

SCHEDULE 3

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk 1, 2, 3	Planned Hours	Primary Index Code	Core (x)	IT Indicator ⁽³⁾	Est. Qtr Completion
<u>Prior Year Audits Not Completed (DNFs):</u>										
FY17		DNF								
(a) Subtotal - Planned Carry Forward										
<u>Planned New Audits (PNs):</u>										
FY18	ADM	PN	ASUCLA	General Books Division		160	M.a.02			
FY18	ADM	PN	ASUCLA	Lu Valle Division		260	M.a.08			
FY18	ADM	PN	ASUCLA	Trademarks & Licensing		260	L.b.01			
FY18	ADM	PN	ASUCLA	Facilities Maintenance		220	K.b.02			
FY18	ADM	PN	ASUCLA	Accounts Receivable		320	H.a.02			
FY18	ADM	PN	Facilities Management	Client Recharge Process (Customer Relations)		400	H.i.01		I	
FY18	ADM	PN	Facilities Management	Materials and Equipment (Design, Project Management & Operations)		300	K.a.02			
FY18	ADM	PN	Facilities Management	Purchasing Process Review (Finance & Information Systems)		400	H.f.04			
FY18	ADM	PN	Facilities Management	Preventive and Deferred Maintenance		400	K.b.04			
FY18	ADM	PN	Capital Programs	Capitalization Procedures and Practices		350	K.a.01			
FY18	ADM	PN	Capital Programs	Project Management		350	K.a.01			
FY18	ADM	PN	Capital Programs	Share Point System Review		300	G.b.04		G	
FY18	ADM	PN	Housing & Hospitality	Access Controls		318	O.c.02			
FY18	ADM	PN	Housing & Hospitality	Construction		370	K.a.01			
FY18	ADM	PN	Housing & Hospitality	Housing Assignments Office		370	O.c.02			
FY18	ADM	PN	Housing & Hospitality	UA - Maintenance Shop		418	K.b.02			
FY18	ADM	PN	Housing & Hospitality	University Guest House		243	M.a.07			
FY18	ADM	PN	Events & Transportation	F&T - Recharge Audit		277	H.i.01		I	
FY18	ADM	PN	Events & Transportation	Parking Citation Contract Review		327	M.a.04		I	
FY18	ADM	PN	Events & Transportation	Vanpool Program		164	M.c.03		I	
FY18	ADM	PN	Events & Transportation	UCLA Events Office		227	M.a.06			
FY18	ADM	PN	UC Police Department	Records Management		275	G.a.02			
FY18	ADM	PN	UC Police Department	Travel and Accounts Payable		325	H.c.01			
FY18	ADM	PN	Information Technology Services	Recharges		373	H.i.01		I	
FY18	ADM	PN	Information Technology Services	Enterprise Messaging		273	G.b.02		G	
FY18	ADM	PN	Performance Management and Personnel	Human Resource and Payroll Center - South		500	H.e.01			
FY18	ADM	PN	Central Ticket Office	Ticket Inventory		255	H.g.05		I	
FY18	ADM	PN	Campus Service Enterprises	Asset Management		520	H.g.03			
FY18	ADM	PN	Campus	Fair Work/Fair Wage		300	H.f.01			
FY18	ADM	PN	Campus	UCLA Recreation (UREC)		400	O.c.03			
FY18	ADM	PN	Campus	The UCLA Foundation		500	L.a.03			
FY18	ADM	PN	Campus	Athletics		400	M.a.01			
FY18	ADM	PN	Campus	Academic Department - 1		500	A.b.01			
FY18	ADM	PN	Campus	Academic Department - 2		500	A.b.01			
FY18	ADM	PN	Campus	Academic Department - General IT Control Review		400	G.b.07		G	
FY18	ADM	PN	Campus	UCLA Lab School		500	A.b.01			
FY18	ADM	PN	Campus	UCLA International Institute		500	A.b.01			
FY18	ADM	PN	Campus	Cloud Computing		400	G.b.07		G	

FY18	ADM	PN	Campus	Fowler Museum at UCLA	500	M.a.03	
FY18	ADM	PN	Systemwide	Phase II Program Review - Assessment of Export Control Compliance	200	E.a.03	
FY18	ADM	PN	Systemwide	Unassigned	500	E.a.03	
FY18	HS	PN	Hospital	Hemapheresis Contract (SMUCLA)	450	B.b.10	
FY18	HS	PN	Hospital	Laundry Service Contract	400	B.b.02	
FY18	HS	PN	Hospital	Waste Management Contract	425	I.e.01	
FY18	HS	PN	Hospital	SMUCLA Emergency Physicians Contract	400	B.g.01	
FY18	HS	PN	Hospital	Accounts Payable	500	H.c.01	I
FY18	HS	PN	Hospital	Purchasing	550	H.f.04	I
FY18	HS	PN	Hospital	Disaster Recovery	400	G.b.08	G
FY18	HS	PN	Hospital	I.T. Access - Controls -- Off-Boarding	500	G.a.01	G
FY18	HS	PN	Hospital	Medical Device Security	500	G.b.07	G
FY18	HS	PN	Hospital	International Relations	400	B.a.01	I
FY18	HS	PN	Hospital	Patient Accounts Credit Balances	500	B.i.01	
FY18	HS	PN	Hospital	Tiverton House	500	M.a.07	I
FY18	HS	PN	Faculty Practice Group	UCLA Health Clinical Practice Operations	2,500	B.c.02	I
FY18	HS	PN	School of Medicine (Campus)	School of Medicine Departmental Audits	1,500	B.e.01	I
FY18		PN	Campus and Health Sciences	Audit Administration	3,950		
Total Planned Audits (PN)					<u>28,030</u>		
FY18		PS	Supplemental Audits		1,000		
FY18		PNF	Audit Follow Up		1,500		
Total Planned Audit Program (a+b+c+d) ⁽¹⁾					30,530		
<u>Planned Advisory Services:</u>							
FY18			Unassigned		4,500		
Total Advisory Services (e+f) ⁽¹⁾					4,500		
FY18		IN		Planned Investigation Hours (lump sum)	1,600		
Total Audits, Advisory Services, and Investigations					<u>36,630</u>		