FY	LOB	LOC	Prj Code	Prj Number	Name/Title of Audit	Planned Hours	Primary Index Code	Est. Qtr Completion
					Prior Year Audits Not Completed (DNFs):			
FY17	CAM	UCM	DNF	M17A003	Access to Student Data - Banner Access Controls	150	G.a.01.	3rd Qtr.
					(a) Subtotal - Planned Carry Forward	150		
					Planned New Audits (PNs):			
FY18	CAM	UCM	PN	M18A001	Tuition, Student Fees, and Receivables	200	O.a.04.	1st Qtr.
FY18	CAM	UCM	PN	M18A002	Parts / Equipment Supply Management	200	H.q.05.	1st Qtr.
FY18	CAM	UCM	PN	M18A003	Low Value Purchase Orders / Purchase Cards	200	H.f.03.	2nd Qtr.
FY18	CAM	UCM	PN	M18A004	Post Award Spending Review	200	E.d.02.	2nd Qtr.
FY18	CAM	UCM	PN	M18A005	Cash Management Controls	200	H.b.02.	3rd Qtr.
FY18	CAM	UCM	PN	M18A006	Annual Report of Executive Compensation & Benefits (Systemwide)	100	J.b.06.	3rd Qtr.
FY18	CAM	UCM	PN	M18A007	Fair Wage / Fair Work (Systemwide)	100	J.b.03.	4th Qtr.
FY18	CAM	UCM	PN	M18A008	Downtown Center Construction Project	200	K.a.01.	4th Qtr.
FY18	CAM	UCM	PN	M18A009	Outside Professional Activities (Systemwide)	50	N.c.08.	4th Qtr.
					(b) Subtotal - Planned New Audits	1,450		
FY18			PS		**(c) Planned Supplemental Audits (lumpsum)**	200		
FY18			PNF		**(d) Audit Follow Up (lump sum)**	40		
					Total Planned Audit Program (a+b+c+d) [1]	1,840		
					Planned Advisory Services:			
FY18	CAM	UCM	SC	M18C001	Vice Chancellor Transition Reviews	200	A.d.	1st Qtr.
FY18	CAM	UCM	SC	M18C002	UCPath Readiness Assessment Review	200	G.b.04.	2nd Qtr.
FY18	CAM	UCM	SC	M18C003	Project 2020 Review	200	F.a.04.	3rd Qtr.
FY18	CAM	UCM	SC	M18C004	Fraud Risk Management and Data Analytics	250	N.c.03.	4th Qtr.
					(e) Subtotal - Planned Advisory Services	850		
					Unplanned Advisory Services (by Category) [2]			
FY18	CAM	UCM	SC		**Unplanned SC**	400		
FY18	CAM	UCM	SE		**Unplanned SE**			
FY18	CAM	UCM	SI		**Unplanned SI**			
FY18	CAM	UCM	SP		**Unplanned SP**			
FY18	CAM	UCM	SU		**Unplanned SU**			
FY18	CAM	UCM	SR		**Unplanned SR**			
					(f) Subtotal - Unplanned Advisory Services	400		
					Total Advisory Services (e+f) [1]	1,250		
FY18			IN		**Planned Investigation Hours (lump sum)**	350		
					Total Audits, Advisory Services, and Investigations	3,440		

[1] Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report
[2] enter lump sums for each applicable AS category (i.e. - SC, SE)
(*) 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others
[3] IT Indicators:

A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review