This report fulfills a Regents' requirement that compensation for Outside Professional Activities (OPA) for Deans and Certain Other Full-time Faculty Administrators be submitted annually. Since these academic administrators are also faculty and not members of the Senior Management Group (SMG), the Academic Personnel Program governs the reporting.

# **History**

In November 2009, the Regents approved the deans' salary structure and transfer of deans from the SMG to the Academic Personnel Program. In accordance with the November 2009 Regents' Action Item, APM-240, Deans was issued effective January 1, 2010<sup>1</sup>. In May 2010, the Regents approved a corollary action transferring "Certain Other Full-Time Faculty Administrator" positions from SMG to the Academic Personnel Program APM-246, Faculty Administrators (100% Time)<sup>2</sup>. As part of these approvals, it

<sup>&</sup>lt;sup>1</sup> Full-time academic deans, including those holding acting/interim appointments, are governed by APM-240, Deans, effective January 1, 2010. A dean with a concurrent title of vice chancellor or a dean reporting solely to the chancellor is subject to SMG policies. University Extension deans and Student Affairs deans are not subject to APM-240. <a href="http://www.ucop.edu/academic-personnel/files/apm/apm-240.pdf">http://www.ucop.edu/academic-personnel/files/apm/apm-240.pdf</a>

<sup>&</sup>lt;sup>2</sup> APM-246, Faculty Administrators (100% Time): http://www.ucop.edu/academic-personnel/ files/apm/apm-246.pdf

is required that a report on OPA for the transferred population (deans and certain other full-time faculty administrators) be submitted annually to the Regents<sup>3</sup>.

# Policy

In accordance with Academic Personnel Policy Section 025, Conflict of Commitment and Outside Activities of Faculty Members (APM-025) and Section 671, Conflict of Commitment and Outside Activities of Health Sciences Compensation Plan Participants (APM-671), chancellors are responsible for managing and reporting annually on outside professional activities that have the potential to interfere with a faculty member's full-time professional obligations to the University. Either APM-025 or APM-671 applies to all faculty members, including deans and certain other full-time faculty administrators reported here. Under these policies, faculty appointed to a full-time academic-year appointment may engage in OPA for up to 39 days per year; faculty appointed to a full-time fiscal-year appointment may participate in OPA for a maximum of 48 days per year.

The purpose of these policies is to provide a framework for ensuring that potential conflicts of commitment are identified and appropriately managed, while providing flexibility for faculty to participate in a wide assortment of professional activities outside of their University responsibilities, often activities that enhance their performance as faculty members and administrators. In addition to requiring faculty to obtain pre-

<sup>&</sup>lt;sup>3</sup> See Schedule of Reports to the Regents: <a href="http://regents.universityofcalifornia.edu/">http://regents.universityofcalifornia.edu/</a> documents/pdf/schedule-of-reports.pdf

approval before engaging in activities that could cause the greatest potential for conflict of commitment, another key provision of APM-025 and APM-671 is the requirement that faculty annually report OPA to the chancellor. The University is strongly committed to the value of OPA; such activities help drive the economic engine of the state, fulfill our commitment to public service, and provide practical experience and knowledge valuable to teaching, research, and creative activity.

The University is cognizant of the value derived by both faculty and the University from faculty members' engagement in OPA. The University is also mindful of increased responsibilities assumed by faculty appointed to administrative titles. As a condition of employment in the dean or full-time faculty administrator titles and in recognition of the value of OPA, tempered with the need to balance those activities with administrative duties, in addition to the provisions in APM-025 and APM-671, the following restrictions apply (APM-240 and APM-246):

- Compensated board service is limited to no more than three for-profit boards that are not entities of the University of California and for which there are governance responsibilities;
- All compensated OPA, including compensated consulting, must be reported annually to the chancellor;
- Fiscal-year deans and full-time faculty administrators may engage in a maximum
  of 48 calendar days of compensated OPA per fiscal year of which up to 12
   University workdays may be used before deducting from vacation leave

balances. Any days beyond 12 must be taken as vacation days, unless work is performed on nights and weekends.

# **Current Report**

This annual report covers compensated OPA actions that occurred from July 1, 2016 through June 30, 2017. During this period, a total of 106 compensated activities occurred. Specifically, 335 compensated days of activities occurred for 50 deans and 7 certain other full-time faculty administrators from a total reporting population of 111 deans and 18 other faculty administrators. A total of \$1,526,060 in cash compensation was reported for all ten campuses. In 2016-17, 44% of the covered population reported compensated OPA; this is an increase from 31% reporting for 2015-16.

Deans and Certain Other Certain Full-Time Faculty Administrators
Grouped By Compensation Range

Number of Deans and	Range (Total Cash Compensation Received by Deans							
Certain Other Full-Time	or Certain Other Full-Time Faculty Administrators for							
Faculty Administrators	Outside Professional Activities) <sup>4</sup>							
Receiving Compensation								
11	\$0 to \$999							
18	\$1,000-\$4,999							
14	\$5,000-\$19,999							
5	\$20,000-\$49,999							
3	\$50,000-\$99,999							
6	Over \$100,000							

4

<sup>&</sup>lt;sup>4</sup> For deans and certain faculty administrators with concurrent appointments in the Health Sciences Compensations Plan, OPA earnings thresholds established under APM-671 apply.

The median cash compensation for each professional activity was \$2,480. Sixty-eight (68) non-profit and thirty-eight (38) for-profit organizations were served. Stocks, stock options, and restricted stock units were granted to four individuals. All 106 compensated activities during the reporting period are reported by the campuses to be within policy (APM-025, APM-671, APM-240, and APM-246).

The table below tallies the mix of OPA days used without surrendering vacation days and OPA days for which vacation days were surrendered for the 57 deans and certain other full-time faculty administrators reporting compensated OPA for 2016-17.

# Deans and Certain Other Certain Full-Time Faculty Administrators Non-Profit versus For-Profit Activities

Type of Business	OPA Days Served (without surrendering vacation)	Vacation Days Surrendered for OPA
Total Non-Profit Activities	223	26
Total For-Profit Activities	112	28
Grand Total	335	54

This is moderate use of available days. The 57 deans and faculty administrators reported here were entitled by policy to a total of 684 days during which they could have engaged in compensated OPA without surrender of vacation days. Of those 57 deans and faculty administrators, 52 (91%) used fewer than 12 workdays and therefore were not required to surrender vacation days. If all 129 deans and other faculty administrators used the 12-day allotment, there would have been 1,548 workdays during which they could have engaged in OPA. This is comparable to the 2015-16 report

where 93% of the reporting deans and faculty administrators used fewer than 12 workdays.

Outside professional activities contribute to fulfilling the University's stated missions of teaching, research, and public service. Compliance with APM-025, APM-671, APM-240, and APM-246 ensures that such OPA do not conflict with the faculty administrators' primary professional allegiance to the University. In line with these principles, the attached report ensures accountability and transparency for such activities.

#### Please Note:

- 1) All faculty may engage in outside professional activities (OPA) in accordance with Academic Personnel Manual Section 025 (APM-025) or Section 671 (APM-671). Faculty appointed to a full-time academic-year appointment may engage in OPA for up to 39 days per academic year; faculty appointed to a full-time fiscal-year appointment may participate in OPA for a maximum of 48 days per fiscal year. Additional restrictions governing compensated OPA are placed on faculty appointed to a career, acting, or interim dean or faculty administrator title under APM-240, Deans or APM-246, Faculty Administrator (100% Time). Faculty appointed to full-time administrative positions under these policies may engage in up to 12 workdays per fiscal year of compensated OPA before using vacation leave, but are required to deduct accrued vacation leave for any compensated OPA performed in excess of the 12 workdays until they reach the 48 day limit for fiscal-year appointees. Academic-year appointees do not accrue vacation leave and therefore are limited to 39 days per academic year without use of vacation leave.
- 2) Deans and faculty administrators may serve on no more than three for-profit external boards (not entities of the University of California) for which they receive compensation and for which they pave governance responsibilities (APM 240-20 (c)(1)).
- 3) Full-time deans and faculty administrators are subject to APM-025 with the exception that full-time deans and faculty administrators who participate in the Health Sciences Compensation Plan (APM-670) are subject to APM-671.
- 4) Reporting may reflect a "zero" entry under "Time Served (Days)" category below if the compensated outside professional activity was conducted during non-University hours.

Employee Name		Location	Title								
Name of Organization (Prof'l Svc Provided for)	Non- Profit=N Profit=P		Time Served (Days)	Vacation Taken (Days)	Cash Comp (\$)	Deferred Comp (\$)	Description of Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price Per Share (\$)	Comments
ARUM, RICHARD		UCI	DEAN, SCHOO	L OF EDUCA	ATION						
Social Science Research Council	N	Senior Academic Advisor	2	0	\$4,000						
BARRETT, KIM ELAINE		UCSD	DEAN, GRADU	JATE DIVISI	ON						
Vanderbilt University	N	Presenter	2	0	\$500						Stepped down as dean 12/31/16
National Institutes of Health	N	Reviewer	1	0	\$200						
The Physiological Society of the UK and Ireland	N	Editor-The Journal of Physiology	0	0	\$13,400						
BERGLUND, LARS		UCD	INTERIM DEA	N, SCHOOL	OF MEDICINE						
University of Chicago	N	Advisory Board Member	2	0	\$1,000						
BOTCHAN, MICHAEL		UCB	INTERIM DEA	N, DIVISION	OF BIOLOGICA	L SCIENCES					
Howard Hughes Medical Institute	N	Medical Advisory Board Chair	12	0	\$45,000						
eLife	N	Editor	0	0	\$3,000						
CARTER, PRUDENCE		UCB	DEAN, SCHOO	L OF EDUCA	ATION						
Johns Hopkins University	N	Lecturer	1	0	\$1,000						
American Educational Studies Association	N	Keynote Speaker	1	0	\$4,000						
CASSEDY, STEVEN		UCSD	INTERIM DEA	N, GRADUA	TE DIVISION						
La Jolla Music Society	N	Presenter	1	0	\$1,000						Interim dean effective 1/1/17-3/31/17
CHEMERINSKY, ERWIN		UCI	DEAN, SCHOO	L OF LAW							
BarBri	Р	Lecturer	9	9	\$45,000						
CURTIS, JENNIFER S.		UCD	DEAN, COLLEG	SE OF ENGIN	NEERING						
American Institute of Chemical Engineers	N	Associate Editor	0	0	\$2,400						
DENNIN, MICHAEL B.		UCI	DEAN, DIVISIO	ON OF UND	ERGRADUATE E	DUCATION					
UCI Division of Continuing Education	N	Instructor	6	0	\$3,000						
EDLESON, JEFFREY		UCB	DEAN, SCHOO	L OF SOCIA	L WELFARE						
University of California, Los Angeles	N	Reviewer	2	0	\$1,000						Review of UCLA Department of Social Welfare, additional UC compensation per policy
International Family Violence Conference	N	Presenter	2	0	\$3,000						oc compensation per policy
China Big Data Expo, Guiyang, China	Р	Presenter, site visitor	3	0	\$1,000						
Sage Publications Series Editor	Р	Editor	2	0	\$2,250						

Name of Operation   Position	Employee Name		Location	Title								
Politic   Poli		Non-	Role	Time Served	Vacation	Cash Comp	Deferred	Description	Other Comp	Description	Strike Price Per Share	Comments
LANGAGAN,   POINT   VEC   POINT   STANDAM   POINT	Prof'l Svc Provided for)			(Days)		(\$)	Comp (\$)		(\$)		(\$)	
LANGAON,   JOIN	FAN, CHI-FUN CINDY		UCLA	VICE PROVOS	ST, INTERNA	FIONAL STUDIE	S AND GLOB	AL ENGAGEM	ENT			
Lact Action Membries	Hong Kong University Grants Committee	N	Review Panel Chair	3	0	\$9,218						
Action Parameterization   P   Speaker   0   0   \$300	FLANAGAN, JOHN		UCB	DEAN, SCHOO	OL OF OPTO	METRY						
Sealer   S	Carl Zeiss Meditec	Р	Consultant	15	3	\$60,000						
CARINES, STEVEN	Alcon Pharmaceuticals	Р	Advisory Board Member	0	0							
DAILY STREEM  USS  DEAN, SCHOOL OF ENVIRONMENTAL SCIENCE AND MANAGEMENT  Duble University  P Cosen Analytics  N External Relevelw  2 0 53,00 59,000  DEAN, COLLEGE OF NATURAL RESOURCES  Collisars, A KETTN  USS  DEAN, COLLEGE OF NATURAL RESOURCES  Collisars, A KETTN  USS  DEAN, COLLEGE OF NATURAL RESOURCES  COMEZ, LAURA  Micropolitan State University of Denner  N Spenker  2 0 0 58,000  ANALYTICAL STREEM  USS  DEAN, SCHOOL OF PRARMACY  COLLEGE OF NATURAL RESOURCES  COLLEGE OF NATURAL	East Coast Glaucoma Symposium	Р	Speaker	0	0	\$1,000						
Duble University   P	SkiVision	Р	Speaker	1	1	\$1,500						
December   P   Consultant   3   0   \$5,000	GAINES, STEVEN		UCSB	DEAN, SCHOO	OL OF ENVIR	ONMENTAL SC	IENCE AND I	MANAGEMENT	Г			
GILLES, J. KEITH  UCB  DEAN, COLLEGE OF NATURAL RESOURCES  California State Board of Forestry and Fire Protection  N Chair  25 13 \$2,500  GOMEZ, AUNA  Micropolitan Sate University of Deriver  N Speaker  2 0 \$8,000  Actions Baste University of Deriver  N Repinde Speaker  2 0 \$8,000  Actions Baste University  N Repinde Speaker  2 0 \$8,000  Actions Baste University  N Repinde Speaker  1 0 \$3,000  GOMEZ, AUNA  UCSF  DEAN, SCHOOL OF PHARMACE  Since I I day of total service between 9/16/16-49  Brown Law Firm, P.C.  P Medical Legal Expert  1 0 \$2,755  1 day of total service between 9/16/16-49  Rown Law Firm, P.C.  P Medical Legal Expert  1 0 \$2,450  1 day of total service between 10/12/16-29  HAMSON, GOBDON  UCSD  ACTING DEAN, SCHOOL OF GUBBLE POLICY AND STRATEGY  Precisional incorporated  Member of Board of Directors  10 0 \$125,000  Acting Gean effective 8/1/16-6/30/17. April  Diournal of Economic Perspectives  N Co-editor  20 0 \$42,300  Acting Gean effective 8/1/16-6/30/17. April  Diournal of Economic Perspectives  N Author  3 0 \$50,000  Per Policy, All DPA counts towards 39 day  HELLMAN, FRANCES  UCB  DEAN, DIVISION OF MATHEMATICAL AND PHYSICAL SCIENCES  HERMALINA, BENIAMIN  UCB  VER PROVOST FOR THE FACULTY  Annius University  HERMALINA, BENIAMIN  UCB  VER PROVOST FOR THE FACULTY  Annius University  N Speaker  1 1 \$9,400  Acting Gean effective 8/1/16-6/30/18  N Speaker  1 1 \$1,000  Socrance  HERMALINA, BENIAMIN  UCSB  ASSOCIATE VICE PROVOST FOR THE FACULTY  Annius University of New Mesico Press  N Speaker  1 1 \$1,000  Socrance  HERMALINA, BENIAMIN  N DOCARD dissertation committee  A 0 \$500  1400	Duke University	N	External Review	2	0	\$1,000						
California State Board of Forestry and Fire Protection N Chair 25 13 \$2,500  GOMEZ, LAURA Metropolitan State University of Derwer N Speaker 2 0 \$8,000 Antrona State University of Derwer N Keynote Speaker 1 0 0 33,000  GUGLIELMO, BERNARD J. SCHOOL OF PHARMACY BROWN Law Firm, P.C. P Medical Legal Expert 1 0 0 \$2,575 1 day of total service between 9/16/16-4/8 BROWN Law Firm, P.C. P Medical Legal Expert 1 1 0 \$2,575 1 day of total service between 9/16/16-4/8 BROWN Law Firm, P.C. P Medical Legal Expert 1 0 0 \$2,2460 1 day of total service between 9/16/16-4/8 BROWN Law Firm, P.C. P Medical Legal Expert 1 0 0 \$2,2460 1 day of total service between 9/16/16-4/8 BROWN Law Firm, P.C. P Medical Legal Expert 1 0 0 \$2,2460 1 day of total service between 9/16/16-4/8 BROWN Law Firm, P.C. P Medical Legal Expert 1 0 0 \$2,2460 1 day of total service between 9/16/16-4/8 BROWN Law Firm, P.C. P Member of Board of Directors 10 0 \$2,2400 Acting dean effective \$1/116-6/30/17. Apr Journal of Economic Perspectives N Co-editor 20 0 \$42,500 Author 3 0 \$9,000 Per policy, All OPA Counts towards 39 day PELLMAN, FRANCES UB DEAN, DIVISION OF MATHEMATICAL AND PHYSICAL SCIENCES  Lewernce Berkeley National Laboratory N P Principal Investigator 1 0 10 \$10,949  HERIMAN, BENJAMIN UGB VICE PROVOST FOR THE FACULTY  Aarhus University RNRE Tokyo Center for Economic Research, Centre for Economic Research Conference on Corporate Economic	Ocean Analytics	Р	Consultant	3	0	\$9,000						
Metropolitan State University of Deriver N Speaker 2 0 \$8,000 Artiona State University N Keynote Speaker 1 0 \$3,000  GUGLELMO, BERNARD J.  UCSF DEAN, SCHOOL OF PHARMACY Sinel & Wilmer LLP P Medical Legal Expert 1 0 \$2,755 1 day of total service between 9/16/16-47 Rown Law Firm, P.C. P Medical Legal Expert 1 0 \$2,755 1 day of total service between 9/16/16-47 Rown Law Firm, P.C. P Medical Legal Expert 1 0 \$2,755 1 day of total service between 10/12/16-2  HANSON, GORDON UCSD ACTINO DEAN, SCHOOL OF GLOBAL POLICY AND STRATEGY Pricesmart Incorporated P Member of Board of Directors 10 0 \$125,000 Acting dean effective 8/1/16-6/30/17. April Decommic Research Institute for ASEAN N Author 3 0 \$9,000 Acting dean effective 8/1/16-6/30/17. April Decommic Research Institute for ASEAN N Author 3 0 \$9,000 an academic year-basis with no vacation are per policy. All OPA counts towards 39 day  HELLMAN, FRANCES UCB DEAN, DIVISION OF MATHEMATICAL AND PHYSICAL SCIENCES  Lawrence Berkeley National Laboratory N Principal Investigator 10 10 \$10 \$10,349  HERMALIN, BENJAMIN UCB VICE PROVOST FOR THE FACULTY  N Reynote Speaker 1 5 5,9400  HERRALIN, BENJAMIN UCB VICE PROVOST FOR THE FACULTY  N Speaker 1 5 5,9400  HERRALIN GENJAMIN N SPEAKER N SPEA	GILLESS, J. KEITH		UCB	DEAN, COLLE	GE OF NATU	RAL RESOURCE	S					
Methopolitian State University of Denwer   N   Speaker   2   0   \$8,000	California State Board of Forestry and Fire Protection	N	Chair	25	13	\$2,500						
N Keynote Speaker 1 0 \$3,000    SUGS	GOMEZ, LAURA		UCLA	INTERIM DEA	N, DIVISION	OF SOCIAL SCI	ENCES					
SidulieLMO, BERNARD J.  UCSF  DEAN, SCHOOL OF PHARMACY  Sinell & Wilmer LLP  P Medical Legal Expert  1 0 52,575  1 day of total service between 9/16/16-49  Brown Law Firm, P.C.  P Medical Legal Expert  1 0 52,575  1 day of total service between 9/16/16-49  Rown Law Firm, P.C.  P Medical Legal Expert  1 0 52,460  1 day of total service between 10/12/16-2  ACTING DEAN, SCHOOL OF GLOBAL POLICY AND STRATEGY  Acting dean effective 8/1/16-6/30/17. App  Member of Board of Directors  10 0 51,500  Acting Dean, SCHOOL OF GLOBAL POLICY AND STRATEGY  Acting dean effective 8/1/16-6/30/17. App  Member of Board of Directors  10 0 542,500  Acting dean effective 8/1/16-6/30/17. App  an academic year-basis with no vacation a  Economic Research Institute for ASEAN  N Author  3 0 \$9,000  PFINICIPAL SCHOOL OF GLOBAL POLICY AND STRATEGY  Acting dean effective 8/1/16-6/30/17. App  Acting dean ef	Metropolitan State University of Denver	N	Speaker	2	0	\$8,000						
Snell & Wilmer LLP P Medical Legal Expert 1 0 \$2,575 1 day of total service between 9/16/16-4/ Brown Law Firm, P.C. P Medical Legal Expert 1 0 \$2,460 1 day of total service between 10/12/16-2  HANSON, GORDON - UCSD ACTING DEAN, SCHOOL OF GLOBAL POLICY AND STRATEGY  P Member of Board of Directors 10 0 \$125,000 Acting dean effective 8/1/16-6/30/17. Apr  Diomral of Economic Perspectives N Coeditor 10 0 \$125,000 Acting dean effective 8/1/16-6/30/17. Apr  Economic Research Institute for ASEAN N Author 3 0 \$9,000 an academic year-basis with no vacation a  Economic Research Institute for ASEAN N Principal Investigator 10 10 \$10,949  HELLMAN, FRANCES  Lawrence Berkeley National Laboratory N Principal Investigator 10 10 \$10,949  HERMALIN, BENJAMIN UCB VICE PROVOST FOR THE FACULTY  Aarhus University Ocherer for Economic Research, Centre for Economic Research Conference on Corporate Governance  HERRERA-SOBEK, MARIA	Arizona State University	N	Keynote Speaker	1	0	\$3,000						
Brown Law Firm, P.C.  P Medical Legal Expert 1 0 5 \$2,460 1 day of total service between 10/12/16-2  HANSON, GORDON  UCSD  ACTING DEAN, SCHOOL OF GLOBAL POLICY AND STRATEGY  PriceSmart Incorporated P Member of Board of Directors 10 0 \$125,000 Acting dean effective 8/1/16-6/30/17. App an academic year-basis with no vacation a become fire Research Institute for ASEAN N Author 3 0 \$50,000 per policy. All DPA counts towards 39 day  HELLMAN, FRANCES Lawrence Berkeley National Laboratory N Principal Investigator 10 10 \$10,949  HERMALIN, BENJAMIN UCB  VICE PROVOST FOR THE FACULTY  Aarhus University NRER-Tokyo Center for Economic Research, Centre for Economic Research, Centre for Economic Poles (Research Conference on Corporate Governance  HERRERA-SOBEK, MARIA UCSB  ASSOCIATE VICE CHANCELLOR, DIVERSITY AND EQUITY  KITH Royal Institute of Technology (Stockholm N Revelver) N Revelver 5 0 5900  DEAN, UNDERGRADUATE DIVISION  DEAN, UNDERGRADUATE DIVISION	GUGLIELMO, BERNARD J.		UCSF	DEAN, SCHOO	OL OF PHARM	масу						
HANSON, GORDON  UCSD  ACTING DEAN, SCHOOL OF GLOBAL POLICY AND STRATEGY  PriceSmart Incorporated P Member of Board of Directors 10 0 \$125,000 Acting dean effective 8/1/16-6/30/17. April Invariance of Economic Research Institute for ASEAN N Author 3 0 \$9,000 Author 3 0 \$9,000 Acting dean effective 8/1/16-6/30/17. April Invariance Asea of Spood per policy. All OPA counts towards 39 day  HELLMAN, FRANCES UCB  DEAN, DIVISION OF MATHEMATICAL AND PHYSICAL SCIENCES  HERMALIN, FRANCES UCB  VICE PROVOST FOR THE FACULTY  Aarhus University Authorizing N Keynote Speaker 1 1 \$9,400 NERE-Tokyo Center for Economic Research, Centre for Economic Research Conference on Corporate Gooverance  N Speaker 1 1 \$1,000 Speaker 1 5 \$9,000 Speaker Speaker 1 5 \$9,000 Speaker Sp	Snell & Wilmer LLP	Р	Medical Legal Expert	1	0	\$2,575						1 day of total service between 9/16/16-4/17/17
PriceSmart Incorporated Processmart Incorporat	Brown Law Firm, P.C.	Р	Medical Legal Expert	1	0	\$2,460						1 day of total service between 10/12/16-2/15/17
Journal of Economic Perspectives N Co-editor 20 0 \$42,500 an academic year-basis with no vacation a per policy. All OPA counts towards 39 day  HELLMAN, FRANCES Lawrence Berkeley National Laboratory N Principal Investigator 10 10 \$10,949  HERMALIN, BENJAMIN LOB VICE PROVOST FOR THE FACULTY  Aarhus University NERF-Tokyo Center for Economic Research, Centre for Economic Policy Research Conference on Corporate Goverance  HERRERA-SOBEK, MARIA KTH Royal Institute of Technology (Stockholm with the provided in th	HANSON, GORDON		UCSD	ACTING DEAM	N, SCHOOL O	F GLOBAL POL	CY AND STR	ATEGY				
HELLMAN, FRANCES Lawrence Berkeley National Laboratory N Principal Investigator 10 10 \$10,949  HERMALIN, BENJAMIN LOB VICE PROVOST FOR THE FACULTY  Rarrhus University Research Conference on Corporate Conomic Policy Research Conference on Corporate N Speaker 1 1 \$9,400  Speaker 1 1 \$1,000  Conomic Policy Research Conference on Corporate N Speaker 1 1 \$1,000  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$9,000  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990  Conomic Policy Research Conference on Corporate N Speaker 1 0 \$990	PriceSmart Incorporated	Р	Member of Board of Directors	10	0	\$125,000						Acting dean effective 8/1/16-6/30/17. Appointed of
HELLMAN, FRANCES Lawrence Berkeley National Laboratory  N Principal Investigator  10 10 \$10,949  HERMALIN, BENJAMIN  LUCB  VICE PROVOST FOR THE FACULTY  Aarhus University  N Keynote Speaker  1 1 \$9,400  NBER-Tokyo Center for Economic Research, Centre for Economic Research Conference on Corporate Goverance  HERRERA-SOBEK, MARIA  LUCSB  ASSOCIATE VICE CHANCELLOR, DIVERSITY AND EQUITY  KTH Royal Institute of Technology (Stockholm  Sweden)  N Doctoral dissertation committee member  member  MEVINEENT ON BENDAMINA  LUCSB  MEVENIENT ON BENDAMINA  N Doctoral dissertation committee member  5 0 \$900  MEVENIENT ON BENDAMINA  LUCSB  MEVENIENT ON BENDAMINA  N Reveiwer  5 0 \$900  MEVENIENT ON BENDAMINA  LUCSB  MEVENIENT ON BENDAMINA  LUCSB  MEVENIENT ON BENDAMINA  N Reveiwer  MERCERA-SOBEK, MORERT  LUCSB  MEVENIENT ON BENDAMINA  N Reveiwer  MERCERA-SOBEK, MORERT  LUCSB  MEVENIENT ON BENDAMINA  N Reveiwer  MERCERA-SOBER, MORERT  LUCSB  MEXICAL SCIENCES  NO \$10,949  NO \$9,000  NO \$900  MEVENIENT ON \$90	Journal of Economic Perspectives	N	Co-editor	20	0	\$42,500						an academic year-basis with no vacation accrual
Aurhence Berkeley National Laboratory  N Principal Investigator  10 10 \$10,949  HERMALIN, BENJAMIN  UCB  VICE PROVOST FOR THE FACULTY  Aarhus University N Keynote Speaker 1 1 \$9,400  N Speaker 1 1 \$1,000  Speaker 1 1 \$1,000  Speaker 1 1 \$1,000  Speaker 1 0 \$1,000  HERRERA-SOBEK, MARIA  CTH Royal Institute of Technology (Stockholm wedne)  N Reveiwer 5 0 \$900  Juliversity of New Mexico Press  UCB  DEAN, UNDERGRADUATE DIVISION	Economic Research Institute for ASEAN	N	Author	3	0	\$9,000						per policy. All OPA counts towards 39 day maximus
HERMALIN, BENJAMIN  Aarhus University  N Keynote Speaker  1 1 \$9,400  NEER-Tokyo Center for Economic Research, Centre for conomic Policy Research Conference on Corporate Goverance  HERRERA-SOBEK, MARIA  UCSB  ASSOCIATE VICE CHANCELLOR, DIVERSITY AND EQUITY  TOTH Royal Institute of Technology (Stockholm wedden)  University of New Mexico Press  N Reveiwer  Detail dissertation committee member  S 990  DEAN, UNDERGRADUATE DIVISION	HELLMAN, FRANCES		UCB	DEAN, DIVISI	ON OF MATI	HEMATICAL AN	D PHYSICAL	SCIENCES				
Aarhus University N Keynote Speaker 1 1 1 \$9,400 NBER-Tokyo Center for Economic Research, Centre for Economic Policy Research Conference on Corporate Goverance  HERRERA-SOBEK, MARIA CHI Royal Institute of Technology (Stockholm member University of New Mexico Press N Reveiwer  UCB  DEAN, UNDERGRADUATE DIVISION	awrence Berkeley National Laboratory	N	Principal Investigator	10	10	\$10,949						
NEER-Tokyo Center for Economic Research, Centre for Economic Policy Research Conference on Corporate Soverance  1 1 1 \$1,000  HERRERA-SOBEK, MARIA  UCSB  ASSOCIATE VICE CHANCELLOR, DIVERSITY AND EQUITY  CITH Royal Institute of Technology (Stockholm Member Member Member Member Member Member Member Member Member Mexico Press N Reveiwer 5 0 \$900  ACOBSEN, ROBERT  UCB  DEAN, UNDERGRADUATE DIVISION	HERMALIN, BENJAMIN		UCB	VICE PROVOS	T FOR THE F	ACULTY						
ACOBSEN, ROBERT  N Speaker  1 1 1 \$1,000  1 1 \$1,000  1 1 \$1,000  1 1 1 \$1,000  1 1 1 \$1,000  1 1 1 \$1,000  1 1 1 \$1,000  1 1 1 \$1,000  1 1 1 \$1,000  1 1 1 \$1,000  1 1 1 \$1,000  1 1 1 \$1,000  1 1 1 1 1 \$1,000  1 1 1 1 1 \$1,000  1 1 1 1 1 \$1,000  1 1 1 1 1 \$1,000  1 1 1 1 1 \$1,000  1 1 1 1 1 \$1,000  1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 1 1 1 1 \$1,000  1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	•		Keynote Speaker	1	1	\$9,400						
KTH Royal Institute of Technology (Stockholm N Doctoral dissertation committee member 4 0 \$900 Sudden) University of New Mexico Press N Reveiwer 5 0 \$90	Economic Policy Research Conference on Corporate		Speaker	1	1	\$1,000						
Sweden)  Member  4 0 35900  University of New Mexico Press  N Reveiwer  5 0 \$90  MACOBSEN, ROBERT  UCB  DEAN, UNDERGRADUATE DIVISION				ASSOCIATE V	ICE CHANCE	LLOR, DIVERSIT	Y AND EQUI	TY				
ACOBSEN, ROBERT UCB DEAN, UNDERGRADUATE DIVISION		N		4	0	\$900						
	Jniversity of New Mexico Press	N	Reveiwer	5	0	\$90						
Organisation Européene pour la Recherche Nucléaire N Lecturer 3 0 \$200	ACOBSEN, ROBERT		UCB	DEAN, UNDE	RGRADUATE	DIVISION						
	l'Organisation Européene pour la Recherche Nucléaire	e N	Lecturer	3	0	\$200						

Employee Name Name of Organization (Prof'l Svc Provided for)  Profi  JOHNSON, KEVIN R.  New York University Press Immigration Blog Temple University  KING LIU, TSU-JAE	n- t=N it=P	UCD Reviewer Reviewer Reviewer Reviewer	Time Served (Days)  DEAN, SCHOOL  1 0	Vacation Taken (Days)	Cash Comp (\$)	Deferred Comp (\$)	Description C of Deferred Comp	ther Comp (\$)	Description of Other Comp	Strike Price Per Share (\$)	Comments
New York University Press Immigration Blog Temple University	I I	Reviewer Reviewer	1	DL OF LAW					- Сор		
Immigration Blog N Temple University N	l I	Reviewer									
Temple University N	I		0	0	\$300						
		Reviewer		0	\$300						
KING LIU, TSU-JAE			1	0	\$300						
		UCB	VICE PROVOS	T, ACADEMI	C AND STRATE	GIC PLANNIN	IG				
Intel Corporation F		Member, Board of Directors	7	0	\$102,500						RSUs granted on July 27, 2016 with a combined target value on the grant date of approximately \$110,000. RSI granted on February 1, 2017 with a combined target value on the grant date of approximately \$220,000. Tin based RSUs vest in equal annual installments over three years. Performance-based RSUs vest and convert into between 0% and 200% of the target shares, based on company performance. These RSUs do not have any strike price. Cash compensation is separate from RSU grant.
AU Optronics Corporation		Expert Witness	2	0	\$7,650						
LEE, HERBERT, III		UCSC	VICE PROVOS	T, ACADEMI	C AFFAIRS						
Hong Kong Research Grants Council	I	Reviewer	0	0	\$441						
LYONS, RICHARD		UCB	DEAN, HAAS	SCHOOL OF	BUSINESS						
Matthews Asia Funds		Independent Trustee	7	7	\$167,000						
Syntax LLC F		Consultant	3	3	\$30,000						
Analysis Group P		Consultant	0.5	1	\$5,000						
MARTIN, KELSEY		UCLA	DEAN, DAVID	GEFFEN SCH	OOL OF MEDI	CINE					
Burroughs Welcome Fund	ı	Board Member	6	0	\$10,000						
McKnight Foundation	l	Board/Committee Member	4	0	\$5,000						
Harvard University, Department of Molecular &		Speaker	1	0	\$1,000						
Cellular Biology Macmillan F		Co-Author	0	0	\$5,540						
Macilillati		Co-Author	U	O	33,340						
MILLER, TYRUS H.		UCSC		T AND DEAN	I, GRADUATE S	TUDIES					
Montclair State University	l	Evaluator	2	0	\$2,500						
MURTHY, JAYATHI		UCLA	DEAN, HENRY	SAMUELI S	CHOOL OF ENG	INEERING A	ND APPLIED SCI	NCE			
University of Pennsylvania	1	Lecturer	3	0	\$500						
American Society of Mechanical Engineers	١.	Awardee	4	0	\$1,000						Received Heat Transfer Memorial Award
Lawrence Livermore National Laboratory	l	Reviewer	4	0	\$8,946						
NYAMATHI, ADELINE M.		UCI	DEAN, SUE &	BILL GROSS	SCHOOL OF NU	JRSING					
National Institutes of Health	ı	Reviewer	0	0	\$200						
OLIAN, JUDY D.		UCLA	DEAN, ANDER	RSON SCHOO	L OF MANAGE	MENT					
UCLA Anderson Executive Education (EDGE)	1	Instructor	0	0	\$1,500						
UCLA Anderson Executive Education (W50)	l	Instructor	0	0	\$1,500						
Ares Management P		Public Company Board Member	2	0	\$100,000						

Employee Name		Location	Title								
Employee Name Name of Organization	Non-	Role	Time Served	Vacation	Cash Comp	Deferred	Doccrintion	Other Comp	Description	Strike Price Per Share	Comments
(Prof'l Svc Provided for)	Profit=N Profit=P		(Days)	Taken (Days)	(\$)	Comp (\$)	of Deferred Comp	(\$)	of Other Comp	(\$)	Comments
United Therapeutics	Р	Public Compnay Board Member	5	2	\$75,000					Restricted stock units with dollar value on date of grant (June 21, 2017) of \$460,435.70	
PAPAEFTHYMIOU, MARIOS		UCI	DEAN, DONAL	D BREN SCH	OOL OF INFOR	MATION AN	ND COMPUTER	SCIENCE			
Syntiant	Р	Technical Advisory Board Member	0.5	0	\$0			Stock Options	Non- qualified stock options - Number of shares: 10.000	0.0003	
PEÑA, MILAGROS		UCR	DEAN, COLLEG	SE OF HUMA	ANITIES, ARTS A	ND SOCIAL	SCIENCES				
Hanyang University	N	Lecturer	1	0	\$200						
Hankuk University	N	Lecturer	1	0	\$400						
PISANO, ALBERT P.		UCSD	DEAN, JACOBS	s school o	F ENGINEERING	3					
Aramco Research Corporation	Р	Reviewer	2	0	\$7,500						
POGLIANO, KIT J.		UCSD	DEAN, GRADU	IATE DIVISIO	ON						
Annual Review of Microbiology	Р	Editorial Board Member	0	0	\$700						Assumed dean position 4/1/17
American Society for Microbiology	N	Speaker	2	0	\$800						
REGULSKA, JOANNA		UCD	VICE PROVOS	T AND ASSO	CIATE CHANCE	LLOR, GLOB	AL AFFAIRS				
Institute of Philosophy and Sociology, Polish Academy	N	Lecturer	2	0	\$1,080						
of Sciences	IN.	Lecturer	2	Ü	71,000						
ROBBINS, JILL		UCM	DEAN, SCHOO	L OF SOCIA	L SCIENCES, HU	MANITIES A	ND ARTS				
Latin American, Latino/a, and Iberian Studies Association of the Pacific Northwest	N	Speaker	4	0	\$750						
SARNA, LINDA		UCLA	DEAN, SCHOO	L OF NURSI	NG						
Western Institute of Nursing	N	Keynote Speaker	4	0	\$697						
University of Hong Kong	N	Keynote Speaker	6	0	\$5,702						
SASTRY, S. SHANKAR		UCB	DEAN, COLLEG	GE OF ENGIN	IEERING						
HCL Technologies	Р	Board Member	5	0	\$107,000						
Lockheed Martin	Р	Technical Advisory Board Member	2	0	\$6,000						No governance responsibilities
C3 Carbon, LLC	Р	Board Member	2	0	\$0				Stock Options	50,000 stock shares @ no current value	
Eriksholm, Otticon, LLC	Р	Scientific Advisory Board Member	2	2	\$8,000				- 200000		No governance responsibilities
Lexmark Corporation	Р	Board Member	3	0	\$75,000						
SAXENIAN, ANNALEE		UCB	DEAN, SCHOO	L OF INFOR	MATION						
Kyoto University, School of Management	N	Keynote Speaker	4	0	\$5,000						

Employee Name		Location	Title									
Name of Organization (Prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days)	Vacation Taken (Days)	Cash Comp (\$)	Deferred Comp (\$)	Description of Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price Pe (\$)	er Share	Comments
SCHABERG, DAVID C.		UCLA	DEAN, DIVISIO	ON OF HUM	ANITIES							
Renmin University of China	N	Presenter	2	0	\$700							
SEGURA, GARY M.		UCLA	DEAN, SCHOO	L OF PUBLI	C AFFAIRS							
Pacific Market Research DBA Latino Decisions	Р	Consultant/Co-founder	6	0	\$50,000							
California State University, Northridge	N	Panel Speaker	1	0	\$1,000							
SMITH, THOMAS M.		UCR	DEAN, GRADU	IATE SCHOO	OL OF EDUCATION	ON						
National Science Fdn Indicators Advisory Group	N	Consultant	2	0	\$2,250							
SORK, VICTORIA		UCLA	DEAN, DIVISIO	ON OF LIFE S								
Molecular Ecology	N	Senior Associate Editor	10	0	\$3,500							
SPANGENBERG, ERIC R.		UCI	DEAN, SCHOO	L OF BUSIN								
Beall Family Foundation		Consultant	2	0	\$20,000							
Merage Family Foundation	N	Consultant	6	0	\$50,000							
STAMOS, MICHAEL		UCI	INTERIM DEAF	-								
Novadaq		Speaker	2	0	\$12,000						Speaker	on four separate occasions
Reback, McAndrews & Kjar, LLP	Р	Expert Reviewer	0	0	\$2,000							
Ethicon	Р	Moderator/Speaker	1.5	0	\$6,000							
SUAREZ-OROZCO, MARCELO		UCLA	DEAN, GRADU	IATE SCHOO	OL OF EDUCATION	ON AND INF	ORMATION ST	UDIES				
Harvard Graduate School of Education, Future of Learning Institute	N	Keynote Speaker	2	0	\$1,700							
University of Maryland	N	Keynote Speaker	1	0	\$1,000							
Orange Coast College	N	Keynote Speaker	2	0	\$2,000						Keynote	speaker on two separate occasions
SULLIVAN, ROBERT S.		UCSD	DEAN, RADY S	CHOOL OF	MANAGEMENT							
American Assets Trust, Incorporated	Р	Director	2	0	\$60,500							
CUBIC Corporation	Р	Director	2	0	\$70,000							
THOMAS, CAROLYN		UCD			N, UNDERGRAD	UATE EDUC	ATION					
Humanities Without Walls	N	Reviewer	2	0	\$750							
University of California, Irvine	N	Reviewer	3	0	\$1,200							of UC Irvine Summer Session, additional UC sation per policy
TURNER, PATRICIA A.		UCLA	DEAN AND VI	CE PROVOS	T, UNDERGRAD	UATE EDUC	ATION					
University of California, Irvine	N	Reviewer	3	0	\$1,800							of UC Irvine Summer Session, additional UC sation per policy
UHRICH, KATHRYN E.		UCR	DEAN, COLLEG	GE OF NATU	IRAL AND AGRI	CULTURAL S	CIENCES					
Journal of Bioactive & Compatible Polymers	Р	Editor-in-Chief	6.5	0	\$15,975							
UNNAVA, HANUMANTHA R.		UCD	DEAN, GRADU	JATE SCHOO	DL OF MANAGE	MENT						
Enterprise Resource Planning Analysts	Р	Board Member	2	0	\$3,000							

Employee Name		Location	Title								
Name of Organization	Non-	Role	Time Served	Vacation	Cash Comp	Deferred	Description O	ther Comp	Description	Strike Price Per Share	Comments
(Prof'l Svc Provided for)	Profit=N		(Days)	Taken	(\$)	Comp (\$)	of Deferred	(\$)	of Other	(\$)	
	Profit=P			(Days)			Comp		Comp		
WASSERMAN, EDWARD		UCB	DEAN, SCHOO	L OF JOURN	IALISM						
San Francisco Chronicle	Р	Writer	1	0	\$200						Regular Op-Ed column
WASHINGTON, GREGORY N.		UCI	DEAN, SCHOO	L OF ENGIN	EERING						
Chapman University	N	Consultant	10	0	\$30,000						
Florida Agricultural and Mechanical University	N	Facilitator	1	0	\$2,000						
San Diego Public Schools	N	Facilitator	1	1	\$3,000						
WOLCH, JENNIFER		UCB	DEAN, COLLEG	E OF ENVIR	ONMENTAL DE	ESIGN					
Tongji University	N	Reviewer	6	0	\$3,000						
YOUNG, HEATHER M.		UCD	DEAN, SCHOO	L OF NURSI	NG						
National Hartford Center of Gerontological Nursing Excellence	N	Keynote Speaker	1	0	\$1,500						
Journal of General Internal Medicine	N	Co-author	1	0	\$1,500						
YU, PAUL K.L.		UCSD	PROVOST, REV	ELLE COLLE	:GE						
Research Grants Council	N	Panel member	5	0	\$3,500						
ZATZ, MARJORIE S.		UCM	VICE PROVOS	T AND DEAM	I, GRADUATE D	DIVISION					
University of California Press*	Р	Author	0	0	\$88						Book Royalties
Oxford University Press*	Р	Reviewer	1	0	\$50						Book Royalties

\*Activity is not subject to OPA; however, it is reported here for consistency with prior years reporting