food security on campuses, the Healthy Campus Network, and projects designed and led by GFI faculty, staff and/or student fellows. GFI working groups also identify best practices, and develop the toolkits to implement them. Once successfully deployed systemwide at UC, these can be offered to other educational institutions, related entities (such as PTA or DSS) and communities nationwide.	By working to increase food access and security among communities across the ten UC campuses, GFI furthers the public service component of the University's mission. This initiative also furthers the University's mission to provide instruction by giving undergraduate and graduate students the opportunity to study issues such as food security and food waste through the GFI Fellowship Program. A community garden project also enables this initiative to provide instruction to elementary school students about ecology and nutrition. Additionally, by conducting systemwide studies about UC student food access and security through the Healthy Campus Network, and by providing development-oriented graduate students from multiple UC campuses the opportunity to engage in planning and implementing projects related to international food systems and agriculture, this initiative furthers the research component of UC's mission.	President's Initiative Fund ¹	1,250,000	-	1,255,235

#	Name	Category	Initiative	Advancement of UC Mission	Fiscal Year 2017-18			
					Fund Source	UCOP Budget	In-Year Approved Funding ²	Actual Expenses ³
4	Presidential Public Service Fellowships	Presidential Initiative	The Presidential Public Service Fellowships annually support up to 3 students per undergraduate campus, or 27 students in all, to participate in internships through the UC Washington Center (UCDC) and UC Center Sacramento (UCCS), gaining firsthand exposure to the American political process and attaining valuable work experience. Fellows receive \$2,500 in financial support to defray costs of enrollment at UCDC or UCCS. Fellows apply and are selected based on financial need and a demonstrated commitment to civic engagement and service for the public good.	By providing educational opportunities to UC students and encouraging students to become agents of change in the public arena, the Presidential Public Service Fellowship advances UC's instruction and public service mission components.	President's Initiative Fund ¹	82,500	-	67,500
5	Public Service Law Fellowships	Presidential Initiative	The Public Service Law Fellowships is an initiative that launched in April, 2016, with an initial funding term of 4 years. Unique among the nation's law schools, this initiative awards annual fellowships to promising law school students at the Berkeley, Davis, UCLA and Irvine campuses. The funding makes post-graduate work and summer positions more accessible for students who want to pursue public service legal careers but might otherwise — out of financial need — seek private sector jobs.	By making post-graduate work and summer positions accessible for students who want to pursue public service legal careers, the Public Service Law Fellowship furthers the instruction and public service components of UC's mission.	President's Initiative Fund ¹	4,500,000	314,275	4,814,275
6	Smoke and Tobacco Free Student Fellowships	Presidential Initiative	UC Smoke- and Tobacco-Free Student Fellowships support UC's commitment to a smoke- and tobacco-free environment and to developing research and policy solutions for the evolving "smoking" landscape. These awards help foster the next generation of leaders to reduce the harms and social costs from the use of tobacco products worldwide and enable UC to be a leader among university systems in maintaining smoke- and tobacco-free environments. The supported students have conducted a diverse set of projects aimed at advancing smoke- and tobacco-free policies throughout UC.	This Smoke and Tobacco Free Student Fellowships initiative furthers the research and public service missions of UC by providing students the opportunity to conduct research and develop policy solutions to support a smoke- and tobacco-free landscape.	President's Initiative Fund ¹	173,850	-	125,770
7	UC-Mexico Initiative	Presidential Initiative	The UC-Mexico Initiative provides an overarching, strategic framework for UC's partnerships with Mexico, including academic exchange, education/outreach efforts, and research collaboration in areas of critical interest to California and Mexico. It serves policy makers, students from K-12 through higher education, and numerous stakeholders in such areas as education, health, energy, environment, immigration, and the humanities and arts. Examples of impacts are: (1) Students We Share, addressing issues related to the 500,000 students who annually criss-cross our border, has resulted in governmental action in both California and Mexico. (2) The first-ever national (Mexican) database on diabetes provides a tool for prevention.	By supporting academic and student exchanges and collaborating with Mexican universities, governmental agencies, and foundations on projects pertaining to arts and culture, education, energy, the environment, and public health, the UC-Mexico initiative furthers the instruction and research components of UC's mission.	President's Initiative Fund ¹	980,000	-	980,000

#	Name	Category	Initiative	Advancement of UC Mission	Fiscal Year 2017-18			
					Fund Source	UCOP Budget	In-Year Approved Funding ²	Actual Expenses ³
8	UC National Center for Free Speech and Civic Engagement	Presidential Initiative	Launched in Oct 2017, the UC National Center for Free Speech and Civic Engagement will be housed at UCDC, the Washington, D.C. location of the University of California. The Center brings together people of different backgrounds, experiences and views from across the country to apply the best legal, social science, journalistic and other research, along with real world experience, to inform free speech and civic engagement policies on our campuses, in our state legislatures and in Washington D.C. The fellows, who include scholars, students and analysts from across the country, will spend a year researching timely, vital First Amendment issues. Each will reside for a week at one of the 10 UC campuses to engage with students, faculty, administrators and community members.	By bringing together people of various academic and experiential background from across the country to inform free speech and civic engagement policies on college campuses, in state legislatures, and in Washington, D.C., the UC National Centre for Free Speech and Civic Engagement furthers the public service mission of the University. Through this initiative, the UC National Center for Free Speech and Civic Engagement supports a fellowship program wherein fellows research First Amendment issues and present their findings at a national conference. The output of this Center also furthers the research component of the University's mission.	President's Initiative Fund ¹	435,000	-	435,000
9	Undocumented Students Initiative	Presidential Initiative	Launched in FY16-17, the Undocumented Students Initiative provides campuses with funding to address undocumented students' unique needs through a range of support services, including academic and personal counseling, financial aid and legal advising. The purpose of this funding is to strengthen programs and services aimed at improving access and success for undocumented students at the University. This funding specifically supports the UC Immigrant Legal Services Center. Additional funds are provided to campuses to deliver student loans and to support student services and financial aid for undocumented students.	The Undocumented Students Initiative furthers the instruction component of UC's mission by lowering barriers to entry into the UC system for undocumented students. By enabling every campus to provide support services for undocumented students, this initiative also advances the public service component of UC's mission.	President's Initiative Fund ¹	900,000	-	900,000
TOTAL:						\$ 9,841,350	\$ 564,275	\$ 10,348,780

¹ President's Initiative Fund replaced with the Strategic Priorities Fund in the UCOP FY18-19 budget.

² Requests for in-year funding approved via the Major Projects and Initiatives or Decision Memo Process.

³ Actual Expenses in FY17-18 include prior year FY16-17 carryforward.

Contact Information:
Office of the President
University of California
1111 Franklin Street
Oakland, CA 94607
<http://www.ucop.edu>