FY	LOB	LOC	Prj Code	Proj No.	Name/Title of Audit	Planned Hours	Primary Index Code	Est. Qtr Completion
					Prior Year Audits Not Completed (DNFs):	0		
FY18	Campus	SC	DNF			0		
					(a) Subtotal - Planned Carry Forward	0		
					Planned New Audits (PNs):			
FY18	Campus	SC	PN	18-01	Information Management of Sensitive Data - User Awareness and Use	420	G.a.01	Q3
FY18	Campus	SC	PN	18-02	Succession Planning	430	N.e.05	Q3
FY18	Campus	SC	PN	18-03	Vivarium Operations and Governance	367	E.b.02	Q2
FY18	Campus	SC	PN	18-04	OPERS Diving and Boating Safety Program	325	I.b.03	Q1
FY18	Campus	SC	PN	18-05	AREC - UC Systemwide	180	I.b.06	Q3
FY18	Campus	SC	PN	18-06	Chancellor's Expenses - G-45, UC Systemside	180	F.a.01	Q3
FY18	Campus	SC	PN	18-07	Divisional Carryforward/Deficit Management	455	F.a.02	Q3
FY18	Campus	SC	PN	18-08	Independent Contractors	353	J.b.05	Q3
FY18	Campus	SC	PN	18-09	Campus Use of Consultants	350	J.b.03	Q3
FY18	Campus	SC	PN	18-10	Data Center - Amazon Web Services	200	G.b.07	Q4
FY18	Campus	SC	PN	18-11	Fair Wage/Fair Work - UC Systemwide	100	E.d.04	Q4
FY19	Campus	SC	PN	18-12	Outside Professional Activities - UC Systemwide	55	N.h.01	Q4
					(b) Subtotal - Planned New Audits	**3415**		
FY18	Campus	SC	PS		**(c) Planned Supplemental Audits (lump sum)**	135		
FY18	Campus	SC	PNF		**(d) Audit Follow Up (lump sum)**	125		
					Total Planned Audit Program (a+b+c+d) [1]	**3675**		
					Planned Advisory Services:			
FY18	Campus	SC	SC	18-50	Fraud Management Program - Data Analytics	318	N.c.03	Q4
FY18	Campus	SC	SC	18-51	Spreadsheet Accuracy	172	N.d.03	Q4
FY18	Campus	SC	SC	18-52	Data Governance Policy Development	330	G.a.02	Q2
FY18	Campus	SC	SC	18-53	AMAS Audit Interviews and Risk Intelligence Governance	90		Q4
FY18	Campus	SC	SC	18-54	NCAA Report Annual Review	80	M.a.01	Q3
FY18	Campus	SC	SC	18-55	Student Intern Program	150		Q4
FY18	Campus	SC	SC		BAS Support	40		
FY18	Campus	SC	SC		Investigation Workgroup	40		
FY18	Campus	SC	SC		ITSC Committee	40		
FY18	Campus	SC	SC		UC Laboratory Audit Committee Support	80		
FY18	Campus	SC	SC		Campus Committees/Workgroups (non BAS)	20		
FY18	Campus	SC	SC		Limited Scope Consultations/Spec. Projects (Max 4 hours)	117		
					(e) Subtotal - Planned Advisory Services	**1477**		
					Unplanned Advisory Services (by Category) [2]			
FY18	Campus	SC	SE		External Audit Coordination (SE)	38		
FY18	Campus	SC	SI		Internal Control & Accountability (CRSA) (SI)	1		
FY18	Campus	SC	SP		California Information Practices Act (IPA), Conflict of Interest (COI) & Ot	1		
FY18	Campus	SC	SU		Compliance Support (SU)	40		
FY18	Campus	SC	SR		Systems Development, Reengineering Teams (SR)	16		
					(f) Subtotal - Unplanned Advisory Services	**96**		
					Total Advisory Services (e+f) [1]	**1573**		
FY18			IN		**Planned Investigation Hours (lump sum)**	**407**		
					Total Audits, Advisory Services, and Investigations	**5655**		

[1] Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report
[2] enter lump sums for each applicable AS category (i.e. - SC, SE)
(*) **1 = Top 10 scores, 2 = next top 10 scores, 3 = all others**
[3] IT Indicators:

        A – application review
        I – integrated review
        T – technical review
        S – system development or post-implementation review
        G – general controls review