



# Annual Report on University Private Support

2012-13

UNIVERSITY  
OF  
CALIFORNIA





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University of California  
Annual Report on University Private Support  
2012–13

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## CAMPUS URL DIRECTORY

For more information on the campuses and their private support programs, visit these websites:

<http://campaign.berkeley.edu>  
<http://campaign.ucdavis.edu/>  
<http://www.uadv.uci.edu/>  
<http://www.giveto.ucla.edu/>  
<http://makeagift.ucmerced.edu/>  
<http://www.ucr.edu/giving>  
<http://www.giving.ucsd.edu>  
<http://www.support.ucsf.edu>  
<http://www.ia.ucsb.edu/campaign/>  
<http://giving.ucsc.edu>

## ANNUAL REPORT URL

<http://www.ucop.edu/institutional-advancement/reports/index.html>







# Private Support

## Overview of the 2012–13 Fundraising Year Annual Report on University Private Support

The University of California established a fundraising record this past fiscal year, receiving approximately \$1.64 billion in private support. The \$1,637,466,990 represents the thirteenth consecutive year in which the University has received more than \$1 billion in private support and the third year in a row that more than \$1.5 billion has been received. Equally significant, the number of donors to UC continues to increase at a steady pace. Philanthropic support is enhancing virtually every aspect of the University—from laboratory and academic facilities to student financial support and leading-edge research.

The Annual Report on Private Support offers an overview of philanthropy at the University of California in two separate ways. First, it provides systemwide gift totals in major categories based on gift source and gift purpose. This information is augmented with sections focused on student support, endowed chairs, fundraising campaigns and bequests, as well as reports from Agriculture and Natural Resources and other multi-campus activities. Second, the same summary information is provided for each campus, highlighting the important role philanthropy plays at individual UC locations.

Philanthropic support at UC is notable in many ways:

**THE NUMBER OF DONORS IS INCREASING** Through comprehensive campaigns and increased fundraising efforts, the number of donors to UC is steadily increasing. Many of our campuses had a record number of donors make gifts this past year. Taken together, UC had nearly 300,000 unique donors contribute to the betterment of UC.

**SCHOLARSHIPS AND FELLOWSHIPS ARE GROWING** Gifts are an increasingly important source of scholarship and fellowship assistance for students and their families, and help ensure access to UC. UC raised slightly over \$135 million this past fiscal year—evidence of UC’s efforts to broaden the base of scholarship donors through matching gifts, student-sponsored events, and systemwide efforts such as the Promise for Education social media campaign.

**GIFTS PROVIDE KEY SUPPORT FOR THE UC MISSION** Philanthropic support is directed towards virtually every aspect of the life and mission of the University, including student support, capital projects, endowed faculty chairs, departmental support, and research. Some of the more substantial gifts—especially in support of research—enable UC to meet its commitment to improve California, the nation, and the world.

### CAMPUS FOUNDATIONS PROVIDE PHILANTHROPIC LEADERSHIP

There is a strong donor base at each of our campuses, reflecting close campus ties to the local community and to their alumni base. Of particular note are the support and leadership provided by the UC Campus Foundations. Foundation trustees are often among the University’s most generous donors and most ardent advocates, and provide leadership in various philanthropic initiatives.



Philanthropic support has long played a vital role furthering excellence in teaching, research and public service at the University of California. Over sixty years ago, President Robert Gordon Sproul noted:

“Without such gifts, the University could not have achieved its long and fruitful record of accomplishment in teaching, research, and public service...For each gift, whatever its form or size, represents an expression of faith in the high purposes to which the University of California is dedicated, and a testimonial of confidence in the University’s ability to make what is given be of maximum usefulness...Each gift affords the opportunity to meet some pressing need, to build upon useful projects inaugurated by

**“There is scarcely any activity of the University which does not draw some of its support, and many times much of its inspiration, from the interest shown and the helping hands extended by generous friends.”**

—President Robert Gordon Sproul

friends in the past, to facilitate the researches of able investigators, or to enrich still further those resources of the libraries and museums which nourish the minds of students and scholars alike...There is scarcely any activity of the University which does not draw some of its support, and many times much of its inspiration, from the interest shown and the helping hands extended by generous friends ...”

These words resonate today and are reflected by significant gifts supporting a vast array of important activities, from Watershed Sciences to Design Innovation in Engineering; from establishing endowed chairs in Global Information and Social Entrepreneurship to funding a Science Learning Library; from

supporting a Center for Ecological Analysis and Synthesis to a Center for Astrophysics and Space Sciences; from gifts for stem cell research facilities to programs in breakthrough biomedical research; from creation of a Health Science Research Endowment to support for an Institute of the Environment and Sustainability and Cooperative Extension Specialists whose research is literally and figuratively “in the field.” These examples, which come from across the UC system, give a feel for the breadth of donor support, yet they are just a sampling from the thousands of gifts received over the past year.

It is virtually impossible to capture the full impact of gifts from all our UC donors—nor can we overstate the importance of private gifts to the success of the University. The brief narratives that follow highlight how specific gifts are making a difference on our campuses, but we encourage you to visit campus websites to learn more about the positive impact of private support. A visit to any UC campus, lab, field station, or hospital will provide an even better opportunity to see first-hand the importance of philanthropic giving to the University. As President Janet Napolitano stated, “...in the few short weeks that I have had the honor and

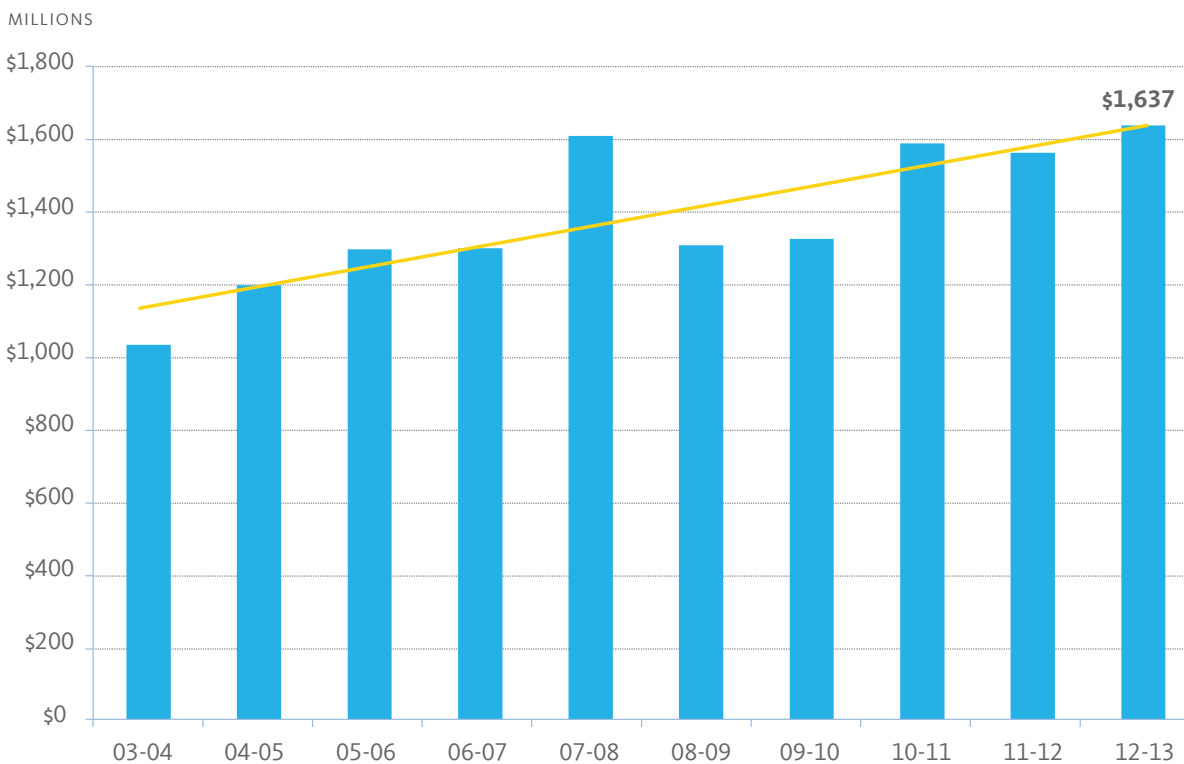
privilege of serving as President of the University of California, I have made it a priority to visit UC campuses across the state and to meet with students, faculty, staff, alumni and community leaders. While many divergent views are expressed, there is a uniform recognition for what is undoubtedly the world’s premier public university. While I have always had a deep appreciation

“...I now have a greater understanding of the contributions that Californians and others have made to enable UC to attain this stature. The contributions of today are helping ensure that UC can reach even greater heights.”

—President Janet Napolitano

for the greatness of the University of California, I now have an even greater understanding of the contributions that Californians and others have made to enable UC to attain this stature. The contributions of today are helping ensure that UC can reach even greater heights.”

### Ten-year summary of fundraising



# 2012–13 Student Financial Support

The University of California has a deep and long-standing commitment to ensuring that financial aid is available for students and their families. The strategy is guided by two goals: ensuring that, at the undergraduate level, the University remains affordable for all California students regardless of their financial resources, and enabling the University to compete for the best and the brightest graduate students from around the world. UC provided over \$2.5 billion in financial aid this past year—federal aid (primarily Pell Grants) and state aid (such as Cal Grants) was significant, but the largest source of funds was UC's commitment of its own resources of over \$1 billion in aid to UC students. As tuition has historically been moderate, privately funded scholarships and fellowships have played a smaller, but crucial role, in student financial support.

**OVERVIEW OF UC FINANCIAL AID—UNDERGRADUATE AFFORDABILITY** Last year, UC undergraduates received over \$1.8 billion in grants and scholarships. Several features distinguish UC's financial aid strategy from those of other colleges and universities: Scholarship programs are primarily need-based, as over 90% of UC aid is awarded in this fashion; aid is designed to address the entire cost of attendance—not just tuition, but also room and board, books and supplies, personal expenses, health insurance, and other costs; and UC allocates financial aid across campuses so that every campus can provide a similar level of affordability—allowing students to choose among campuses based on “fit,” not cost.

The UC undergraduate scholarship programs are also distinguishable based upon their size and scope. The majority of UC undergraduate students pay much less than the tuition “sticker price.” About half of all California resident undergraduates pay no tuition and fees. On average, UC students receive more aid and pay lower tuition than students at other major public research universities. [After taking grants and scholarships into account, the average net tuition paid by all UC students was about \$5,270 in 2011–12.] While UC students' debt at graduation has increased in recent years, it remains manageable and well below the national average—approximately half of all graduating students have no student loan debt; those that do borrow have an average debt below \$20,000.

**THE BLUE AND GOLD OPPORTUNITY PLAN** Although UC's financial aid programs provide significant funding, the decrease in state funding has had a disproportionate impact on middle class students and their families. In response, UC has adopted the Blue and Gold Opportunity Plan. This plan ensures that California students with financial need and parent income below \$80,000 have their systemwide tuition covered by grants and scholarship. Students with sufficient need typically receive additional grant aid to help cover other expenses, such as campus fees, housing, books and transportation.

**GRADUATE STUDENT SUPPORT** UC's graduate student support strategy is decentralized, reflecting differences in the competitive environment, the applicant pool, and the costs associated with the University's many graduate academic and professional degree programs. Academic doctoral students are typically supported by merit-based fellowships, research assistantships, and teaching assistantships. Students in professional degree programs rely largely on need- and merit-based fellowships, as well as student loans. Many of these students are charged Professional Degree Supplemental Tuition, which varies by program, in addition to their base tuition and fees.

**PHILANTHROPIC SUPPORT** As government funding for undergraduate and graduate students has decreased, UC is committed to increasing support from other resources—with a renewed emphasis on private support for scholarships and fellowships.

In the 2013 fiscal year, almost 25,000 students received privately funded scholarships and fellowships—approximately \$125 million in total. These awards consist of almost equal parts current use gifts and payout from endowment funds. In order to enable UC to meet the growing need, new gifts must be received in order to replenish the funds distributed and provide support to even more students.

In 2013, over \$135 million of gifts received by UC were designated for student support. This total represents the sum total of a wide spectrum of gifts designated for scholarships, fellowships, awards, and prizes. The campus narratives highlight a number of these gifts, and help illustrate the impact of this support. Whether assisting a first generation college student achieve her dreams of a degree, or allowing medical school students to graduate without the debt that often discourages pursuit of certain areas of service—these gifts are often some of the most inspirational and life-changing.

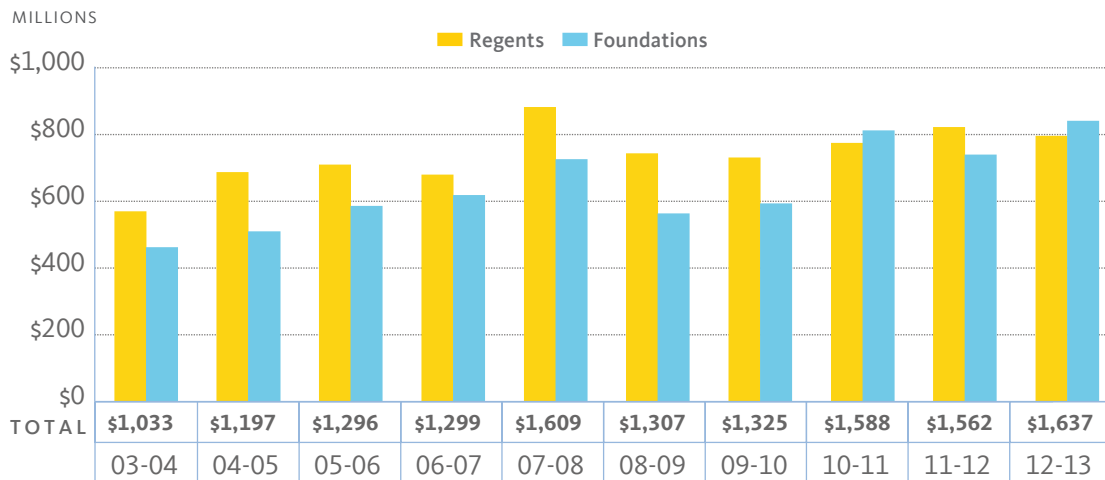
UC is committed to keeping the doors to higher education open for all who work hard and dream big. As a result, we launched Project You Can, a systemwide effort to focus ongoing fundraising at all 10 UC campuses on a united goal: to raise \$1 billion for student support by 2014. As of June 2013, we've raised \$744 million, and are implementing a wide array of fundraising efforts designed to increase this area of support, including the Promise for Education initiative launched in September 2013.

# 2012–13 System Summary

Private Support to Regents and Campus Foundations by Cash Reporting Convention

CAMPUS	Regents	Foundations	Totals
Berkeley	\$185,304,586	\$161,413,908	\$346,718,494
Davis	\$90,786,384	\$27,028,006	\$117,814,391
Irvine	\$26,986,978	\$52,600,681	\$79,587,659
Los Angeles	\$172,274,486	\$247,372,193	\$419,646,679
Merced	\$2,306,552	\$2,733,517	\$5,040,069
Riverside	\$16,405,284	\$10,030,288	\$26,435,572
San Diego	\$75,226,252	\$92,177,323	\$167,403,575
San Francisco	\$163,743,912	\$222,001,463	\$385,745,375
Santa Barbara	\$44,343,988	\$16,306,415	\$60,650,402
Santa Cruz	\$14,784,012	\$9,006,830	\$23,790,841
Universitywide	\$4,633,933	0	\$4,633,933
<b>Total</b>	<b>\$796,796,366</b>	<b>\$840,670,624</b>	<b>\$1,637,466,990</b>

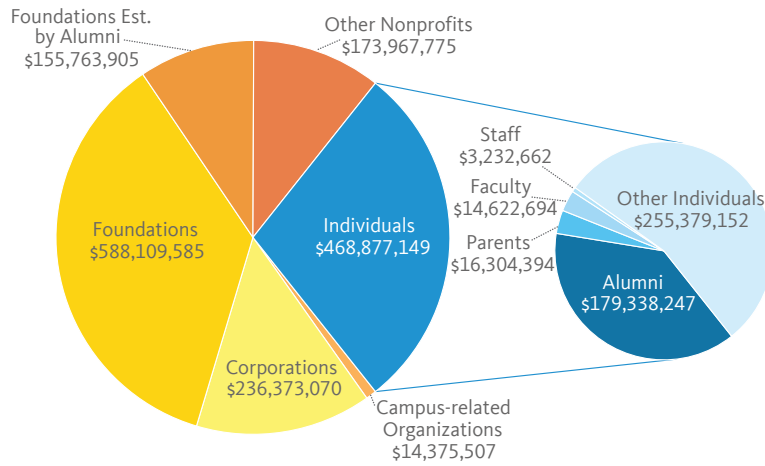
## Ten-year cash report summary



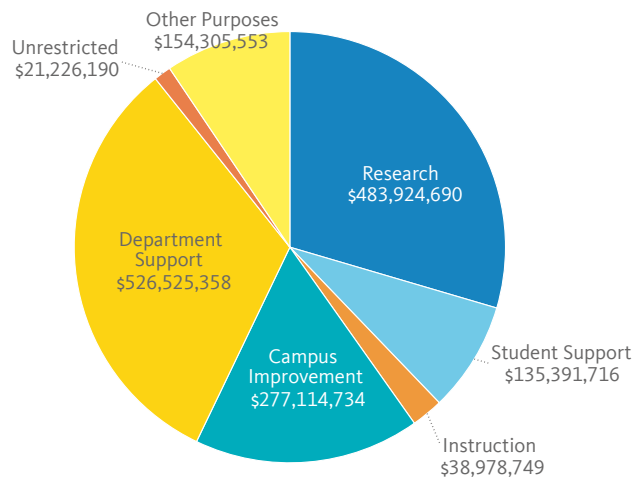


## 2012–13 Source, Purpose and Asset Type: \$1.637 Billion

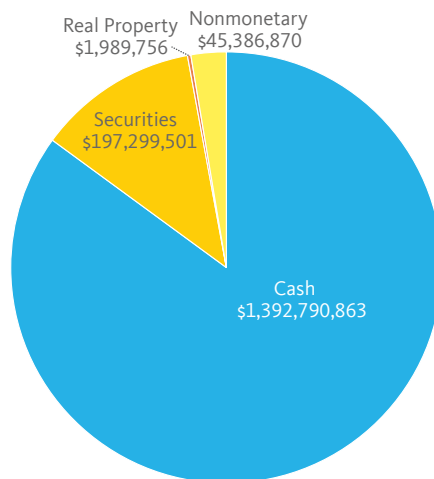
### Gift source



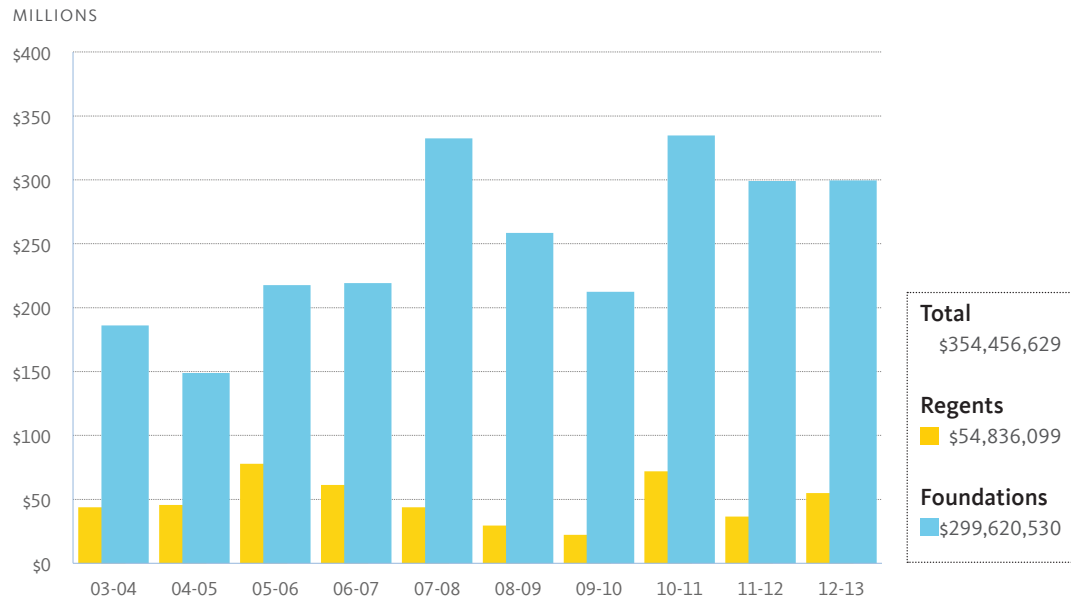
### Gift purpose



### Asset type



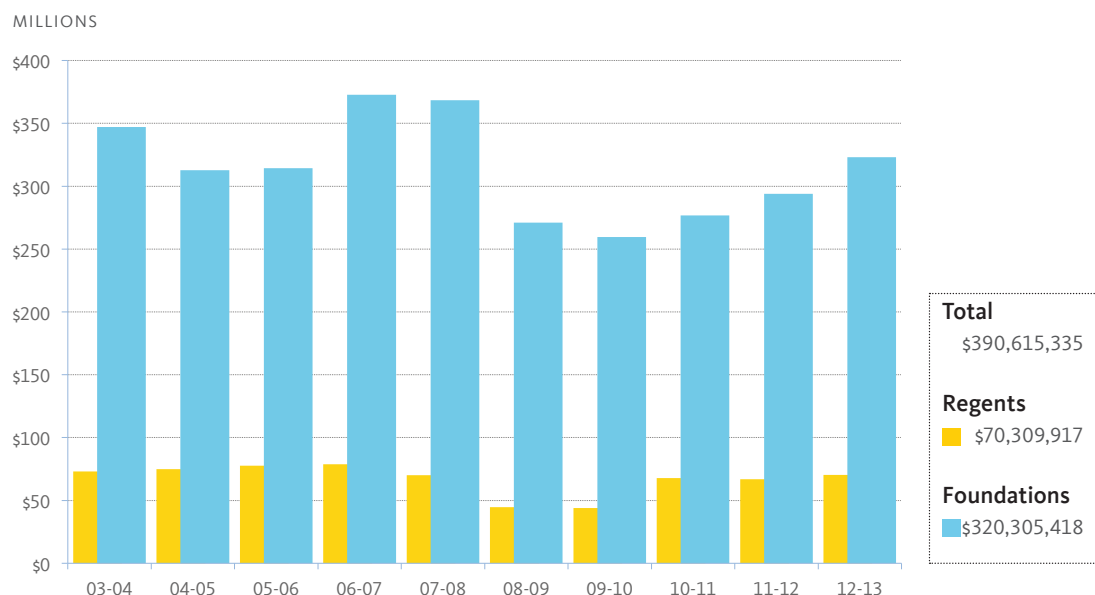
## 2012–13 Additions to Endowment: \$354.5 million



YEAR	Regents		Foundations		Total
03-04	\$43,772,747	19.1%	\$185,982,998	80.9%	\$229,755,745
04-05	\$45,617,515	23.5%	\$148,828,104	76.5%	\$194,445,619
05-06	\$77,819,299	26.3%	\$217,703,327	73.7%	\$295,522,627
06-07	\$61,305,213	21.9%	\$219,214,614	78.1%	\$280,519,827
07-08	\$43,803,130	11.6%	\$332,359,032	88.4%	\$376,162,162
08-09	\$29,564,957	10.3%	\$258,451,471	89.7%	\$288,016,428
09-10	\$22,230,543	9.5%	\$212,480,081	90.5%	\$234,710,624
10-11	\$71,949,963	17.7%	\$334,621,406	82.3%	\$406,571,370
11-12	\$36,555,336	10.9%	\$299,111,358	89.1%	\$335,666,694
12-13	\$54,836,099	15.5%	\$299,620,530	84.5%	\$354,456,629

The preliminary estimate for the value of **\$11.7** all UC endowment as of June 30, 2013 is **BILLION**

## 2012–13 Planned Giving Assets Under Management



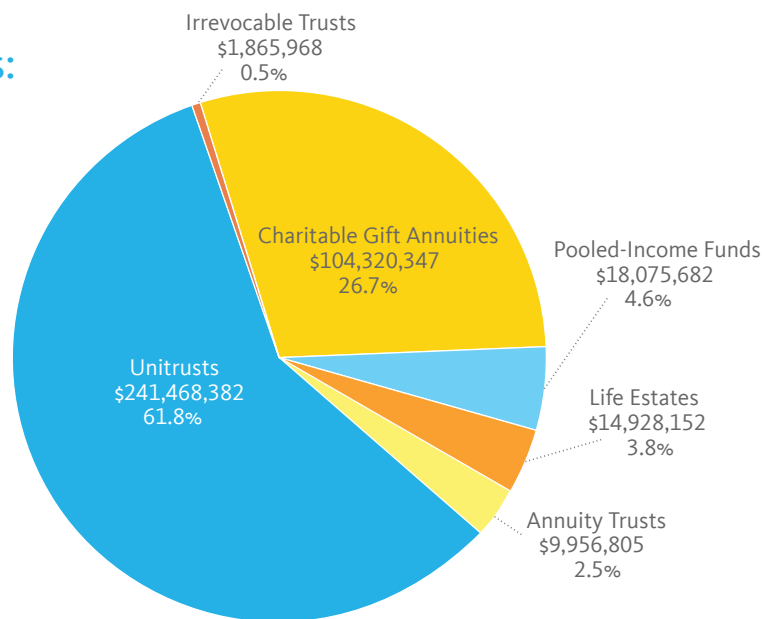
The illustrations on these pages show the total of the planned giving assets where The Regents or a campus foundation is serving as the trustee. The total under management for 2013 is \$390,615,335. All of these assets are irrevocably dedicated to the University and will ultimately be distributed for charitable purposes on the campuses.

The University of California has established planned giving programs at each of our campuses. University staff work with donors and their advisors, if appropriate, to help structure gifts that meet the donors' philanthropic and financial objectives. Frequently, this results

in the creation of a planned gift, such as a charitable remainder trust, pooled-income fund, charitable gift annuity, and similar gift vehicles. Under these arrangements, the principal of the planned gifts is distributed to the University upon the death of the income beneficiaries or at the expiration of a term of years to be used for the charitable purpose designated by the donors.

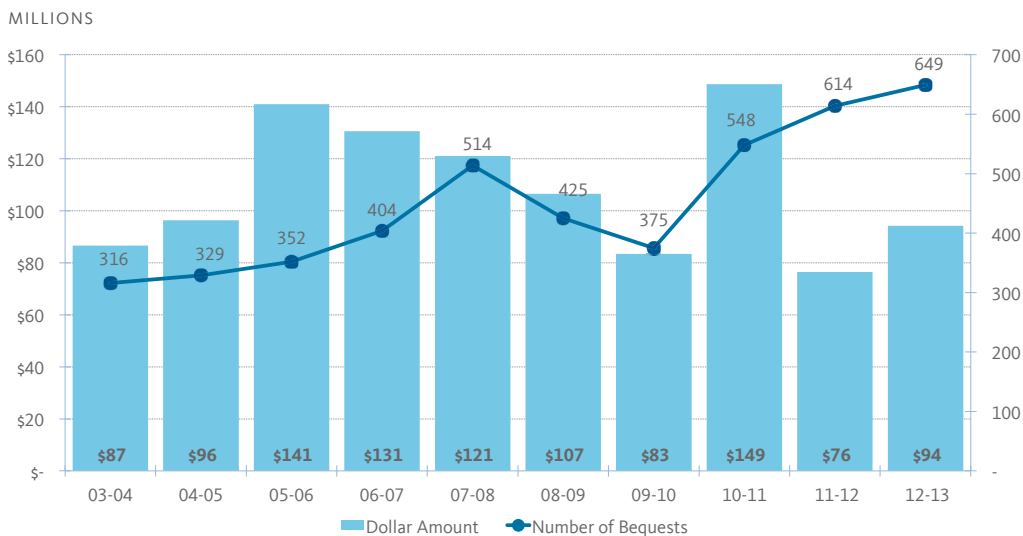
The totals do not include planned giving assets that are managed by third parties (banks, trust companies), bequest expectancies, or any revocable gifts.

### 2012–13 Total Planned Giving Assets: \$390,615,335





## 2012–13 Bequests Distributed



The University of California receives steady and significant support from bequests, although there is inherent unpredictability in both the number of bequests and the dollar amount received in any year. Bequests are a frequent source of endowments, as donors seek an opportunity to ensure continued support for the institution—particularly in areas such as student support via the establishment of endowed scholarship and fellowship funds.

The growth in the number of bequests received is reflective of the demographics of the UC alumni population, as well as the efforts of the campus planned giving offices. Whenever possible, the campuses steward family members and friends after receiving bequests so that they are kept aware of the use and benefits of their loved one’s legacy.

This past year, the University received \$94 million in distributions from 649 estates and trusts in which the University was designated as a beneficiary. These distributions may take the form of a specific bequest of property or cash, as well as a residual bequest of a percentage of the residue in the decedent’s estate or trust. Frequently, a donor has provided support for a particular area during his/her lifetime, and provides a bequest to enable this support to continue into the future.

As reflected in the chart above, the number of estates and trusts that make distributions to UC has been increasing steadily over the past ten years. However, the total dollars distributed have not been increasing at a corresponding pace.

There are several factors that contribute to this trend. First, an eight-figure bequest can skew the overall totals—and there have been several very large bequests over the past decade, although not any in the past couple of years.

Second, the recession has caused a reduction in overall estate values as real estate and stock values have decreased. While pecuniary bequests have been stable, this has resulted in a decline in the value of residual bequests. As the financial markets have improved, the impact of this factor will likely diminish in the future.

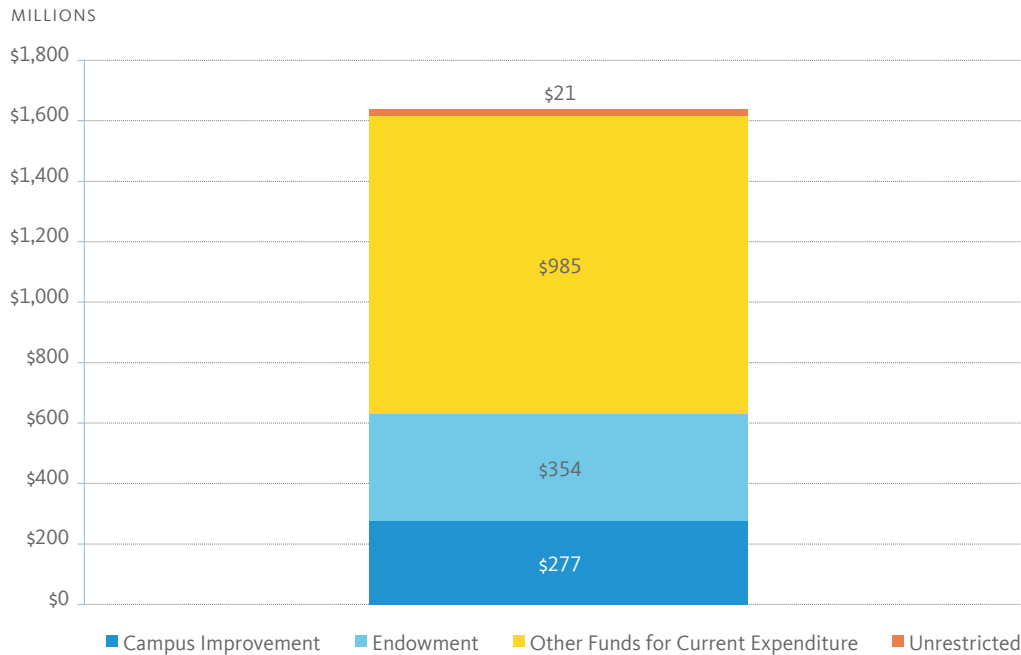
Finally, many estate plans allocate the decedent’s estate to family members and charitable organizations based upon the estate tax implications. The exclusion amount (estate distribution that can pass to individuals without estate tax) has been increasing over the past few years. While the American Taxpayer Relief Act of 2012 permanently establishes an exemption of \$5 million (as of 2011 with annual inflation adjustment) per person for U.S. citizens and residents, many estates will continue to distribute a significant portion of an estate to family members with a corresponding decrease in the amount passing to charitable organizations.

## Fundraising Campaign Status Report, June 30, 2013

NAME OF CAMPAIGN	Goals	Duration	Gifts/Pledges by 6/30/13
<b>Berkeley</b> <i>The Campaign for Berkeley</i>	\$3,000,000,000	2005–2013	\$2,916,723,825
<b>Davis</b> <i>The Campaign for UC Davis</i>	\$1,000,000,000	2010–2014	973,442,176
<b>Irvine</b> <i>Shaping the Future Campaign</i>	\$1,000,000,000	2005–2015	829,616,540
<b>Los Angeles</b> <i>Centennial Campaign</i>	*	2013–2019	838,899,236
<i>Initiative to Ensure Academic Excellence</i>	\$750,000,000	2004–2013	748,967,314
<b>Merced</b> <i>No campaign underway at this time</i>			
<b>Riverside</b> <i>Quiet phase approved by UCOP</i>	\$400,000,000	2011–2019	77,000,000
<b>San Diego</b> <i>Invent the Future—Student Support Campaign</i>	\$50,000,000	2009–2012	52,504,312
<b>San Francisco</b> <i>Campaign for the UCSF Medical Center at Mission Bay</i>	\$600,000,000	2007–2014	405,400,000
<b>Santa Barbara</b> <i>The Campaign for UC Santa Barbara (Phase I and Phase II)</i>	\$1,000,000,000	2000–2017	787,555,601
<b>Santa Cruz</b> <i>Organizational/Quiet Phase</i>	\$300,000,000	2009–2016	129,526,235
<b>Grand Total</b>	<b>\$8,100,000,000</b>		<b>\$7,759,635,239</b>

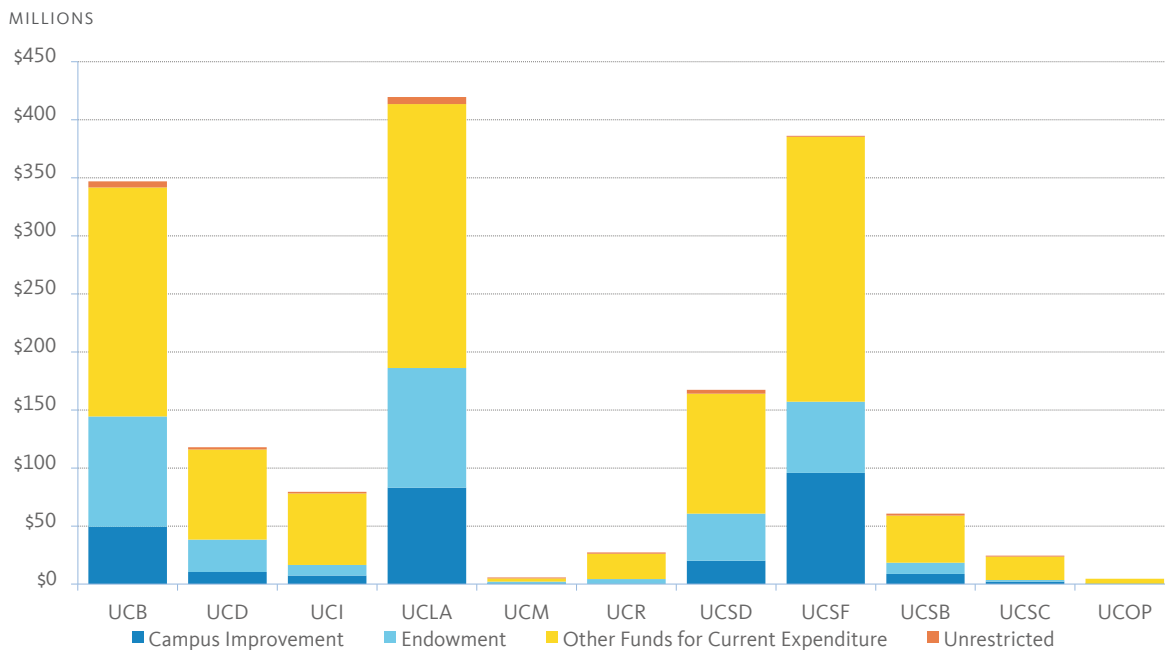
\* Public launch anticipated in Spring 2014

## 2012–13 Restrictions on Use



The University received \$354 million (21.7%) for endowments held by The Regents and the Campus Foundations. Campus improvement funds totaled \$277 million (16.9%); an additional

\$985 million (60.1%) supported other aspects of current operations. Unrestricted funds totaled \$21 million and comprised 1.3% of the total for private support.





## 2012–13 Gifts by Discipline

### Distribution By Discipline: \$1,637,466,990

	Total	Percentage
Agriculture and Natural Resources	\$41,928,491	2.6%
Arts, Letters and Sciences	\$225,920,051	13.8%
Athletics/Sports	\$72,125,267	4.4%
Business/Management	\$55,651,530	3.4%
Engineering	\$143,858,333	8.8%
Financial Aid	\$24,469,721	1.5%
Health Sciences and Medicine	\$767,774,202	46.9%
Law	\$32,399,868	2.0%
Libraries	\$15,098,839	0.9%
Miscellaneous*	\$109,323,329	6.7%
Other Academic Programs	\$32,303,589	2.0%
Other Professional Schools	\$88,647,420	5.4%
Veterinary Medicine	\$27,966,350	1.7%
<b>Total</b>	<b>\$1,637,466,990</b>	<b>100.0%</b>

\* Chancellor and annual funds, alumni associations, energy laboratory, museums, public programs, etc.

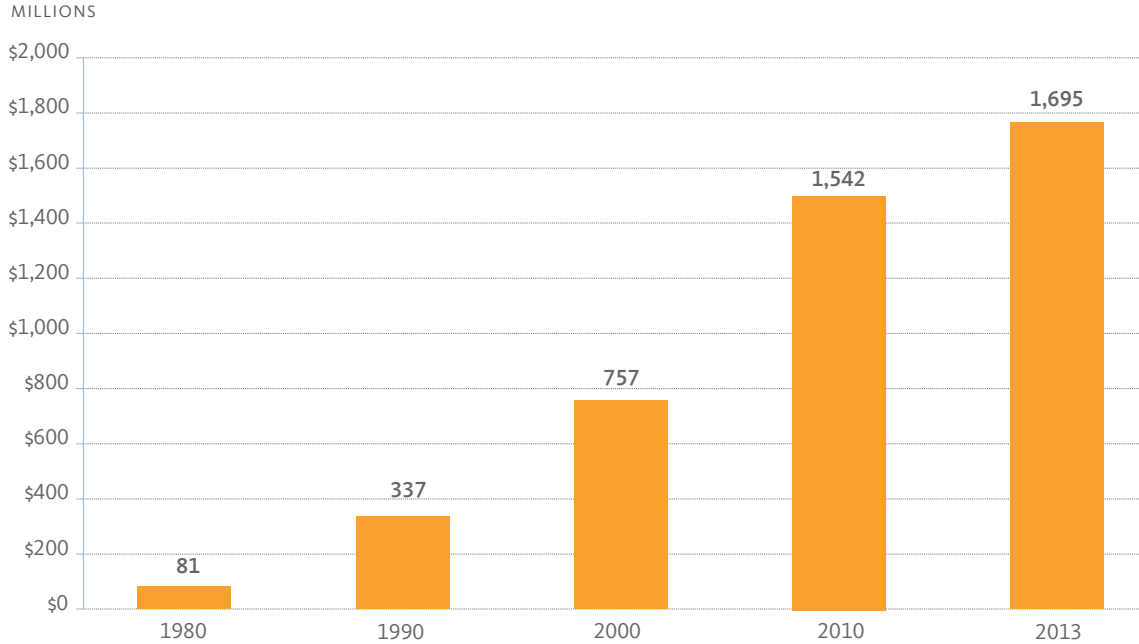
### Gift for Health Sciences and Medicine \$ 767,774,202

Campus	Total	Percentage
Berkeley	\$47,907,959	6.2%
Davis	\$26,467,166	3.4%
Irvine	\$48,212,867	6.3%
Los Angeles	\$188,479,804	24.6%
Merced	\$543,349	0.1%
Riverside	\$3,225,767	0.4%
San Diego	\$67,176,662	8.8%
San Francisco	\$385,745,375	50.2%
Universitywide	\$15,254	0.0%
<b>Total</b>	<b>\$767,774,202</b>	<b>100.0%</b>

### Area

Biological Sciences	\$24,390,538	3.2%
Biomedical Sciences	\$18,177,508	2.4%
Dentistry	\$7,951,031	1.0%
Medical Center	\$110,635,907	14.4%
Medicine	\$467,120,280	60.8%
Nursing	\$7,013,528	0.9%
Optometry	\$2,275,260	0.3%
Other	\$98,610,873	12.8%
Pharmacy	\$5,799,982	0.8%
Public Health	\$25,799,295	3.4%
<b>Total</b>	<b>\$767,774,202</b>	<b>100.0%</b>

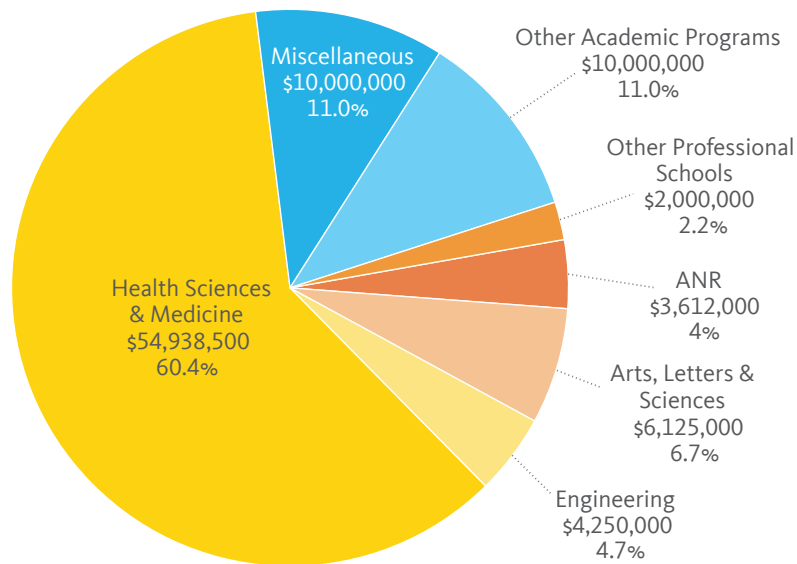
## 2012–13 Chairs and Professorships Cumulative



Well over half of all endowed chairs/professorships have been established since 2000. The current total, spread among the campuses, is 1,695 and growing; 72 chairs/professorships were established in 2012–13.

The chart below illustrates the extent to which the chairs that were established this past fiscal year are spread amongst the various academic disciplines.

### Chairs and Professorships by Area: \$90,925,500 (established 2012–13)



## 2012–13 Universitywide and Multi-Campus Programs

This section of the Annual Report focuses primarily on the systemwide programs administered by Education Partnerships. This department partners with corporate, foundation and private funders to support programs. Through grants, gifts and other awards, this support enables Education Partnerships to provide a range of services and activities beyond the scope of our funding from the State of California. This combination of private and public support ensures that, in a time of budget contractions, we can meet core program requirements and augment them with complementary features, as described in the snapshots below.

**AHMANSON FOUNDATION** A grant of \$50,000 from the Ahmanson Foundation enables high performing students from low-income families in Los Angeles County to attend COSMOS free of charge.

**BECHTEL FOUNDATION** A grant of \$250,000 supports the COSMOS program, particularly financial assistance for students from low income families across the state who qualify for participation in the Federal Free and Reduced Lunch Program. Another grant of \$129,000 supports the MESA strategic planning process and student tracking database projects.

**W.M. KECK FOUNDATION** A grant of \$100,000 from the Southern California grants program supports COSMOS students from Los Angeles County to attend the summer program.

**LEONETTI/O’CONNELL FAMILY FOUNDATION** An endowment gift of \$500,000 and an annual gift of \$50,000 make it possible for COSMOS to provide opportunities for outstanding high school teachers in mathematics or science to join the COSMOS community and enhance the learning experiences of all participants, as well as providing the Teacher Fellows with grants to purchase materials and equipment that enhance the STEM teaching and learning in their own classrooms.

**INTEL CORPORATION** A grant of \$25,000 from the Intel Corporation supports eight COSMOS students from San Diego County and includes a special luncheon on the UC San Diego campus to acknowledge their academic achievements.

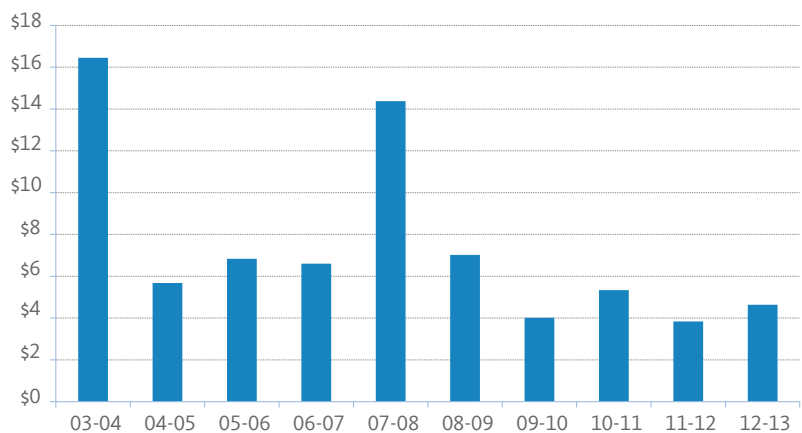
**GILEAD SCIENCES** A gift of \$50,000 from Gilead Sciences helps to underwrite the California Nobel Laureate Dinner, an annual tradition that honors all California Nobel laureates for their collective contributions to humankind and the world. In addition to honoring the laureates, the evening is a showcase and benefit for COSMOS.

**NASA** Through its Aero Institute, NASA provided \$42,000 to MESA to support a Summer of Innovation for Imperial Valley Field Station and San Diego State University. This summer program for students in grades 6-12 is focused on aerospace and engineering.

**EAST BAY COMMUNITY FOUNDATION** The East Bay Community Foundation provided \$23,000 to MESA at California State University—East Bay for a summer program for students from West Contra Costa County and Richmond schools. Students will learn engineering design principles through inquiry-based projects with the goal of limiting summer knowledge loss.

**SOUTHERN CALIFORNIA EDISON** A grant of \$40,000 from Southern California Edison will support the MESA Student Leadership Council, the student governing body of the MESA Schools Program.

Total Giving to Universitywide and Multi-Campus Programs: \$ 4,633,933









## 2012–13 Division of Agriculture and Natural Resources

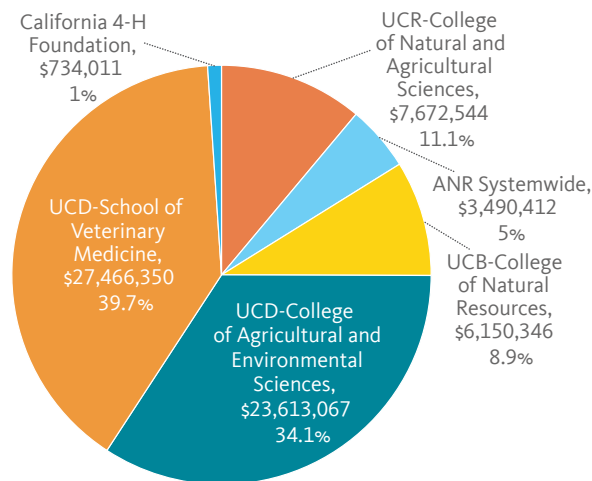
**THE UNIVERSITY OF CALIFORNIA DIVISION OF AGRICULTURE AND NATURAL RESOURCES (ANR)** For more than one hundred years, ANR has ensured healthy food systems, healthy environments, healthy communities and healthy Californians. An engine for problem solving, ANR connects local issues with the powerful UC research network; ANR’s advisors, specialists and faculty bring practical, science-based answers to Californians. Comprised of the California Agricultural Experiment Station and Cooperative Extension systems, as well as six statewide programs and nine Research and Extension Centers, ANR reaches every community in California. ANR works closely with industry to enhance agricultural markets, help the balance of trade, address environmental concerns and protect plant health, providing farmers with scientifically tested production techniques, and Californians with increased food safety.

**PRACTICAL. CONNECTED. TRUSTED. 100 YEARS OF UC COOPERATIVE EXTENSION** Since the first UC Cooperative Extension office opened in Humboldt County in 1913, UC scientists have continued to improve the lives of Californians by serving as problem-solvers, catalysts, collaborators, stewards and educators. With a presence in 57 counties, these advisors live and work in the communities they serve. Advisors collaborate with farmers, ranchers, and industry to identify issues and problems, and with campus-based specialists and faculty to research solutions, and disseminate knowledge and information to clientele in the community. Advisors work with youth, low-income families and consumers to educate tomorrow’s leaders in teaching nutrition, healthy lifestyles, and financial management through the California 4-H Youth Development Program, Master Gardener Program and other UCCE activities. For a century, together with their campus partners, UCCE advisors have been the bridge between the people of California and their great University.

**THE CALIFORNIA AGRICULTURAL EXPERIMENT STATION (AES)** The AES mission is to develop new knowledge and technologies for the benefit of Californians by addressing specific problems through fundamental and applied research. Approximately 750 AES scientists are engaged in more than 1,300 research projects focused on agricultural, environmental, and societal issues related to the management of agriculture and natural resources. AES scientists hold regular faculty appointments in campus departments at the Berkeley, Davis, and Riverside campuses, with corresponding research and teaching responsibilities. Collaborating with their campus colleagues and UCCE advisors and specialists, AES scientists work to ensure a safe, dependable, nutritious, and diverse food supply, and to protect and preserve our precious natural resources.

**PRIVATE SUPPORT** Gifts and private grants benefit all of ANR’s programs, including research, instruction, student aid and fellowships, campus improvement, capital projects, youth development programs, and Extension programs and activities. During the 2012–13 fiscal year, the University of California received \$69,126,730 in private support benefitting agriculture and natural resources programs that, in turn, benefit all Californians. Included are funds raised by the California 4-H Foundation, dedicated to supporting the University of California 4-H Youth Development Program, a research-based program designed to help youth achieve life success and become civically engaged. This year’s growth in gift support is largely the result of contributions from grower groups to support UCCE academic positions. These generous donations will enable ANR to hire an advisor and two specialists for research and extension in the areas of rice, nuts and fruit, and table grapes.

### Total Giving to ANR: \$69,126,730



*Note: Gifts to the systemwide Division of Agriculture and Natural Resources (ANR) are processed administratively by UC Davis. Consequently, the UC Davis totals recorded elsewhere in this report include \$4,198,534 in gifts and private grants made to ANR.*

The Campuses  
2012-13











### BERKELEY 2012–13

UC Berkeley raised \$346.7 million from alumni, parents, students, and friends in 2012–13, and is within striking distance of its \$3 billion goal for The Campaign for Berkeley.

Support for the comprehensive fundraising goals of the campus came from 62,041 donors from California and around the world. That made 2012–13 the second-highest year ever for participation, surpassed only by 2007–08—the eve of the Great Recession. When the campaign ends Dec. 31, it is estimated that more than 280,000 unique donors will have contributed to the effort.

“Our success in telling the Berkeley story and sharing the impact of the work being done here is most evident in the increase in the actual number of people who have stepped forward to give,” said Vice Chancellor Scott Bidy. “This is a strong statement of support for this incredible institution that is transforming lives and making a better world.”

Of the nearly \$2.9 billion contributed to the campaign through 2012–13, students and faculty across campus will benefit from support in the following areas:

- Faculty chairs and funds: \$336.8 million
- Research: \$691.7 million
- Graduate fellowships and undergraduate scholarships: \$360.6 million
- Program support: \$854.9 million
- Facilities: \$331.8 million
- Annual support: \$272.7 million

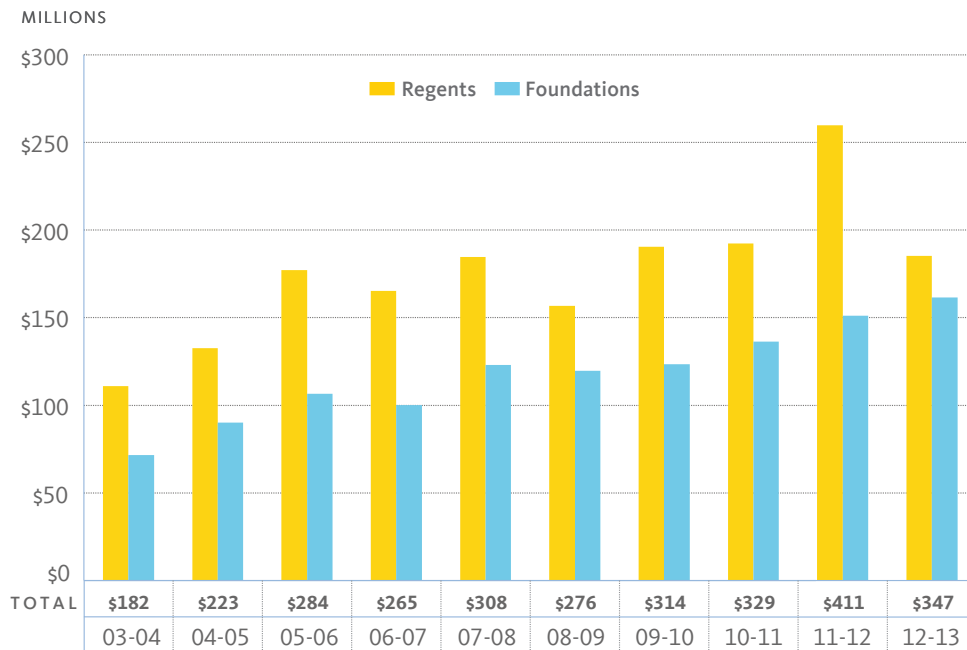
Gains were noted across the board, particularly in research and program support.

Shining the spotlight on research, the campus’s College of Engineering received a \$20 million gift from the Paul and Stacy Jacobs Foundation to launch a new institute for design innovation that will expand the role of design in engineering education, emphasizing rapid design and prototyping for manufacturability.

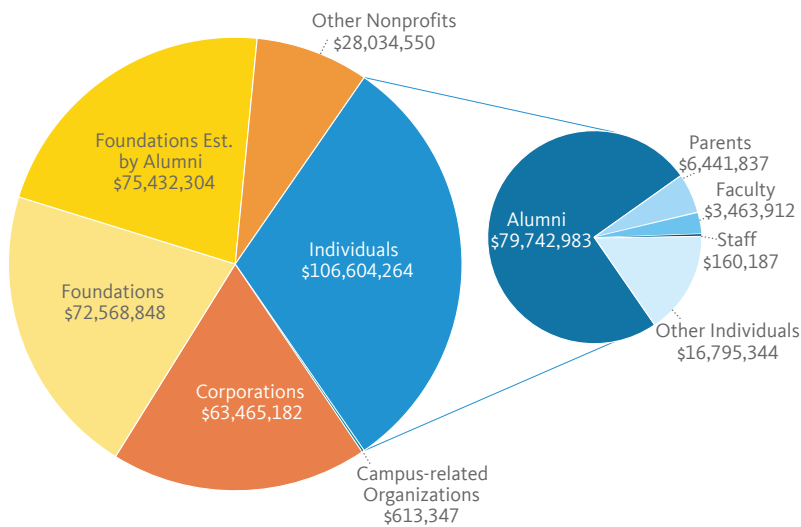
Dr. Paul Jacobs, chairman and CEO of Qualcomm Inc. and president of the Paul and Stacy Jacobs Foundation, announced the gift as a Clinton Global Initiative (CGI) Commitment to Action at the annual CGI America meeting in Chicago. Former President Bill Clinton invited Jacobs to the CGI America stage to highlight UC Berkeley’s design innovation initiative, citing its importance in advancing U.S. competitiveness.

Also in 2012–13, the campus completed the Hewlett Challenge, creating 100 new endowed faculty chairs as part of an unprecedented dollar-for-dollar match begun in 2007 when it received \$113 million, the largest private gift in its history, from the William and Flora Hewlett Foundation. The campus exceeded its own expectation in completing the Hewlett Challenge more than two years ahead of schedule. The challenge inspired a sweeping number of donors to give, resulting in more than \$220 million in endowments for faculty chairholders and their departments and students.

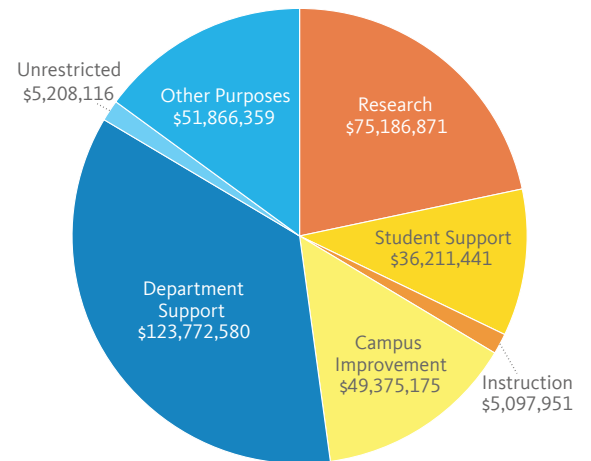
## Total giving cash



## Gift source



## Gift purpose



## Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	<b>PLEDGE PAYMENTS</b>					
	\$73,525,884	\$91,354,199	\$72,171,936	\$104,705,305	\$118,437,761	\$346,718,494
Accrual (REGENTS)	<b>NEW OUTRIGHT GIFTS</b>					
	\$202,806,092	\$222,500,868	\$256,583,382	\$306,075,730	\$228,280,733	\$321,258,413
	<b>NEW PLEDGES</b>					
	\$103,388,163	\$90,621,428	\$59,262,526	\$88,507,638	\$92,977,680	



### DAVIS 2012–13

Donors committed \$149.1 million to the University of California, Davis, during the fiscal year, according to nationally recognized Council for Advancement and Support of Education (CASE) guidelines. This surpasses the previous year's total of \$132.4 million and marks the seventh consecutive year that philanthropic gifts and pledges to UC Davis topped \$100 million.

The university raised \$124.8 million (in accrual reporting standards) or \$117.8 million (under cash reporting standard) during the 2012–13 fiscal year.

UC Davis had a record-breaking year for student support, having raised \$21.7 million for student scholarships, fellowships, awards and activities, which is more than double the previous year's total. This success allowed UC Davis to surpass its \$120 million fundraising goal through UC's Project You Can. Additionally, this past fiscal year was the best year on record for giving by alumni, with more than \$17.4 million received in gifts and pledges.

Here are some of the year's philanthropic highlights:

- Current and past members of the UC Davis Foundation Board of Trustees and UC Davis administrators personally gave more than \$1 million to create The UC Davis Foundation Matching Fund for Student Support, allowing the university to raise about \$4 million and create 40 endowed scholarships and fellowships.
- The university hosted its first Stride for Aggie Pride 5K run, which was organized by students and raised about \$17,500 for emergency aid through We Are Aggie Pride and student scholarships through the ASUCD Awards Endowment Fund.

- UC Davis reached a milestone of having 150 endowed chair positions. This accomplishment comes just four years after the university celebrated its 100th endowed faculty position, a milestone that took 100 years to achieve.
- The university raised \$30 million dollars in 30 days from Dec. 15, 2012 to January 15, 2013.
- The largest amount of philanthropic support, \$60.3 million, came in for research; the largest gift from a private foundation was a \$10 million gift to the UC Davis Center for Watershed Sciences from the S.D. Bechtel, Jr. Foundation.

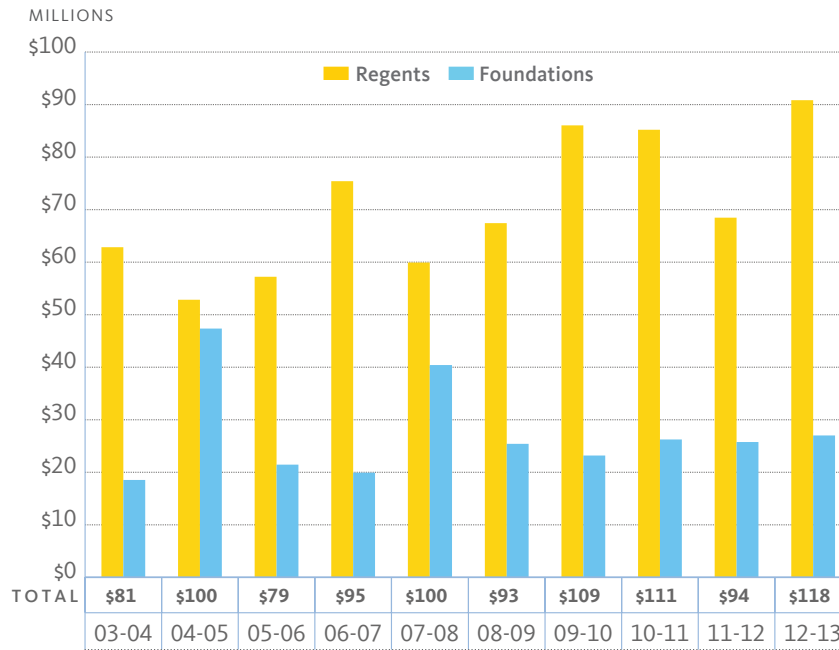
Each of UC Davis' 10 colleges and professional schools received philanthropic contributions.

The School of Veterinary Medicine garnered the largest amount of philanthropic support having raised \$35.8 million, which is the largest fundraising year for the school in the past 10 years. The School of Education celebrated the greatest year of fundraising in its history, raising more than \$4.5 million.

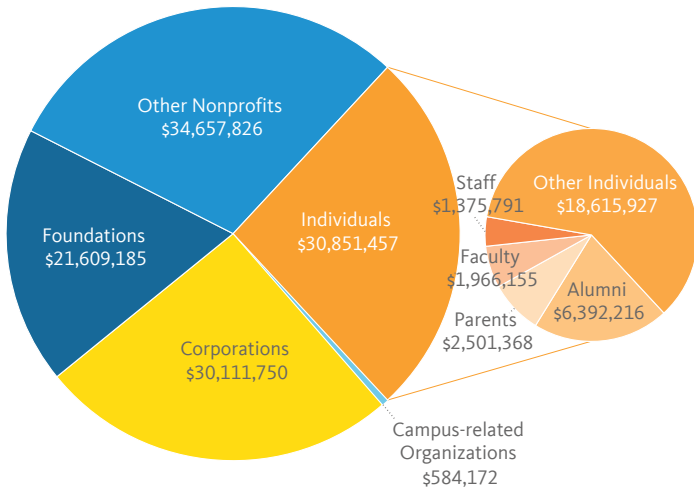
This year's philanthropic support is part of the university's first comprehensive fundraising campaign—The Campaign for UC Davis—which publically launched in October 2010. As of June 30, 2013, the university raised \$973.4 million through The Campaign for UC Davis in support of its students, faculty, staff and programs.



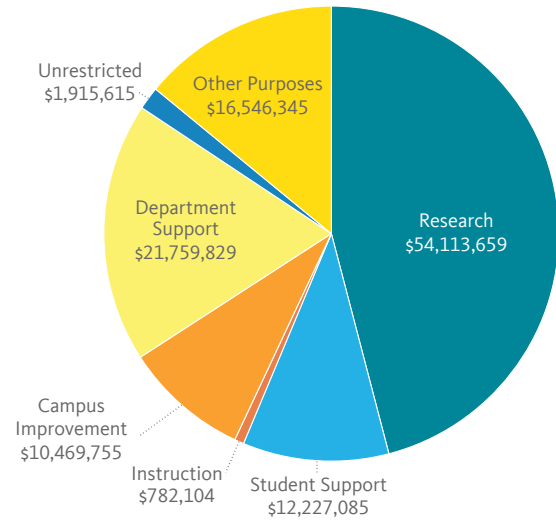
## Total giving cash



## Gift source



## Gift purpose



## Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	<b>PLEDGE PAYMENTS</b>					
	\$38,907,585	\$26,386,610	\$11,446,847	\$18,796,499	\$19,826,717	\$117,814,391
Accrual (REGENTS)	<b>NEW OUTRIGHT GIFTS</b>					
	\$53,953,097	\$82,847,003	\$99,984,015	\$75,496,069	\$97,987,673	\$124,835,131
	<b>NEW PLEDGES</b>					
	\$51,778,515	\$20,082,689	\$12,280,419	\$49,010,750	\$26,847,458	



### IRVINE 2012–13

Private giving at UC Irvine remained strong with 21,622 gifts to campus totaling \$79.6 million (using cash reporting standard) and \$77.6 million (using accrual reporting standard) in new gifts and commitments in the 2012–13 fiscal year. At the conclusion of FY 2012–13, UCI's \$1 billion "Shaping the Future" campaign raised \$829.6 million in private support—ahead of schedule to reach its \$1 billion goal by 2015, the campus's 50th anniversary. This is the first comprehensive campaign in UCI's history and is exceeding the university's expectations. Average new gifts and commitments per year are more than twice the average of the decade before the campaign was launched and total gift transactions per year have also markedly increased over the pre-campaign period.

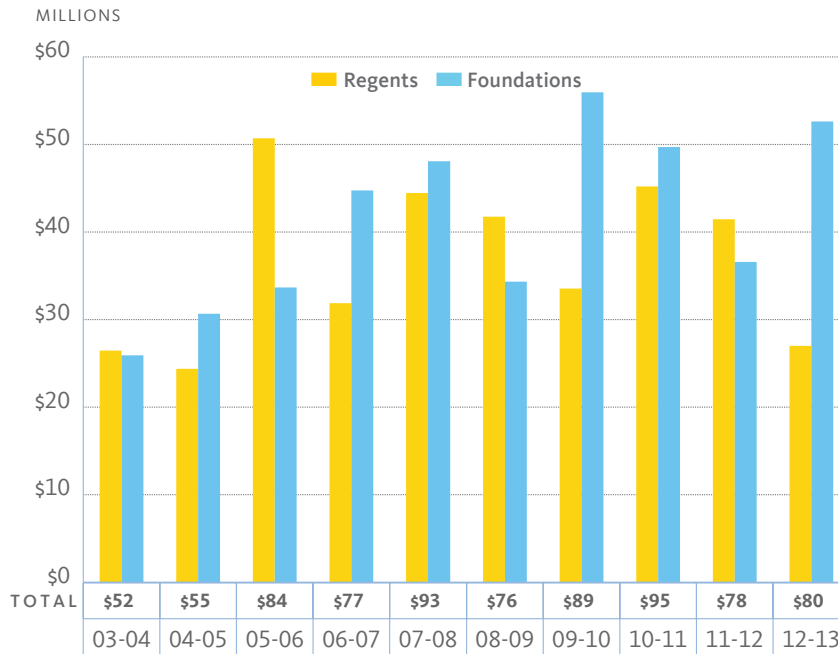
"Gifts to UCI this year are fueling research, clinical care and student support, and have come from our community, our faculty and our alumni," said Gregory Leet, Vice Chancellor of University Advancement. "This private support is driving discoveries in medicine, learning, our environment and sustainable energy. It also underpins economic development in our region and is directly supporting UC Irvine students to become the next generation of global leaders."

Private giving supports a wide range of important endeavors, including \$3.5 million in endowment and grant funding for K-12 math and science teacher education and a \$1 million grant from the W.M. Keck Foundation to support a lab that explores whether the low-temperature and high-pressure conditions of the deep ocean could generate carbon-free power from methane hydrates.

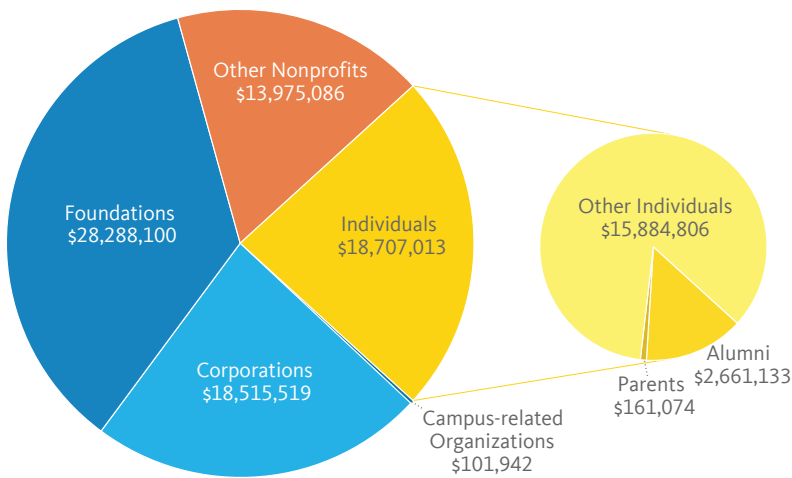
Other notable examples of private philanthropy transforming UCI include:

- The School of Social Sciences' Department of Cognitive Sciences received a \$3 million gift from Dina and Jean-Claude Falmagne to support the establishment of three new endowed chairs. The chair holders will be recognized mathematical psychologists whose work is in the tradition of the late R. Duncan Luce, a UC Irvine Distinguished Professor of cognitive sciences with whom Jean-Claude Falmagne served on the faculty for more than 20 years.
- The Gavin Herbert Eye Institute (GHEI) received a \$3 million gift from Discovery Eye Foundation with \$2 million designated for the GHEI building and \$1 million designated for research. In recognition of their gift, UCI named the 3rd floor Center for Education "Discovery Eye Foundation Center for Education."
- Sue and Bill Gross, who provided the naming gift for the Sue & Bill Gross Stem Cell Research Center, challenged UCI with a \$4 million match to fund the build-out of the 4th floor. UCI successfully met the challenge and the Grosses matched the university's accomplishment with a \$4 million gift.

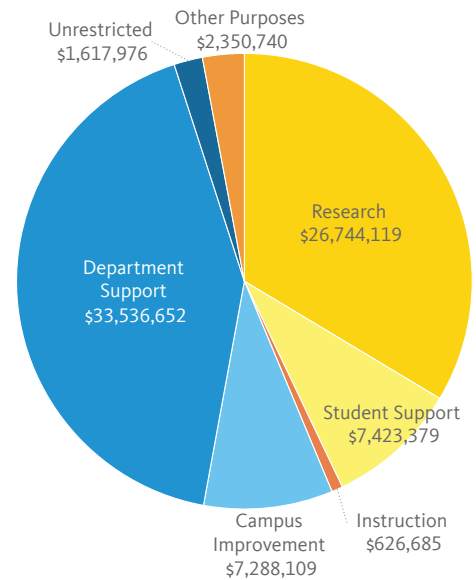
### Total giving cash



### Gift source



### Gift purpose



### Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	<b>PLEDGE PAYMENTS</b>					
	\$18,689,657	\$38,553,500	\$22,448,501	\$16,997,390	\$20,847,037	\$79,587,659
Accrual (REGENTS)	<b>NEW OUTRIGHT GIFTS</b>					
	\$57,369,847	\$50,914,220	\$72,453,413	\$61,027,726	\$58,740,622	\$77,621,840
	<b>NEW PLEDGES</b>					
	\$26,390,767	\$6,590,047	\$30,888,088	\$19,715,495	\$18,881,218	



### LOS ANGELES 2012–13

In 2012–13, UCLA set a new fundraising record. Alumni, friends, faculty, staff and students contributed more than \$512 million (\$420 million under the cash reporting standard) in support of the university’s mission of teaching, research and service. This total included \$130 million in student support, \$217 million in research funding, \$93 million in discretionary support and \$33 million in faculty support. With nearly 58,000 donors giving just under 77,000 gifts and pledges, this barrier-breaking achievement has created pacesetting momentum to carry UCLA into its Centennial Campaign and strengthened its commitment as a public research university to improving society.

The face of philanthropy is reflected in every corner of campus, often empowering our most deserving students to pursue life-changing careers without economic burden. This year, for example, a transformative gift to the David Geffen School of Medicine at UCLA will cover the full cost of a four-year medical education for up to 33 medical students in each class and more than 300 students over the next decade. The gift will allow these future physicians and scientists to pursue careers in clinical practice, teaching, research and public service unrestricted by the debt that commonly accompanies such an education.

Also this past fiscal year, advocates of gender equality got an enormous boost from a gift to the UCLA School of Law establishing scholarships to support law students with financial need who demonstrate academic excellence, leadership qualities and a strong commitment to promoting the advancement of women in law and society. Another gift, to the UCLA Departments of

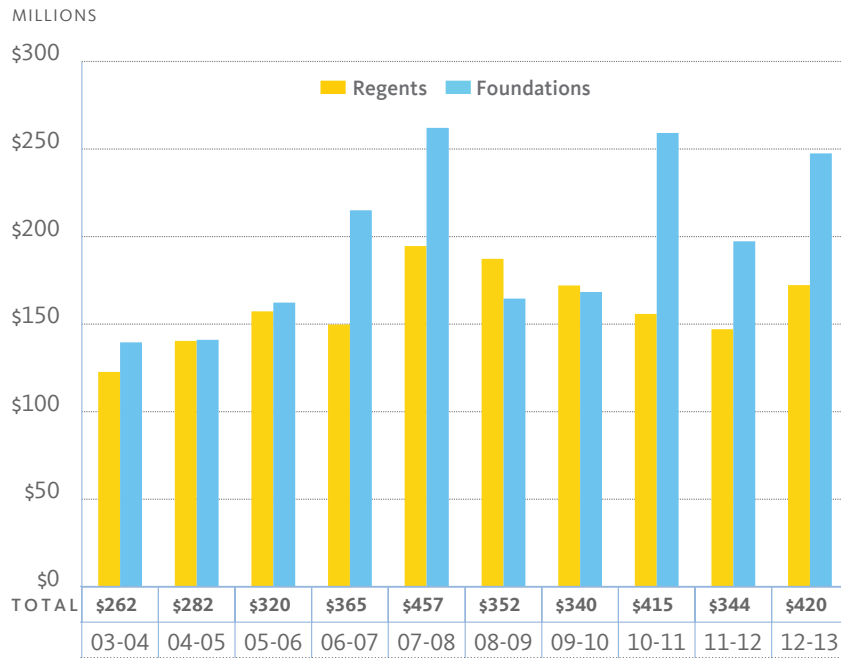
English and History, will provide fellowships, support for visiting lecturers, student summer travel awards and library resources for some of the world’s top English and history scholars.

Strengthening the university’s commitment to deepening understanding of our nation’s multifaceted cultural heritage were gifts to the UCLA Asian American Studies Center to invigorate student-based research into the history of Chinese Americans in the Los Angeles area; and to the UCLA Chicano Studies Research Center to establish The Romana Acosta Bañuelos Collection, a permanent archive of the personal papers and oral history of the 34th Treasurer of the United States and the first Latina to hold the position.

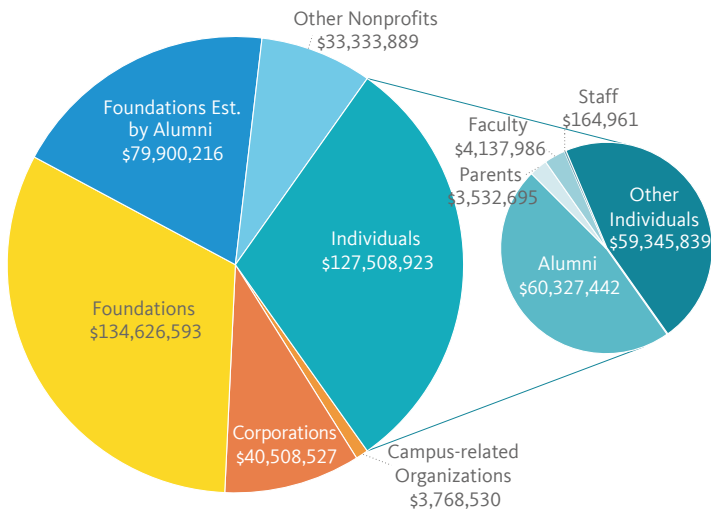
Finally, a gift from two visionary Los Angeles philanthropists takes aim at three great challenges facing society today. The commitment will direct support to UCLA’s Institute of the Environment and Sustainability for faculty chairs, a center for urban sustainability, and a prize to advance new sustainability technologies and initiatives; the Hammer Museum to expand vitally needed arts programs for children and families; and scholarships for UCLA students who have been or are currently in foster care, helping to ensure their success. All of which significantly enhance the university’s role in helping humanity and nature to thrive.



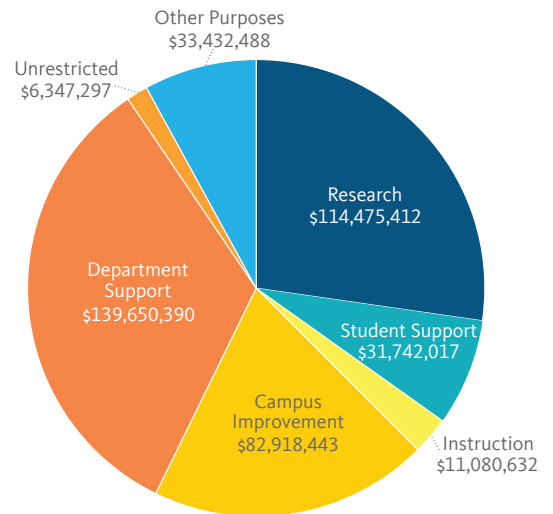
### Total giving cash



### Gift source



### Gift purpose



### Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	PLEDGE PAYMENTS					
	\$94,934,393	\$114,530,302	\$159,631,629	\$93,926,895	\$140,987,659	\$419,646,679
Accrual (REGENTS)	NEW OUTRIGHT GIFTS					
	\$256,754,593	\$225,876,461	\$255,398,793	\$250,274,254	\$278,659,021	\$512,072,830
	NEW PLEDGES					
	\$104,120,511	\$153,404,140	\$224,099,756	\$151,866,235	\$233,413,810	



### MERCED 2012–13

As UC Merced continues to pursue its mission of research, teaching and service, it is increasingly attracting attention and—more importantly—the support of generous individuals and organizations who believe in the work the campus accomplishes.

UC Merced recorded \$5.04 million in private gift and grant support in 2012–13, a 26 percent increase in private support compared with the prior year.

“An immense amount of work went into tightening up our fundraising efforts, looking at every opportunity to collaborate. This led to a substantial increase over last year,” Chancellor Dorothy Leland said. “Despite the fact that it was a transitional year with a new vice chancellor on board, the Development and Alumni Relations team and the campus were able to really focus efforts on our newly approved fundraiser initiatives.”

Those initiatives, which will remain for five years, include increasing fellowships for graduate students, enhancing research culture and infrastructure, recruiting distinguished faculty, providing scholarships for undergraduate students and building upon the medical education initiative.

In 2012–13, support from first-time donors increased 38 percent over 2011–12.

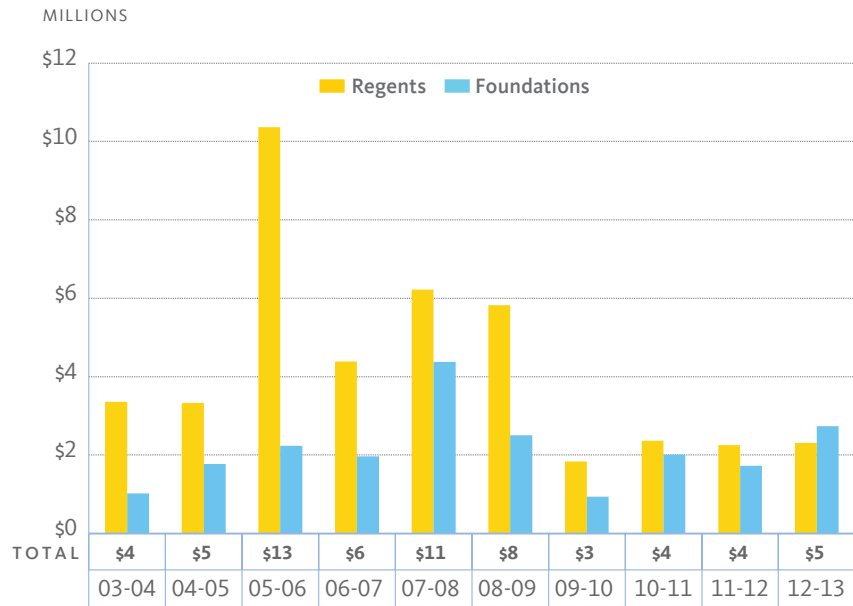
Some of the major gifts include:

- A \$1 million gift to establish The Margo F. Souza Leadership Center, which will encompass a wide-range of campus leadership initiatives, including the Margo Souza Entrepreneur-in-Training Track, and the Mentorship and Celebration Lunch.
- A planned gift of real estate to UC Merced from Joel and Elizabeth Wallace. The Wallaces gave the campus \$575,000 from the proceeds of a property sale to establish the Wallace-Dutra Amphitheater and the Yablokoff-Wallace Health Science Research Endowment, which will support the campus’s Community Research, Innovations and Solutions for the Health of the San Joaquin Valley Network.
- A \$500,000 donation from San Francisco-based The Bernard Osher Foundation, which will benefit incoming transfer students who have demonstrated a financial need and shown academic promise in community college.
- A \$450,000 pledge from the Walter S. Johnson Foundation to establish the Guardian Scholars Program will provide resources and support services to help former foster youth in Merced, Madera and Stanislaus counties transition and succeed in college.
- A pledge of \$262,500 from Frederick R. and Mitzie Ruiz to help generations of students through the Rose R. Ruiz Endowed Scholarship and Fellowship Fund. The scholarship will support highly motivated undergraduate and graduate students who face obstacles.

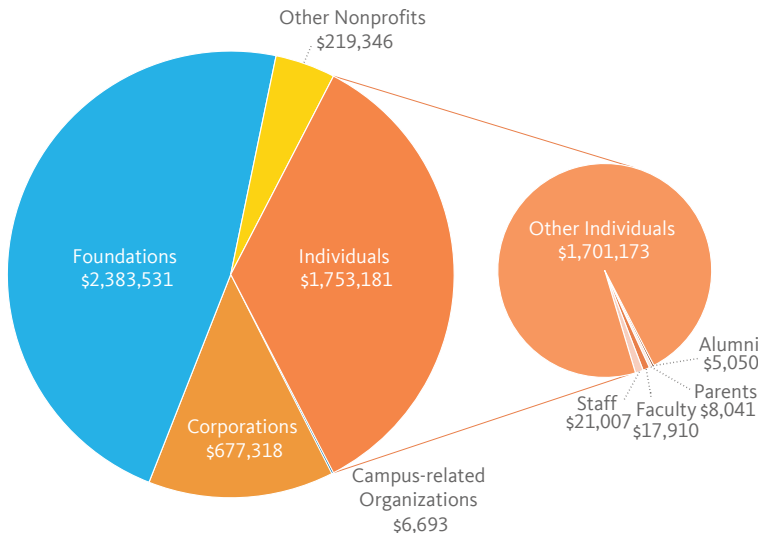
“Since arriving here in May 2012, I’ve been so impressed with the fact that people have believed in the university since it was just a dream,” said Vice Chancellor for Development and Alumni Relations Kyle Hoffman. “They stepped up in the beginning in such a significant way, and they are continuing to support this campus.”

This year’s gifts bring UC Merced’s total to more than \$87.5 million since it began accepting gifts in 1998.

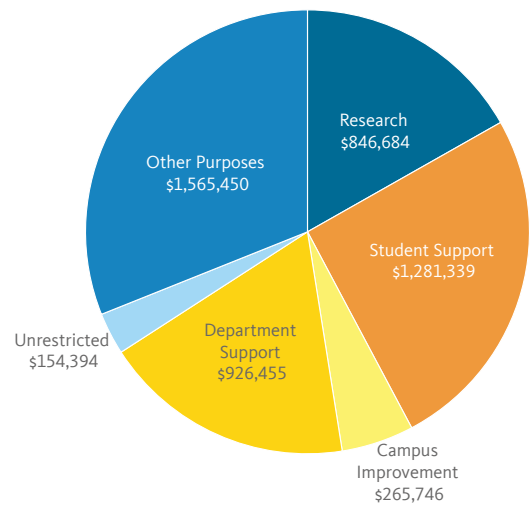
## Total giving cash



## Gift source



## Gift purpose



## Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	PLEDGE PAYMENTS					
	\$5,372,918	\$1,030,268	\$2,306,297	\$2,137,698	\$2,098,962	\$5,040,069
Accrual (REGENTS)	NEW OUTRIGHT GIFTS					
	\$2,949,042	\$1,741,055	\$2,072,132	\$1,837,364	\$2,941,107	\$4,429,577
	NEW PLEDGES					
	\$1,020,365	\$1,388,932	\$4,504,017	\$647,693	\$1,488,470	



### RIVERSIDE 2012-13

While the overall fundraising dollars declined this year (due both to chancellorial transition and a change in reporting standards), the number of gifts to UC Riverside campus grew by a healthy 21% (from 9,937 to 12,041). As the quiet phase of the campaign starts to gain momentum, the increase in new gifts is a healthy sign, as is the arrival of new leadership in Chancellor Kim A. Wilcox. There were several strong indicators of support for the campus during fiscal year 2013:

University of California, Riverside, School of Medicine, accredited in October 2012, drew financial support from health care provider Kaiser Permanente Southern California. The \$3 million grant is intended to increase the size and reach of the school's existing "pipeline programs" and thus broaden and diversify the pool of students applying to medical school.

Early in fiscal year 2013, Rochelle Campbell donated \$350,000 toward the completion of the Neil A. Campbell Science Learning Laboratory (named for her late husband, co-author of the acclaimed textbook, *Biology*). The laboratory provides innovative space for teaching research methods in the context of introductory science courses.

One of the largest gifts ever given to UC Riverside to support the arts was bequeathed by longtime philanthropist and arts benefactor Henry W. Coil, Jr. The \$900,000 gift names the Coil Brothers Atrium at the Barbara and Art Culver Center of the Arts in downtown Riverside.

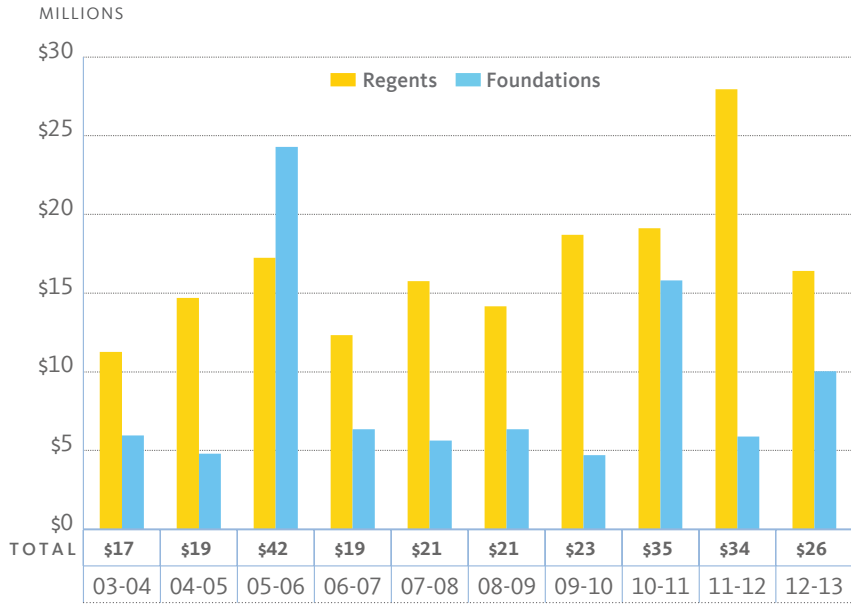
The second annual Dance Marathon, spearheaded by the UC Riverside Student Alumni Association, raised more than \$18,000 in support of the Guardian Scholars. The Guardian Scholars program provides resources to UCR students who have aged out of the foster care system in order to facilitate their successful pursuit of higher education.

The Sweeney Art Gallery received almost \$234,000 from patron Tilda Fagin after she died in January 2013. The bequest provides a financial cushion for the creative and bold programming curated by the gallery.

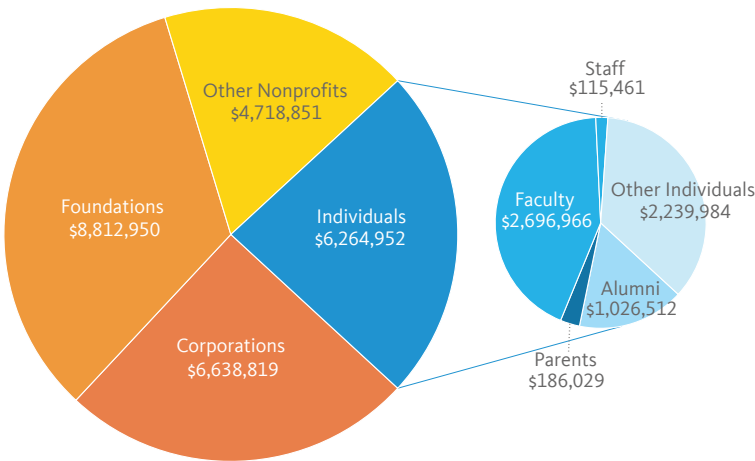
Scholarship support for students continues to garner generosity from donors. Scholarship gifts increased from \$1,508,987 to \$2,070,248 over the previous fiscal year with the number of gifts increasing from 1,908 to 2,450 (up 28%).



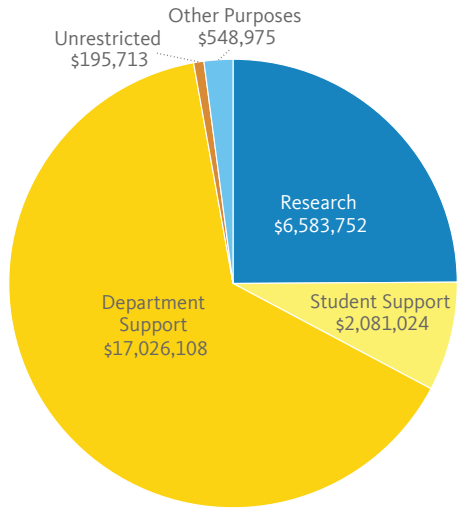
Total giving cash



Gift source



Gift purpose



Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	<b>PLEDGE PAYMENTS</b>					
	\$2,436,892	\$4,648,120	\$13,305,070	\$4,143,444	\$10,536,491	\$26,435,572
Accrual (REGENTS)	<b>NEW OUTRIGHT GIFTS</b>					
	\$18,078,580	\$18,764,510	\$21,635,182	\$29,693,559	\$15,899,081	\$20,768,717
	<b>NEW PLEDGES</b>					
	\$1,478,868	\$4,633,960	\$12,929,730	\$6,636,867	\$4,869,636	



### **SAN DIEGO 2012–13**

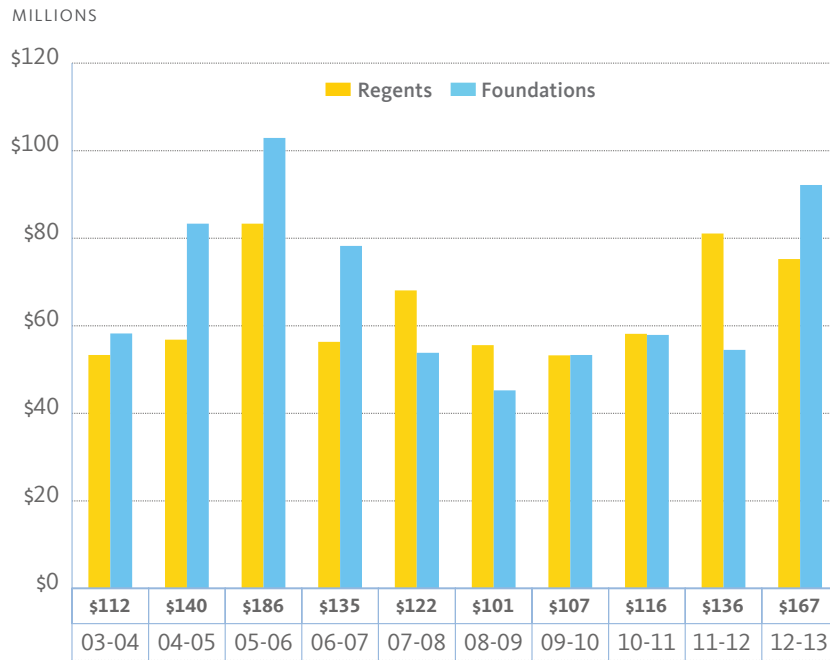
As a leading research university and academic powerhouse, UC San Diego is an agent of change, spurring innovation and economic growth that addresses local, national and global challenges. For fiscal year 2012–13, a total of 30,289 donors helped to continue this legacy of achievement, raising \$150.3 million in private support (\$167.4 million under the CAE reporting standard)—a 15 percent increase compared with the previous year. Public and private foundations provided the largest source of support in 2012–13, representing 30 percent of total funds raised. Half of all private support was designated for research to support the next invention, cure or breakthrough to continue UC San Diego’s impact on the community and the world.

Giving by UC San Diego alumni jumped to a record 15 percent of the total funds raised. Last year, the computer science and engineering department received \$18.5 million in private support from an alumnus—the largest gift ever made by one of our alumni—which will fund new endowed faculty chairs, top-of-the-line teaching labs, support for graduate students and expanded mentoring and tutoring programs for the next generation of undergraduates.

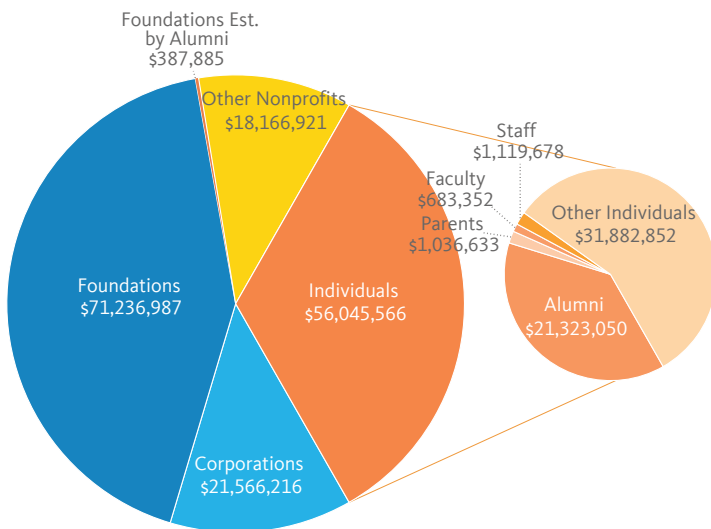
Following is a sampling of other generous donors who provided support through leadership and legacy gifts and grants:

- \$6.05 million—Bequest from Betty C. and Walter J. Zable, allocated by the campus to support faculty, students and health care across campus;
- \$4.6 million—Grant from the U.S. Hereditary Angioedema Association to create an endowed chair, endowed center and faculty support for research in angioedema;
- \$4.3 million—Grant from the Simons Foundation to the Center for Astrophysics and Space Sciences;
- \$4.3 million—Gifts from Joan and Irwin Jacobs to support the Moores Cancer Center, Jacobs Scholars, Dean’s Excellence Innovation Fund, School of International Relations and Pacific Studies, Division of Arts and Humanities and the Preuss School UCSD;
- \$4 million—Endowed gift from Miriam and Jerome Katzin to establish The Katzin Prize Endowment Fund to support graduate students;
- \$3.7 million—Bequest from Ben and Wanda Hildyard to create three new endowed chairs for research in hereditary, mitochondrial and eye diseases;
- \$2.4 million—Grants from the Gordon and Betty Moore Foundation to Integrative Oceanography and the Qualcomm Institute;
- \$2 million—Grant from the Qualcomm Foundation to benefit UC San Diego’s Qualcomm Institute and to support other areas of the campus;
- \$1.6 million—Award from the Paul G. Allen Family Foundation to assist one of our new generation of scientists fluent in both physics and biology in the interdisciplinary effort called “quantitative biology” or “Q-bio”; and
- \$1.6 million—Grant from the Bill & Melinda Gates Foundation to pursue an innovative global health and development research project.

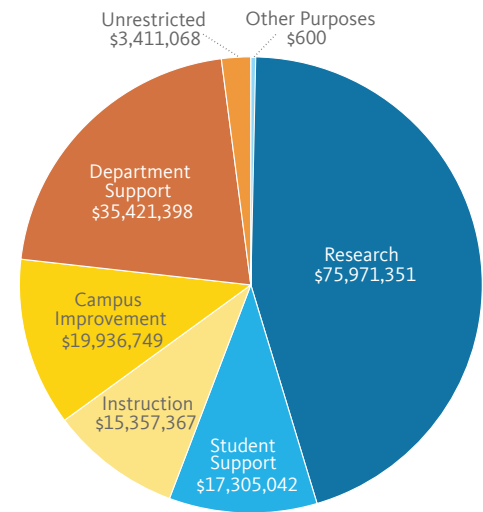
## Total giving cash



## Gift source



## Gift purpose



## Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	<b>PLEDGE PAYMENTS</b>					
	\$23,702,360	\$18,209,880	\$16,317,590	\$13,590,752	\$21,567,848	\$167,403,575
Accrual (REGENTS)	<b>NEW OUTRIGHT GIFTS</b>					
	\$77,204,090	\$88,389,169	\$99,833,138	\$121,951,805	\$145,835,727	\$150,366,096
	<b>NEW PLEDGES</b>					
	\$23,236,179	\$59,015,652	\$20,871,732	\$9,173,520	\$4,530,369	





### SAN FRANCISCO 2012–13

UC San Francisco completed fiscal year 2013 with a private support total of \$386 million (under the cash reporting standard), the second highest fundraising total in the history of the campus. Philanthropy once again proved indispensable to UCSF's \$4 billion budget; revenue from private support was nearly double what was received from state appropriations.

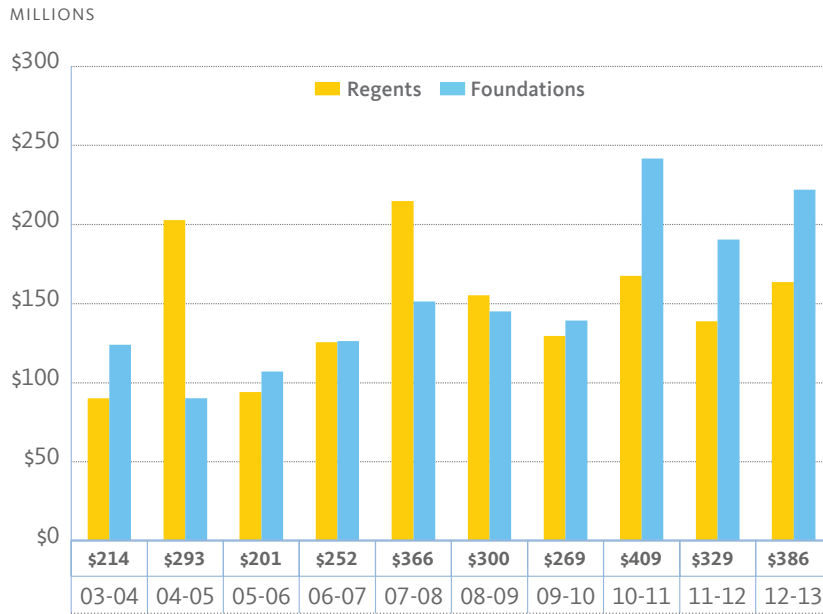
During FY13, UCSF celebrated the 10th anniversary of its Mission Bay campus, a world renowned research hub. The 57-acre development—home to one of the broadest concentrations of biomedical experts in the world—is built on a solid foundation of private philanthropy. The campus hosts state-of-the-art research operations for cancer, cardiovascular disease, neurology, orthopedics, and basic science, to name just a few.

Private philanthropy has long been the bedrock of our \$1.065 billion research enterprise and FY13 was no exception. The visionary Li Ka Shing Foundation pledged \$2 million to support UCSF's efforts to advance precision medicine, an emerging field aimed at revolutionizing medical research and patient care. The grant establishes ties between the Hong Kong-based charitable foundation, UCSF, and Shantou University, which is located in Southeast China. The goals of the partnership are to transform medicine by creating a worldwide biomedical knowledge network, an International leadership exchange, and a systems pharmacology program to develop more precise medications.

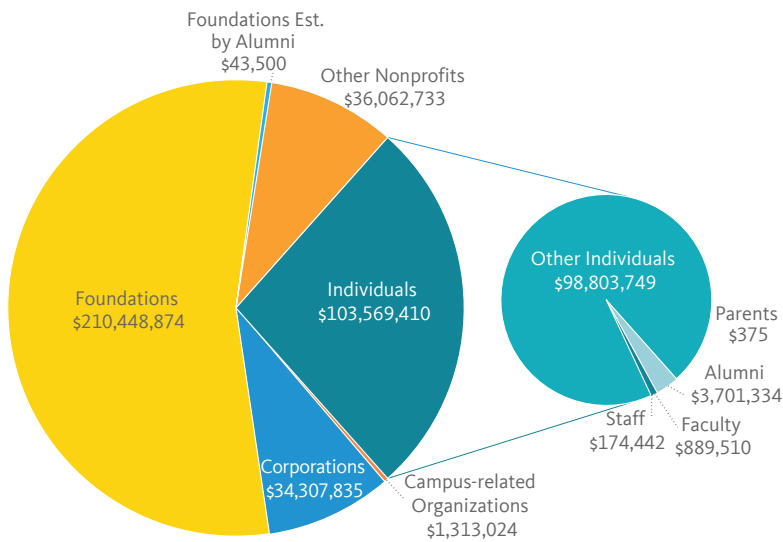
UCSF's Program for Breakthrough Biomedical Research (PBBR) received a \$3 million gift from the Sandler Foundation. Run by Herb Sandler and his late wife Marion, the foundation is an invaluable champion of groundbreaking discovery at UCSF. Their most recent gift is emblematic of the foundation's commitment to bold, innovative ideas. Using a venture capital funding model, PBBR funds UCSF investigators with transformative research projects that challenge conventional wisdom. The program, now in its 15th year, is credited with generating over half-a-billion dollars in subsequent grant funding (including some 300 NIH awards); more than 1,500 peer reviewed publications; and over 60 patents awarded or pending.

Illustrating the broad reach of UCSF's research, the Bill & Melinda Gates Foundation generously supported the work of a number of our scientists in global health. The impact of the \$17.4 million grant will be felt keenly in the areas of infectious disease—including trachoma, malaria, and AIDS—and childhood mortality.

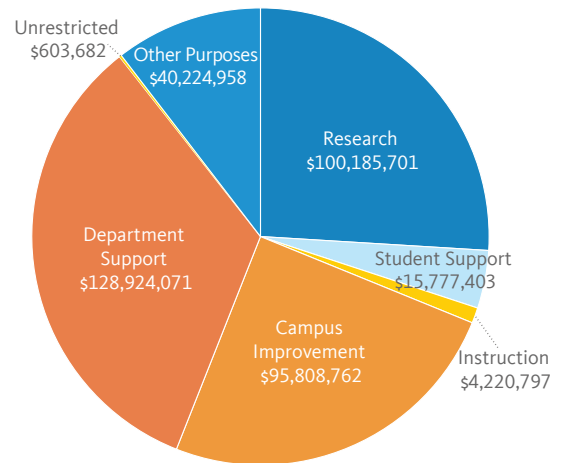
## Total giving cash



## Gift source



## Gift purpose



## Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	<b>PLEDGE PAYMENTS</b>					
	\$67,506,212	\$85,008,902	\$162,808,554	\$100,617,363	\$156,283,574	\$385,745,375
Accrual (REGENTS)	<b>NEW OUTRIGHT GIFTS</b>					
	\$232,918,101	\$183,896,040	\$246,639,409	\$228,859,764	\$229,461,801	\$269,329,183
	<b>NEW PLEDGES</b>					
	\$41,554,111	\$245,115,850	\$107,482,402	\$71,811,872	\$39,867,381	



### SANTA BARBARA 2012–13

Signaling a keen understanding of the ongoing impact of a long, downward trend in state funding—and broader appreciation of the increasingly essential need for private support for higher education—UC Santa Barbara has seen a major upswing in the total number of alumni and parents making gifts to the university.

The number of donors to the Campaign for UC Santa Barbara grew by more than 30 percent during the 2013 fiscal year. The majority of individual donors, alumni and parents, have greatly expanded their private philanthropy to the University. Corporation and foundation support remains strong as well, accounting for 66 percent of all gifts to campus. Private philanthropy in the last year propelled the campus to the \$800-million mark on a steady march toward its goal of raising \$1 billion.

All told, the fiscal year ending in June brought UC Santa Barbara \$52 million in annual private support. A gift of \$9.1 million received in early July provided early, major momentum for a new year of significant investment in the campus by private donors. Annual leadership gifts such as this have been the catalyst to UCSB's increased fundraising numbers over the last two fiscal years.

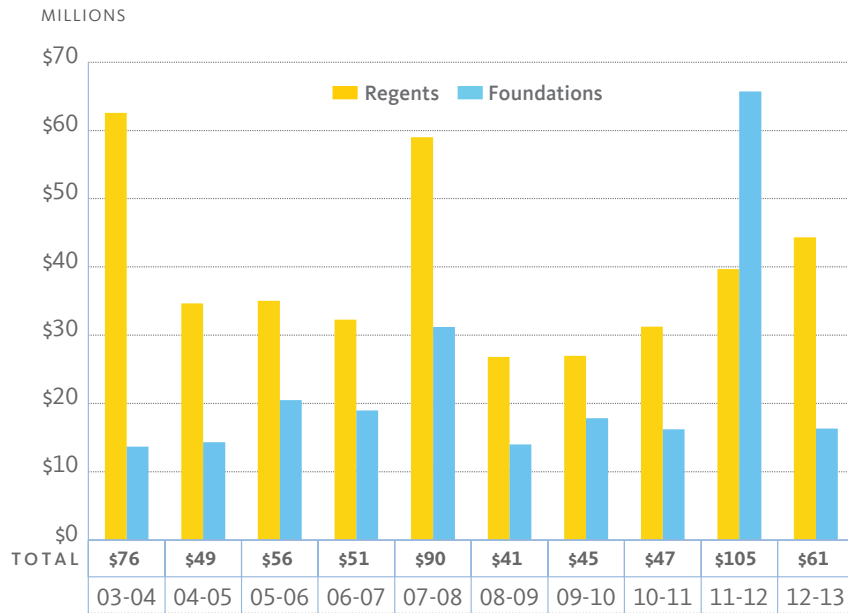
The engagement and advocacy reflected by such generosity are among the keys in helping UCSB sustain and enhance its reputation for cutting-edge research, outstanding teaching, and meaningful public service. They also signal an unwavering belief in what is and will always be the university's greatest resource: students.

Given the decade-long decline in state funding for our university, private giving increasingly makes a critical difference. Support for students is especially crucial—the University of California was founded on the very idea of providing affordable public higher education for all eligible Californians. At UCSB, private support committed to students totaled \$7.6 million during fiscal year 2013. That figure includes three gifts of special note: \$500,000 from a trustee and long-time benefactor to fund scholarships for students with disabilities; and two individual gifts of \$500,000 apiece to UCSB's Guardian Scholars, a program for former foster youth putting themselves through college.

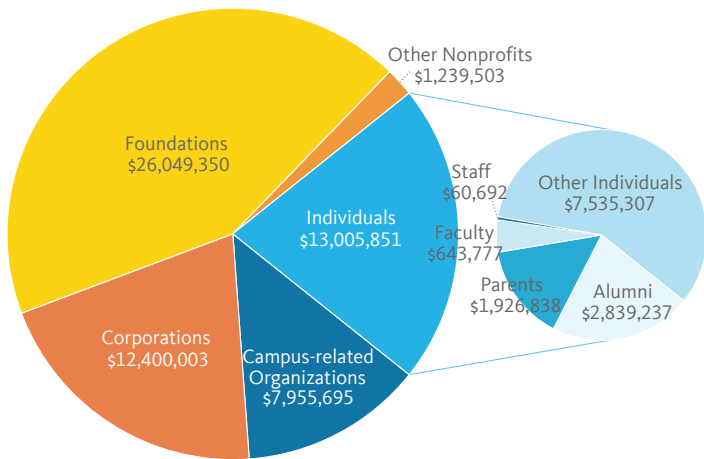
UC Santa Barbara's many visionary donors continue to provide such transformative gifts to the campus. In the past year their generosity has included \$1.5 million to create an endowed chair in experimental science, the first holder of which is science superstar and physics professor Joseph Incandela—part of the research team credited with discovering the Higgs boson. The same donor also gave \$750,000 for graduate student fellowships in science. The Hellman Foundation's newest, nearly \$1-million donation is supporting fellowships for promising young faculty. Additional leadership gifts to the university in recent months include a \$7-million donation of property from the Trust for Public Land, and \$5.6 million from the Gordon and Betty Moore Foundation in support of the UCSB-based National Center for Ecological Analysis and Synthesis.



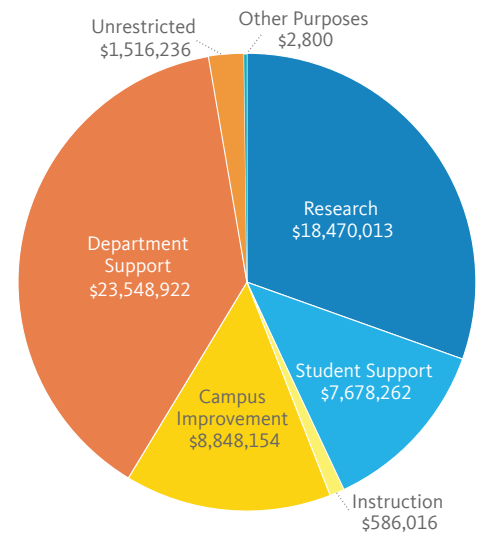
## Total giving cash



## Gift source



## Gift purpose



## Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	<b>PLEDGE PAYMENTS</b>					
	\$14,458,245	\$13,796,584	\$18,462,453	\$18,541,438	\$16,082,159	\$60,650,402
Accrual (REGENTS)	<b>NEW OUTRIGHT GIFTS</b>					
	\$26,363,594	\$31,067,413	\$28,998,730	\$86,852,986	\$44,568,243	\$52,141,913
	<b>NEW PLEDGES</b>					
	\$14,265,599	\$13,486,417	\$5,835,490	\$29,944,507	\$7,573,670	



### SANTA CRUZ 2012–13

UC Santa Cruz launched its first comprehensive campaign in October 2013 with a goal of \$300 million. Cumulative campaign commitments during the planning phase totaled \$129 million through FY 2013. Momentum is building. Private donors gave \$28.1 million in new gifts and commitments this fiscal year, an increase of 17.5% over the previous year, and \$24 million under the cash reporting standard.

A \$4 million pledge from the Helen and Will Webster Foundation will restore historic buildings at the campus entrance and support programs and activities in environmental studies. A \$1 million gift from Pat and Rowland Rebele will support a proposed Institute of the Arts and Sciences, an interdisciplinary endeavor that reflects UCSC's tradition of innovative education.

Philanthropic highlights include:

- A \$500,000 gift from Mark Headley and Christina Pehl to establish the Dorothy E. Everett Endowed Chair for Global Information and Social Entrepreneurship;
- A \$500,000 gift from Narinder Singh Kapany to establish an endowed chair in entrepreneurship;
- A total of \$400,000 in gifts from AOL for research in technology and information management at the Jack Baskin School of Engineering.

Other notable gifts include:

- Monterey Peninsula Foundation: \$375,000 pledge for the Center for Ocean Health renovation;
- College Access Fund of California: \$300,000 grant to the Educational Partnership Center for outreach in regional public schools;

- Claire Giannini Fund: \$295,000 for expanded preschool hours and enhanced child development study programs;
- David B. Gold Foundation: \$150,000 grant to support Jewish Studies;
- Joan Cook: \$65,000 realized bequest to establish an opera endowment.

"I am delighted that donors increased their giving for research, instruction, and campus improvement," UCSC Chancellor George Blumenthal said. Research gifts included a \$1.12 million grant from the American Association for Cancer Research and a \$720,000 grant from the American Cancer Society.

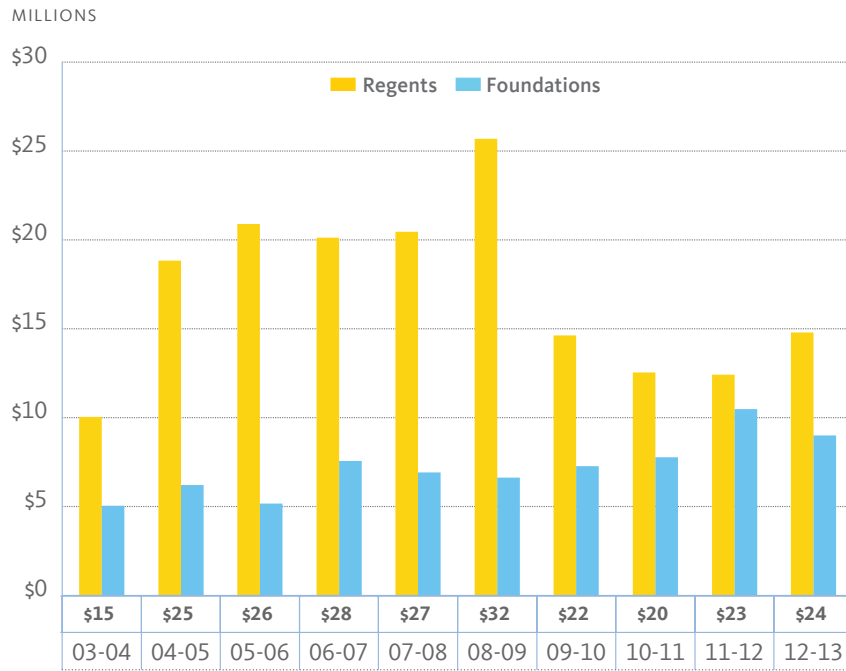
A leader in cancer research, UCSC partnered with the National Cancer Institute to launch the world's largest repository for cancer genomes—the Cancer Genomics Hub—a \$3.5 million project to support cutting-edge research in personalized cancer treatment.

A new \$1.5 supercomputer funded primarily by the National Science Foundation will support research in computational astrophysics and planetary science, another field where UCSC is an international leader.

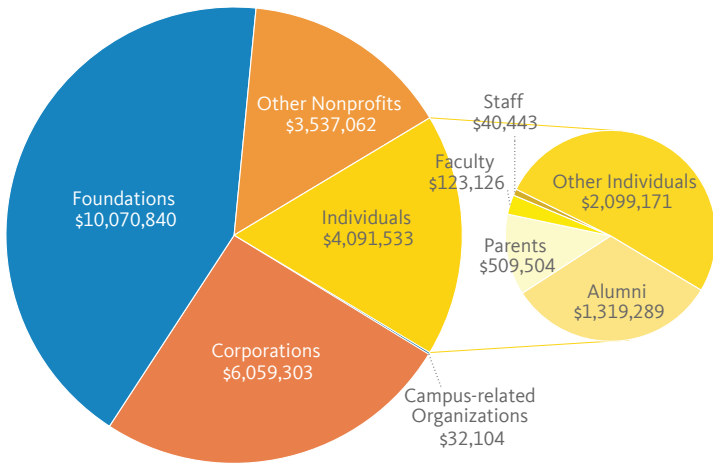
Bequest intentions totaled \$6.3 million and included \$1.5 million for a fellowship to support coastal or marine conservation biology and \$1.2 million for undergraduates taking summer field geology and graduate students studying geomorphology.

"As we begin our comprehensive campaign," said Blumenthal, "I am heartened that alumni, friends, and the broader community are supporting our founding mission of progressive education that benefits both individuals and the planet."

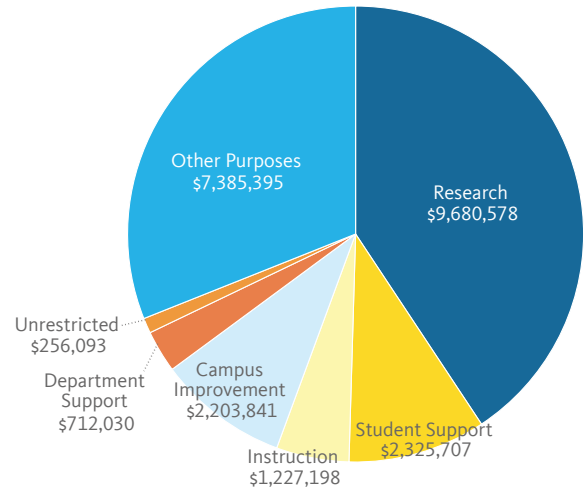
### Total giving cash



### Gift source



### Gift purpose



### Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
Cash (CAE)	<b>PLEDGE PAYMENTS</b>					
	\$5,604,494	\$4,351,766	\$3,740,418	\$5,968,801	\$8,308,615	\$23,790,841
Accrual (REGENTS)	<b>NEW OUTRIGHT GIFTS</b>					
	\$26,707,623	\$17,548,987	\$16,592,039	\$16,929,865	\$15,482,226	\$28,100,209
	<b>NEW PLEDGES</b>					
	\$3,822,254	\$2,519,722	\$5,269,382	\$6,991,539	\$12,617,982	



# Campus Foundation Leadership

## **BERKELEY**

### **University of California Berkeley Foundation**

Website: <https://foundation.berkeley.edu/>

#### **Executive Officers**

**Chair:** Frank E. Baxter '61, Santa Monica

**Vice Chair:** H. Michael Williams, '82, Lafayette

**Chair–Audit Committee:** Georgia Lee '76, San Francisco

**Chair–Campaign Steering Committee:** H. Michael Williams, '82, Lafayette

**Chair–Finance & Administration Committee:** Irving F. Lyons III '72, Lafayette

**Chair–Governance Committee:** Thomas R. Hornaday '63, Phoenix, AZ

**Chair–Programs Committee:** Anthony Y. Chan '74, San Francisco

**Chair–Nominating Committee:** Kathleen G. Correia '76, Lafayette

**Immediate Past Chair:** Arnold N. Silverman '60, M.S. '61, Menlo Park

**BEMCO BOARD MEMBER:** William F. Ausfahl '61, Moraga

**BEMCO BOARD MEMBER:** Colleen C. McAndrews '67, Santa Monica

#### **Administrative Officers**

**President:** F. Scott Biddy, Vice Chancellor–University Relations

**Executive Vice President:** Irene Kim, Assistant Vice Chancellor–Advancement

**Vice President:** Vacant, Associate Vice Chancellor–University Relations

**Associate Vice President:** Kevin T. Crilly, Director–Gift Planning

**Corporate Secretary:** MiHi Ahn, Assistant Director, Strategic Planning–University Relations

**Associate Secretary:** Sylvie L. Mehner, External Relations Specialist, Strategic Planning–University Relations

**Treasurer:** Loraine Binion, Executive Director–Finance and Administration

**Assistant Treasurer:** Lina Wang, Associate Director of Accounting Operations

## **DAVIS**

### **UC Davis Foundation**

Website: [http://giving.ucdavis.edu/uc\\_davis\\_foundation.html](http://giving.ucdavis.edu/uc_davis_foundation.html)

#### **Executive Officers**

**Chair:** Bruce Edwards '60, Los Gatos

**Vice Chair:** Michael Child '76, Atherton

**Chair–Audit Committee:** Anne Gray, Davis

**Chair–Development Committee:** Paul Sallaberry '79, Menlo Park

**Chair–Finance & Investment Committee:** Jeff Traum '85, Menlo Park

**Chair–Nominating Committee:** Bruce Bell '85, Sacramento, CA

**Chair–Stewardship Committee:** Kevin Bacon '72, Austin, TX

**President:** Shaun Keister, Vice Chancellor–Development and Alumni Relations

**Secretary:** Paul Prokop, Associate Vice Chancellor of School and Unit Programs

**Treasurer:** Isabella Corbin, Executive Director of Administrative Services

**IRVINE****University of California, Irvine Foundation**Website: [www.ucifoundation.org](http://www.ucifoundation.org)**Officers**

- Chair:** Hazem H. Chehabi, M.D., Newport Beach
- Chair–Alumni & Student Relations Committee:** Martha A. Newkirk, Ph.D. '81, Laguna Beach
- Chair–Audit Committee:** Mark P. Robinson, Jr., Esq., Corona del Mar
- Chair–Campaign & Fundraising Committee:** Douglas K. Freeman, J.D., LL.M., Corona del Mar
- Chair–Donor Relations Committee:** To be announced
- Chair–Finance Committee:** John R. Evans, Irvine
- Chair–Government Relations/Advocacy Committee:** Gary H. Hunt, Newport Beach
- Chair–Governance Committee:** Dean A. Yoost, Irvine
- Chair–Investment:** Rick E. Keller, C.F.P., Villa Park
- Chair–Nomination & Board Development:** James V. Mazzo, Laguna Beach
- Chair–Strategic Planning:** Emile K. Haddad, Laguna Hills

- President:** Michael V. Drake, Chancellor
- Vice–President:** Gregory R. Leet, Vice Chancellor, University Advancement
- Chief Financial Officer:** Lynn Rahn, Senior Assistant Vice Chancellor, Strategic Planning and Administration
- General Counsel:** Gary J. Singer, Esq. '74, Newport Beach
- Controller:** Christie Israel, Director of Business and Finance Operations, University Advancement
- Secretary:** Jackie M. Barbera, Senior Director, University Advancement

**LOS ANGELES****The UCLA Foundation**Website: <https://www.UCLAFoundation.org>**Officers and Committee Chairs**

- Chair:** Steven L. Klosterman '74, Encinitas
- Immediate Past Chair:** Maurice M. Salter '69, Los Angeles
- Chair–Elect:** William E. Mitchell, Atherton
- Executive Vice President:** Rhea Turteltaub, Vice Chancellor–External Affairs
- Vice President–Development/Executive Director:** Steve Gamer, Associate Vice Chancellor–Development
- Vice President–Finance/Chief Financial Officer & Treasurer:** Julie Sina, Assistant Vice Chancellor, Advancement Services
- Chief Investment Officer:** Srinivas B. Pulavarti, President and Chief Investment Officer, UCLA Investment Company
- Chair–Audit Committee:** Jeffrey A. Seymour '73, M.P.A. '77, Westlake
- Chair–Executive Committee:** Steven L. Klosterman '74, Encinitas
- Chair–Finance Committee:** Annette J. Welton '84, Carmel
- Chair–Nominations Committee:** William E. Mitchell, Atherton
- Chair–Philanthropy Committee:** Shirley Wang '90, Los Angeles

## **MERCED**

### **UC Merced Foundation**

Website: <http://ur.ucmerced.edu/>

#### **Executive Officers**

**Chair:** Bob Tinker, Mountain View

**Vice Chair:** Lee Kolligian, Santa Monica

**Secretary:** Art Kamangar, Merced

**Treasurer:** Mike Gallo, Atwater

**Corporate President:** Dorothy Leland, Chancellor

**Corporate Vice President:** Kyle D. Hoffman,  
Vice Chancellor, Development and Alumni Relations

**Executive Director:** Jan Mendenhall,  
Associate Vice Chancellor for Development

**Chair, Audit Committee:** Cyril Lawrence

**Chair, Development Committee:** Robert Bernstein

**Chair, Finance and Investment Committee:** Dick Otter

**Chair, Nomination Committee:** Rani Yadav–Ranjan

**Members at Large:** Josh Becker, Bob Gallo, Les Starck,  
Denise Watkins

## **RIVERSIDE**

### **UC Riverside Foundation**

Website: [www.foundation.ucr.edu](http://www.foundation.ucr.edu)

#### **Executive Officers**

**Chair:** S. Sue Johnson '62, Riverside

**Chair–Elect:** Thomas T. Haider, M.D., Riverside

**Treasurer:** Robert Fey, Palm Springs

**Immediate Past Chair:** Pamela Rubin, Beverly Hills

**President:** Peter A. Hayashida, Vice Chancellor,  
University Advancement

**Executive Vice President:** Zachary A. Smith,  
Interim Associate Vice Chancellor Development

**Vice President, Finance and Chief Financial Officer:**  
Jan Wildman, Associate Vice Chancellor Advancement  
Administration

**Associate Treasurer:** Elizabeth Wottring–Gonzales, Executive  
Director, University Advancement Finance & Administration

**Secretary:** Patricia D. Kohlmeier, Executive Director,  
UCR Foundation & Donor Relations

## **SAN DIEGO**

### **U.C. San Diego Foundation**

Website: <http://foundation.ucsd.edu/>

#### **Executive Officers**

**Chair:** Peter G. Preuss '67

**Vice Chair:** Carol Chang

**Vice Chair:** Sheldon C. Engelhorn '72

**Treasurer:** Eugene L. Step

#### **Committee Chairs**

**Chair–Audit Committee:** Rudolph Rehm

**Chair–Investment/Finance Committee:** Dr. Kenneth F. Kroner  
'88

**Chair–Development Committee:** Carol Chang

**Chair–Stewardship Committee:** George W. Lattimer

**Chair–Nominating Committee:** Stephen Schreiner '80

**Chair–Student Foundation Committee:**  
Robert S. Marren '86

#### **Operating Officers**

**Chancellor:** Pradeep Khosla

**President:** Steven W. Relyea, Vice Chancellor–External &  
Business Affairs

**Vice President:** Kathy Drucquer Duff, Associate Vice  
Chancellor–Development

**Vice President:** Kristin Bertell, Associate Vice Chancellor–  
Health Sciences Development

**Assistant Treasurer:** Gary Matthews, Vice Chancellor–  
Resource Management & Planning

**Chief Financial Officer:** Marlene Shaver, Assistant Vice  
Chancellor–Advancement Services

**Corporate Secretary:** Alexandra Rutherford,  
Board Operations Manager



**SAN FRANCISCO****UCSF Foundation**

Website: <http://support.ucsf.edu/ucsf-foundation>

**Chair:** William E. Oberndorf

**Vice Chair:** Art Kern

**President:** Susan Desmond-Hellmann, M.D., M.P.H., Chancellor

**Vice President:** John B. Ford, Vice Chancellor, University Development and Alumni Relations

**Treasurer:** John Plotts, Senior Vice Chancellor, Finance and Administration

**Secretary:** Steve Downs, Executive Director, Financial Services and Administration, Development and Alumni Relations

**Chair–Audit Committee:** W. Clarke Swanson, Jr.

**Chair–Development Committee:** William Davidow

**Chair–Investment Committee:** Andrew Ach

**Chair–Government & Community Relations Committee:** Carmen Policy

**Chair–Marketing Committee:** Lynne Benioff

**Chair–Nominating & Governance Committee:** Nancy Hellman Bechtel

**Chair–Real Estate Committee:** Richard Rosenberg

**SANTA BARBARA****UC Santa Barbara Foundation**

Website: <http://www.ia.ucsb.edu/ucsb-foundation>

**Foundation Officers**

**Chair:** Marcy L. Carsey, H '04

**Past Chair & Nominations Chair:** Bruce G. Wilcox '77

**Vice Chair–Investments/Treasurer:** Jane H. Williams

**Vice Chair–Development:** Mark A. Bertelsen '66

**Vice Chair–Donor Relations:** Marie J. Williams '89

**Secretary:** Susan Worster '70

**Executive Director:** Beverly J. Colgate, Associate Vice Chancellor–Development

**Chief Financial Officer:** Eric J. Sonquist, Director of Finance & Administration

**SANTA CRUZ****U.C. Santa Cruz Foundation**

Website: <http://foundation.ucsc.edu/>

**Executive Officers**

**President:** Paul J. Hall '72, Sausalito

**Vice President, Board Affairs:** Richard F. Moss '85, Los Angeles

**Vice President, Events:** Mary E. Doyle '74, Woodside

**Parliamentarian:** Betsy Buchalter Adler '70, San Francisco

**Chair–Executive Committee:** Paul J. Hall '72, Sausalito

**Chair–Finance Committee:** Loren A. Steck '73, Carmel

**Chair–Trustee Membership Committee:** Richard F. Moss '85, Los Angeles

**Chair–Audit Committee:** Robert T. Falltrick '69, San Francisco

**Chair–Events Advisory Committee:** Mary E. Doyle '74, Woodside

**Chair–Development Committee:** Linda Peterson '70, Los Angeles

**Chair–Board Opportunity Fund Committee:** Brandon Allgood, '05, San Bruno

**Administrative Officers**

**Executive Secretary:** Donna M. Murphy, Vice Chancellor, University Relations

**Treasurer:** Sarah Latham, Vice Chancellor, Business and Administrative Services

**Assistant Treasurer:** William Jump, Foundation Controller

**Assistant Secretary:** Ann E. McCrow, Foundation Director

# Glossary

## GIFT DATA

The gift data included in the Annual Report on the University Private Support Program are compiled using the Council for Aid to Education/cash convention for gift reporting (i.e., includes outright gifts, pledge payments, and private grants payments, not contracts). This is the reporting convention used for comparisons with other educational institutions.

## REGENTS

The Board of Regents of the University of California is the corporate body entrusted by the California State Constitution, Article IX, section 9, with the University's organization and administration.

## CAMPUS FOUNDATIONS

Campus foundations, serving each of the ten University of California campuses, are independently incorporated as nonprofit, public-benefit corporations. They serve as the primary fundraising arm for their respective campuses. The strength of the foundations rests with the direction provided by their community-based volunteer trustees. Each foundation is staffed by University personnel.

## SOURCES OF GIFTS

This category identifies the original source of gifts and grants to The Regents and the campus foundations.

## INDIVIDUALS

Individuals are divided into a number of categories, including:

**Alumni** are defined as former undergraduate or graduate students, full- or part-time, who have earned some credit toward a degree offered by a particular campus of the University of California. Alumni status takes precedence in the reporting of private support, so that gifts from faculty, staff and parents who are also alumni would be counted in the alumni category.

**Faculty** members are defined as individuals with full- or part-time academic appointments within the University.

**Staff** members are employed in non-academic positions throughout the University.

**Parents** have children who are current or former students at any campus of the University.

**Individuals (non-alumni)** covered by this classification are those individual donors who are not alumni, faculty or staff.

**Bequests** are defined as gifts received from deceased donors' estates, trusts or other estate plans that designate the University as a beneficiary.

## ORGANIZATIONS

**Campus-related organizations:** This classification covers student groups, alumni associations, and University support groups, but not campus foundations. A gift to a campus foundation is reported in the classification appropriate to the original donor when the gift was received by the campus foundation.

**Corporations:** This classification covers both for-profit entities and non-profit entities that are funded by for-profit entities. For-profit entities are defined as corporations, businesses, partnerships, and cooperatives that have been organized for profit-making purposes, including corporations owned by individuals and families, incorporated professional individuals, and other closely held companies.

Examples of non-profit entities that are funded by for-profit entities include: industry or trade associations; professional, union, or lobbying organizations; and non-profit organizations funded by one or more companies or individuals operating for profit, including corporate foundations.

**Foundations:** This classification covers private tax-exempt entities established and operated exclusively for charitable purposes; non-profit foundations or charitable trusts, including funds or endowments designated by the Internal Revenue Service as grant-making foundations; community foundations; family foundations; or charitable trusts. This classification excludes corporate foundations, campus foundations and quasi-government entities, such as the National Endowment for the Humanities. To capture additional data, a category has been added for foundations established by alumni.

**Other sources:** This classification covers all non-profit organizations other than those described above, including fundraising consortia, religious organizations, and higher educational associations or institutions.

## PURPOSES OF GIFTS

This category identifies the intended use of private support as designated by the donor or grantor.

**Research:** This classification applies to private support that is restricted to scientific, technical and humanistic investigation, including salaries and other support of research projects. Funds for research may also be included in the "campus improvement" classification (capital projects to support research) and in the "departmental support" classification (endowed chairs).

**Student support:** This classification includes support that is restricted to non-repayable financial aid to undergraduate and graduate students, including undergraduate scholarships, graduate fellowships, awards, prizes, and private support for work-study students, as well as gift funds to support loans to be repaid by undergraduate and graduate students.

**Instruction:** This classification is restricted to support for seminars, conferences, lecture programs, and faculty recognition awards, including salaries, honoraria and employee benefits.

**Campus improvement:** This classification covers support for capital projects and for ongoing operation of the physical plant, including grounds and buildings, facilities, and equipment. It includes gifts and private grants of real and personal property, including equipment and works of art for use by the University. It also covers gifts and private grants that are restricted by the donor or grantor to purchase buildings, other facilities, equipment, and land for use by the University; to construct or carry out major renovation of buildings and other facilities; and to retire indebtedness. In addition, the classification applies to gifts of library materials and monetary support that is restricted to acquire, restore, and preserve books, periodicals, manuscripts, maps, and related materials; to acquire audiovisual and other equipment; and to support other activities of campus libraries.

**Departmental support:** This classification covers gifts that are restricted by the donor to a particular academic division, department or entity. It also covers gifts that are restricted by the donor to a particular academic division, department or unit, and further restricted by the donor to a particular purpose for which no other purpose category is listed, including endowed chairs, but excluding support for instruction and student financial support, which are reported in the related classifications.

**Other purposes:** This classification covers support of non-instructional services beneficial to individuals and groups external to the University (e.g., exhibits, museums, patient care, public service, and similar facilities and programs); and gifts restricted by the donor for endowment, which maintains the principal in perpetuity, but otherwise unrestricted by the donor as to use of the endowment income. It also includes support for intercollegiate athletics. Note that gifts to support athletic scholarships are reported in “student support” and gifts for athletic capital projects are reported in “campus improvement.”

**Unrestricted:** This classification includes gifts made by the donor without restriction, regardless of any subsequent administrative designation or purpose.

## TYPE OF ASSETS

This category identifies the form (type of asset) comprising the support received this year by the University and the campus foundations.

**Cash:** This classification covers currency, coins, checks, money orders, credit cards transactions, and bank drafts.

**Securities:** This classification includes stocks, bonds, and related instruments, such as promissory (mortgage) notes and insurance policies, if maintained rather than surrendered for cash.

**Real property:** This classification applies to real estate, including land, buildings, and other improvements; and to oil, mineral and related rights. Real property is reported at its fair market value.

**Non-monetary items:** This classification applies to personal or company property (except securities and real property), including works of art, books, intellectual property, and scientific and other equipment. Non-monetary gifts are reported at their fair market value.

## PLANNED GIFTS

A planned gift is often referred to as a “split-interest arrangement”; it involves benefits for the donor (or another non-charitable beneficiary) and for the charitable institution. In such a circumstance, a donor who makes a current gift-in-trust is eligible for an income for life or for a period of years related to the underlying assets of the gift, as well as to certain income-tax benefits for making a charitable contribution. The donor may name himself or herself or another individual as the life-income beneficiary; on the demise of the beneficiary or at the conclusion of the trust term, the charitable remainder is applied to the charitable purpose specified in the gift agreement.

Face value is a term that refers to the total amount of a planned gift agreement, i.e., the amount conveyed by gift to establish a split-interest trust or other planned gift.

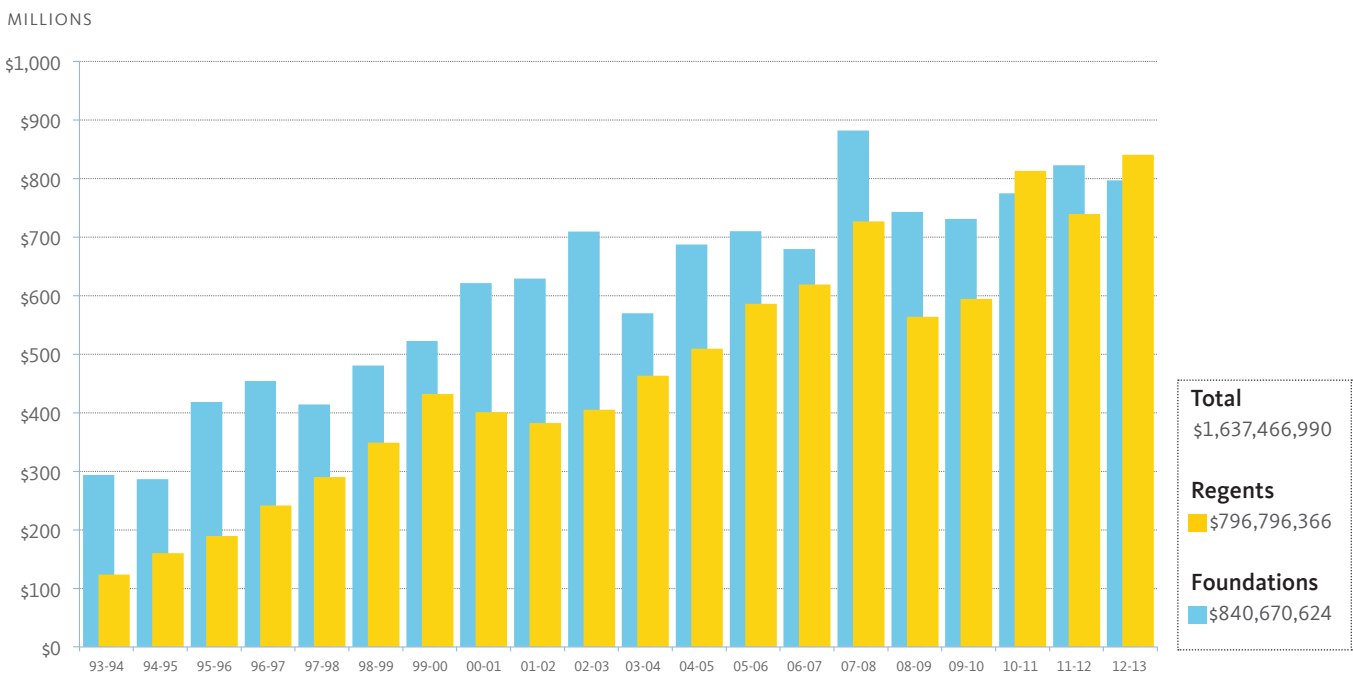
Present value is a term that refers to the discounted value of a planned gift used to predict in today’s dollars what the gift will be worth when it becomes available to support the charitable purpose for which it was designated by the donor.



# 20 Years of Private Support for the System

Private support to the Regents and the Campus Foundations (CAE/Cash Convention)  
1993–94 through 2012–13

FISCAL YEARS	Regents	Foundations	Totals
1993-1994	\$293,837,893	\$123,441,673	\$417,279,566
1994-1995	286,612,728	160,132,785	446,745,513
1995-1996	418,367,669	189,583,298	607,950,967
1996-1997	454,269,849	241,513,863	695,783,712
1997-1998	414,224,944	290,143,326	704,368,270
1998-1999	480,533,690	348,788,445	829,322,135
1999-2000	522,810,531	432,084,528	954,895,059
2000-2001	621,634,140	400,873,079	1,022,507,219
2001-2002	629,248,027	382,349,876	1,011,597,903
2002-2003	709,481,356	405,505,130	1,114,986,486
2003-2004	569,903,692	463,331,591	1,033,235,283
2004-2005	687,234,899	509,643,409	1,196,878,308
2005-2006	710,146,541	586,131,053	1,296,277,594
2006-2007	679,918,570	619,347,252	1,299,265,822
2007-2008	882,276,658	726,764,234	1,609,040,892
2008-2009	743,466,901	563,801,996	1,307,268,897
2009-2010	731,029,449	594,396,945	1,325,426,394
2010-2011	775,093,182	813,078,193	1,588,171,375
2011-2012	822,733,759	739,526,675	1,562,260,434
2012-2013	796,796,366	840,670,624	1,637,466,990



# 10 Years of Private Support for the Campuses

Private support to The Regents and the Campus Foundations (CAE/Cash Convention)

2003–04 through 2012–13

<b>BERKELEY</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$110,884,590	\$71,516,306	\$182,400,896
2004-2005	132,647,534	90,026,054	222,673,588
2005-2006	177,070,648	106,642,052	283,712,700
2006-2007	165,321,195	100,115,482	265,436,677
2007-2008	184,714,198	122,901,938	307,616,136
2008-2009	156,695,051	119,636,924	276,331,975
2009-2010	190,455,289	123,399,778	313,855,067
2010-2011	192,348,778	136,406,540	328,755,318
2011-2012	259,707,938	151,073,097	410,781,035
2012-2013	185,304,586	161,413,908	346,718,494

<b>DAVIS</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$62,817,960	\$18,565,112	\$81,383,072
2004-2005	52,855,601	47,341,313	100,196,914
2005-2006	57,204,093	21,446,184	78,650,277
2006-2007	75,439,046	19,962,893	95,401,939
2007-2008	59,934,103	40,435,854	100,369,957
2008-2009	67,434,643	25,426,040	92,860,683
2009-2010	85,995,075	23,238,538	109,233,613
2010-2011	85,163,260	26,267,603	111,430,863
2011-2012	68,487,731	25,804,837	94,292,568
2012-2013	90,786,384	27,028,006	117,814,391

<b>IRVINE</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$26,446,614	\$25,900,421	\$52,347,035
2004-2005	24,383,198	30,682,570	55,065,768
2005-2006	50,698,808	33,652,991	84,351,799
2006-2007	31,868,905	44,731,718	76,600,623
2007-2008	44,468,291	48,086,745	92,555,036
2008-2009	41,736,986	34,322,518	76,059,504
2009-2010	33,523,824	55,943,896	89,467,720
2010-2011	45,206,472	49,695,442	94,901,914
2011-2012	41,444,141	36,580,975	78,025,116
2012-2013	26,986,978	52,600,681	79,587,659

<b>LOS ANGELES</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$122,662,204	\$139,486,383	\$262,148,587
2004-2005	140,422,261	141,130,212	281,552,473
2005-2006	157,372,797	162,207,756	319,580,553
2006-2007	149,741,487	215,038,251	364,779,738
2007-2008	194,543,756	262,110,576	456,654,332
2008-2009	187,202,246	164,486,740	351,688,986
2009-2010	172,136,294	168,270,469	340,406,763
2010-2011	155,932,215	259,098,207	415,030,422
2011-2012	147,015,612	197,185,537	344,201,149
2012-2013	172,274,486	247,372,193	419,646,679

<b>MERCED</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$3,356,106	\$1,017,842	\$4,373,948
2004-2005	3,330,652	1,770,679	5,101,331
2005-2006	10,363,874	2,236,081	12,599,955
2006-2007	4,387,939	1,962,569	6,350,508
2007-2008	6,222,938	4,375,578	10,598,516
2008-2009	5,823,885	2,498,075	8,321,960
2009-2010	1,832,781	938,543	2,771,324
2010-2011	2,367,090	2,011,339	4,378,429
2012-2013	2,306,552	2,733,517	5,040,069

<b>RIVERSIDE</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$11,271,603	\$5,951,870	\$17,223,473
2004-2005	14,695,527	4,787,221	19,482,748
2005-2006	17,235,810	24,301,626	41,537,435
2006-2007	12,318,430	6,356,166	18,674,596
2007-2008	15,753,177	5,630,845	21,384,022
2008-2009	14,166,436	6,349,037	20,515,473
2009-2010	18,704,227	4,708,403	23,412,630
2010-2011	19,130,423	15,809,829	34,940,252
2011-2012	27,962,301	5,874,702	33,837,003
2012-2013	16,405,284	10,030,288	26,435,572

<b>SAN DIEGO</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$53,371,665	\$58,227,110	\$111,598,775
2004-2005	56,857,995	83,300,096	140,158,091
2005-2006	83,282,133	102,903,612	186,185,745
2006-2007	56,301,068	78,229,417	134,530,485
2007-2008	68,041,924	53,803,262	121,845,186
2008-2009	55,609,306	45,297,144	100,906,450
2009-2010	53,236,522	53,362,528	106,599,050
2010-2011	58,206,080	57,944,648	116,150,728
2011-2012	81,051,986	54,490,570	135,542,556
2012-2013	75,226,252	92,177,323	167,403,575

<b>SAN FRANCISCO</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$90,034,936	\$123,961,844	\$213,996,780
2004-2005	202,885,156	90,047,225	292,932,381
2005-2006	94,138,297	107,068,066	201,206,363
2006-2007	125,527,416	126,417,925	251,945,341
2007-2008	214,749,838	151,318,180	266,068,018
2008-2009	155,258,075	145,166,238	300,424,313
2009-2010	129,511,202	139,393,739	268,904,941
2010-2011	167,608,633	241,839,330	409,447,963
2011-2012	138,876,827	190,600,300	329,477,127
2012-2013	163,743,912	222,001,463	385,745,375

<b>SANTA BARBARA</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$62,556,126	\$13,659,014	\$76,215,140
2004-2005	34,644,655	14,340,743	48,985,398
2005-2006	35,054,856	20,511,372	55,566,228
2006-2007	32,283,162	18,976,181	51,259,343
2007-2008	59,022,398	31,189,950	90,212,348
2008-2009	26,840,317	13,981,522	40,821,839
2009-2010	27,007,295	17,856,703	44,863,998
2010-2011	31,239,974	16,221,209	47,461,183
2011-2012	39,677,469	65,716,955	105,394,424
2012-2013	44,343,988	16,306,415	60,650,402

<b>SANTA CRUZ</b>	<b>Regents</b>	<b>Foundation</b>	<b>Total</b>
2003-2004	\$10,052,257	\$5,045,689	\$15,097,946
2004-2005	18,837,949	6,217,296	25,055,245
2005-2006	20,889,392	5,161,313	26,050,705
2006-2007	20,126,272	7,556,650	27,682,922
2007-2008	20,449,025	6,911,306	27,360,331
2008-2009	25,674,359	6,637,758	32,312,117
2009-2010	14,616,404	7,284,348	21,900,752
2010-2011	12,548,411	7,784,046	20,332,457
2012-2013	14,784,012	9,006,830	23,790,841





# Reporting Conventions Used in This Report

The Annual Report on University Private Support includes data for each campus and the University using two of three gift reporting conventions employed by the University.

1 The first reporting convention, which is cash-based, is used for comparisons with other institutions. It is also employed for the Council on Aid to Education’s (CAE) national Voluntary Support of Education Survey completed by colleges and universities. This reporting convention includes outright gifts, private grants, and payments on pre-existing pledges, but does not include new pledges. This method of gift reporting is used for the body of this report and is thought to present the best perspective on the cash flow that derives from private support.

2 The second method is a variation of the accrual system and includes new, legally binding pledges up to five years in duration, plus outright gifts and private grants. In this system, payments made on pledges are not included. The method is the reporting standard used for many reports on capital campaigns and is thought to present the best perspective on the level of current fundraising activity.

These first two reporting standards are shown at the bottom of each campus narrative. The breakdown follows for system support over the last five years.

## Reporting conventions

	08-09	09-10	10-11	11-12	12-13	2012-13 Totals
1 <b>Cash (CAE)</b>	<b>PLEDGE PAYMENTS</b> \$345,526,353    \$398,805,156    \$482,947,723    \$379,448,017    \$515,274,155					\$1,637,466,990
2 <b>Accrual (REGENTS)</b>	<b>NEW OUTRIGHT GIFTS</b> \$961,742,544    \$926,621,238    \$1,105,223,652    \$1,182,811,517    \$1,122,192,835					\$1,566,082,509
	<b>NEW PLEDGES</b> \$372,291,139    \$596,935,348    \$482,724,306    \$429,306,117    \$443,889,673					

There is a third reporting convention that is employed by the University’s accounting office for its end-of-year audited financial reports. This method is also based on accrual; however, on the revenue side, unconditional, legally-binding pledges are recorded

at the time they are promised, balanced by receivable accounts which are reduced as pledge payments are received. This method is used in the annual UC Financial Report.

*The Annual Report on University Private Support 2012-13 is prepared by the UC Office of Institutional Advancement and Communications staff. This report is a compilation of private support data, campus narratives, and foundation rosters prepared by each campus. The method of rounding may produce the appearance of minor inconsistencies in various totals and percentages, but the differences do not affect the accuracy of the data.*

Berkeley  
Davis  
Irvine  
Los Angeles  
Merced  
Riverside

San Diego  
San Francisco  
Santa Barbara  
Santa Cruz  
Lawrence Berkeley Laboratory

University of California  
Institutional Advancement  
Office of the President  
1111 Franklin Street, 7th Floor  
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