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November 17, 2017

Director Michael Cohen
Department of Finance
915 L Street
Sacramento, California 95814

The Honorable Holly J. Mitchell
Chair, Joint Legislative Budget Committee
1020 N Street, Room 553
Sacramento, California 95814

Dear Director Cohen and Senator Mitchell:

Pursuant to Section 92680.4(d) of the Education Code, enclosed is the University of California's annual report to the Legislature on the *Admission and Enrollment of Students from LCFF+ High Schools*.

If you have any questions regarding this report, Associate Vice President David Alcocer would be pleased to speak with you. He can be reached by telephone at (510) 987-9113, or by email at David.Alcocer@ucop.edu.

Yours very truly,

A handwritten signature in black ink, appearing to read "Janet Napolitano".

Janet Napolitano
President

Enclosure

cc: Senate Budget and Fiscal Review
The Honorable Anthony J. Portantino, Chair
Senate Budget and Fiscal Review Subcommittee #1
(Attn: Ms. Anita Lee)
(Attn: Ms. Cheryl Black)
The Honorable Kevin McCarty, Chair
Assembly Budget Subcommittee #2
(Attn: Mr. Mark Martin)
(Attn: Mrs. Katie Sperla)

Director Michael Cohen and the Honorable Holly J. Mitchell

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Ms. Peggy Collins, Joint Legislative Budget Committee
Mr. Danny Alvarez, Secretary of the Senate
Ms. Tina McGee, Legislative Analyst's Office
Ms. Amy Leach, Office of the Chief Clerk of the Assembly
Mr. Jim Lasky, Legislative Counsel Bureau
Mr. E. Dotson Wilson, Chief Clerk of the Assembly
Mr. Jeff Bell, Department of Finance
Mr. Christian Osmena, Department of Finance
Mr. Jack Zwald, Department of Finance
Ms. Tina McGee, Legislative Analyst's Office
Mr. Mac Taylor, Legislative Analyst's Office
Mr. Jason Constantouros, Legislative Analyst's Office
Provost and Executive Vice President Michael Brown
Executive Vice President and Chief Financial Officer Nathan Brostrom
Vice Provost and Chief Outreach Officer Yvette Gullatt
Vice President Robin Holmes-Sullivan
Associate Vice President Elizabeth Halimah
Associate Vice President David Alcocer
Associate Vice President and Director Kieran Flaherty
Chief Policy Advisor and Executive Director Jenny Kao
Chief of Staff to the Chief Financial Officer Oren Gabriel
Manager Bruce Kennedy

UNIVERSITY OF CALIFORNIA

Admission and Enrollment of Students from LCFF+ High Schools

The following report is forwarded in compliance with Section 92680.4(d) of the Education Code, which states:

- (d) Commencing in 2017, no later than November 30 of each year, the university shall report to the Director of Finance and to the Legislature the number of pupils who attended a school identified by the Superintendent pursuant to subdivision (g) of Section 41580 and were admitted to the university, and the number of those students who enrolled, disaggregated by campus.

This document is the annual report responding to this legislative request.

Background

Supporting the success of UC students and continuing to expand access to a diverse student body fully representative of the state are fundamental to fulfilling the educational mission of the University of California. UC campuses are engaged in many efforts to broaden access and improve undergraduate student outcomes – particularly for low-income, first-generation college, and other students who may be at greater risk of lower graduation rates or longer time-to-degree. These efforts have shown promising results – the economic and racial/ethnic diversity of UC students continues to increase and the percentage of undergraduates who complete their studies on time has steadily improved over the last decade. However, more work can and should be done to increase access, improve graduation rates overall, and close achievement gaps. UC actively seeks out partnerships with the state to pursue this work.

As part of a package of initiatives proposed by Senate President Pro Tempore Kevin De León, the 2016-17 State budget for the University of California included one-time funds for support services for “low-income students and students from underrepresented minority groups,” including students who were enrolled in high schools in which more than 75 percent of the school’s total enrollment (unduplicated) is composed of pupils who are identified as either English learners, eligible for a free or reduced-price meal, or foster youth. These schools, which are eligible for supplemental funding under the state’s Local Control Funding Formula (LCFF), are known as “LCFF plus” (LCFF+) schools. This one-time funding was intended to help increase the application, admission, and enrollment of students from LCFF+ high schools.

UC campuses leveraged the one-time funding to engage with LCFF+ high schools and other partners in efforts to increase applications, admissions, and enrollments of these students, including:

- Partnering with community-based organizations to raise awareness of UC, and better serve LCFF+ students and their families.
- Using UC proprietary software (e.g., UC’s Transcript Evaluation Service) and other tools to identify students attending LCFF+ schools who are close to achieving UC eligibility and providing college advising and academic enrichment programs to those students.
- Providing LCFF+ high school students with UC campus experiences (e.g., campus visits, residential programs) and/or connecting them with UC student peer mentors.

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- Raising awareness of UC at LCFF+ schools during the application period for current seniors and in spring 2017 for students in grades 9-11 by conducting additional application, college preparation, and financial aid workshops for students and parents, and providing support for students preparing responses to the “personal insight” questions on the UC application.
 - Conducting targeted “yield” activities (e.g., campus visits and advising) for students attending LCFF+ schools who are admitted to UC and providing transition support to reduce the number of admitted students who have indicated they will enroll in the fall but fail to do so.

In addition, as a system, UC has undertaken special efforts to increase applications and admissions of low-income students and those from LCFF+ schools. For the fall 2017 application cycle, campuses received special rosters of all applicants from LCFF+ high schools to help identify these applicants and ensure they received full consideration in the comprehensive review process. For 2018, the UC application system will be redesigned to automatically identify these applicants on their UC applications, much as students who qualify for the Eligibility in the Local Context program are currently identified. UC is also redesigning its application fee waiver process (by which low-income students may apply to up to four campuses without paying the application fee) so that applicants who report low family incomes are automatically granted these waivers, rather than being required to apply for them.

Applications, Admissions, and Enrollments

The following analyses look at California residents from LCFF+ California high schools in the fall 2017 freshman application cycle. It is important to note that there was an unprecedented increase in the overall number of admits and enrollees in 2016 (compared to 2015) as the University increased the enrollment of California residents. The overall numbers of admits and enrollees (from all high schools) in the incoming class of 2017 were larger than in 2015, but represent a small decrease from 2016. Therefore, data for two prior years (2015 and 2016) are provided for context along with the 2017 data.

UC Systemwide

The number of applicants from LCFF+ high schools went up from 22,532 in 2015 to 23,250 in 2016 and 25,428 in 2017 as the overall number of applications continued to grow (Table 1), representing annual increases of about 3% and 9% respectively. Applicants from LCFF+ high schools represented about one-sixth (16-17%) of graduates of LCFF+ high schools in 2015 and 2016, the latest years for which data are available.¹

¹ Note that the data on California public high school graduates include international students, who are excluded from the figures for applicants, admits, and enrollees. This inclusion of international students in the total number of high school graduates likely results in a slight underestimation of the application rate. Data are not yet available for 2017 California public high school graduates.

Table 1. High School Graduates, Applicants, Admits, Enrollees by LCFF+ Status of High School, UC Systemwide

		LCFF+ Schools	All High Schools
2015	H.S. Graduates	141,639	425,730
	Applicants	22,532	89,760
	Admits	11,898	53,562
	Enrollees	7,172	29,683
	Application Rate	16%	21%
	Admit Rate	53%	60%
	Yield Rate	60%	55%
2016	H.S. Graduates	138,305	428,033
	Applicants	23,250	92,208
	Admits	14,305	62,304
	Enrollees	8,449	34,895
	Application Rate	17%	22%
	Admit Rate	62%	68%
	Yield Rate	59%	56%
2017	H.S. Graduates	n/a	n/a
	Applicants	25,428	98,195
	Admits	14,388	61,616
	Enrollees	8,161	34,183
	Application Rate	n/a	n/a
	Admit Rate	57%	63%
	Yield Rate	57%	55%

Source: LCFF+ designation based on 2015-16 enrollment data from the California Department of Education. High school graduates from 2014-15 and 2015-16 data, from the California Department of Education. Applicants, admits, and enrollees from UC Application Processing (UCAP) data, August 22, 2017 for fall 2017 admissions cycle and UC Data Warehouse, Undergraduate Admissions Data Mart for fall 2015 and fall 2016.

The number of students from LCFF+ high schools admitted to UC as new freshmen went up from 11,898 in 2015 to 14,305 in 2016 and 14,388 in 2017, representing annual increases of about 20% and 1% respectively. The admit rate for students from LCFF+ schools was 57% in 2017, up from 53% in 2015 but a decrease from 62% in 2016, following the same year by year pattern as overall admit rates. The number of students from LCFF+ high schools enrolling as new freshmen at UC in 2017 (8,161) was larger than in 2015 (7,127), but represent a small decrease from 2016 (8,449) due to the unprecedented increase in the overall number of admits and enrollees in 2016 (compared to 2015) as the University increased the enrollment of California residents. Almost one-quarter (24%) of the fall 2017 freshmen incoming class was from LCFF+ high schools, the same share as in fall 2016 and fall 2015.

UC Campuses

In all three years, UC Irvine had more freshman applicants from LCFF+ high schools than any other campus, going up from 13,448 in 2015 to 14,153 in 2016 and 15,907 in 2017 (Table 2), for annual increases of 5% and 12% respectively. In 2017, UC Merced had the largest number of freshman admits (5,476) and the highest admit rate (66%) for students from LCFF+ high schools. UC Riverside was second with 5,202 students and an admit rate of 40%.

Table 2. Applicants, Admits, Enrollees from LCFF+ High Schools, by UC Campus

	Berkeley	Davis	Irvine	Los Angeles	Merced	Riverside	San Diego	Santa Barbara	Santa Cruz	
2015	Applicants	7,781	8,447	13,448	11,822	6,519	10,700	9,314	10,325	8,617
	Admits	1,175	2,547	4,258	1,760	3,413	4,328	2,127	2,794	2,317
	Enrollees	548	766	1,463	968	767	927	534	753	446
	Admit Rate	15%	30%	32%	15%	52%	40%	23%	27%	27%
	Yield Rate	47%	30%	34%	55%	22%	21%	25%	27%	19%
2016	Applicants	7,674	8,869	14,153	12,097	7,422	11,833	9,481	10,561	8,623
	Admits	1,102	3,038	4,419	1,981	5,071	6,109	3,240	2,758	2,529
	Enrollees	531	877	1,355	1,209	985	1,604	905	611	372
	Admit Rate	14%	34%	31%	16%	68%	52%	34%	26%	29%
	Yield Rate	48%	29%	31%	61%	19%	26%	28%	22%	15%
2017	Applicants	8,216	9,375	15,907	13,161	8,322	13,049	10,367	11,007	9,729
	Admits	1,345	3,213	3,586	1,742	5,476	5,202	3,161	2,939	2,237
	Enrollees	620	958	1,156	1,054	1,159	1,236	963	610	408
	Admit Rate	16%	34%	23%	13%	66%	40%	30%	27%	23%
	Yield Rate	46%	30%	32%	61%	21%	24%	30%	21%	18%

Source: LCFF+ designation based on 2015-16 enrollment data from the California Department of Education. Applicants, admits, and enrollees from UC Application Processing (UCAP) data, August 22, 2017 for fall 2017 admissions cycle and UC Data Warehouse, Undergraduate Admissions Data Mart for fall 2015 and fall 2016.

In 2017, UC Riverside, UC Irvine and UC Merced had the largest number (about 1,200) of incoming freshmen from LCFF+ high schools. At UC Davis, UC Merced, and UC San Diego, the number of incoming freshmen from LCFF+ high schools increased in both 2016 and 2017. At six of the nine undergraduate campuses, the number of incoming freshmen from LCFF+ high schools was higher in 2017 than in 2015.

Race/Ethnicity

The share of freshman applicants, admits, and enrollees from LCFF+ high schools who were underrepresented minorities (URMs) increased in both 2016 and 2017, even as the share of LCFF+ high school graduates who were URMs stayed about the same (Table 3).² In 2017, 80% of applicants from LCFF+ high schools were URMs, up slightly from 78% in 2015 and 79% in 2016.³ URMs represented 77% of admits in 2017, also up from 2015 (73%) and 2016 (76%). Three-quarters (75%) of the incoming freshmen from LCFF+ schools in 2017 were URMs, up from 71% in 2015 and 74% in 2016. The share of LCFF+ high school graduates who were URMs stayed fairly steady at 83% in 2015 and 84% in 2016, the latest years of data available. In all three years, the largest racial/ethnic group among applicants, admits, and enrollees from LCFF+ high schools was Hispanic/Latino(a).

² Underrepresented minorities are African Americans, American Indians, and Hispanics/Latinos(as).

³ Race/ethnicity categories are defined differently in the data for high school graduates than in the data for UC applicants, admits, and enrollees. For this reason, application rates noted in this report are approximations.

Table 3. High School Graduates, Applicants, Admits, Enrollees from LCFF+ High Schools, by Race/Ethnicity

	African American	American Indian	Hispanic/Latino(a)	Asian/Pacific Islander	White	Unknown	Two or More Races
2015	H.S. Graduates	10,822	679	106,211	11,572	10,741	1,217
	Applicants	1,503	45	16,002	3,684	858	n/a
	Admits	535	25	8,150	2,469	535	n/a
	Enrollees	313	13	4,774	1,662	307	n/a
	Application Rate	14%	7%	15%	32%	8%	111%
	Admit Rate	36%	56%	51%	67%	62%	42%
	Yield Rate	59%	52%	59%	67%	57%	56%
2016	H.S. Graduates	9,638	660	105,475	10,381	10,207	1,461
	Applicants	1,491	48	16,924	3,589	794	n/a
	Admits	698	37	10,191	2,644	514	n/a
	Enrollees	393	15	5,828	1,803	290	n/a
	Application Rate	15%	7%	16%	35%	8%	84%
	Admit Rate	47%	77%	60%	74%	65%	55%
	Yield Rate	56%	41%	57%	68%	56%	54%
2017	H.S. Graduates	n/a	n/a	n/a	n/a	n/a	n/a
	Applicants	1,611	47	18,745	3,749	802	n/a
	Admits	740	21	10,320	2,563	509	n/a
	Enrollees	406	11	5,700	1,658	270	n/a
	Application Rate	n/a	n/a	n/a	n/a	n/a	n/a
	Admit Rate	46%	45%	55%	68%	63%	50%
	Yield Rate	55%	52%	55%	65%	53%	49%

Source: LCFF+ designation based on 2015-16 enrollment data from the California Department of Education. High school graduates from 2014-15 and 2015-16 data, from the California Department of Education. Applicants, admits, and enrollees from UC Application Processing (UCAP) data, August 22, 2017 for fall 2017 admissions cycle and UC Data Warehouse, Undergraduate Admissions Data Mart for fall 2015 and fall 2016.

Notes: Race/ethnicity categories are defined differently in the data for high school graduates than in the data for UC applicants, admits, and enrollees. For this reason, application rates noted in this report are approximations.

The number of African American admits and enrollees from LCFF+ high schools in the incoming class grew steadily from 2015 to 2017. The number of admits went up 30% in 2016 and 6% in 2017, while the number enrolling went up 26% in 2016 and 3% in 2017. This was the only racial/ethnic group showing this pattern of year-by-year growth among admits and enrollees from 2015 to 2017. There were 1,611 African American applicants, 740 admits, and 406 enrollees from LCFF+ high schools in 2017.

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