

UNIVERSITY of CALIFORNIA  
CAPITAL PROJECT APPROVAL MATRIX<sup>1</sup>

Sept 2013

	INITIAL PROJECT APPROVALS			AUGMENTS AND SCOPE CHANGES <sup>2</sup>				
	PROJECTS LESS THAN \$10M	STANDARD PROCESS		DELEGATED PROCESS	STANDARD PROCESS		DELEGATED PROCESS	
		\$10M to \$20M	More than \$20M	\$10M to \$60M	Total budget less than \$20M or Augment less than 25%	Total budget more than \$20M and Augment more than 25%	Total budget less than \$60M or Augment less than 25%	Total budget more than \$60M and Augment more than 25%
<b>REGENTS</b>		<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Concurrence for Budget</div>	<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Board</div>	<div style="border: 1px dashed black; padding: 2px; display: inline-block;">Board accepts CFP and PhDF; approves LRDP</div>	<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">Concurrence \$10M-\$20M</div>	<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Board</div>		<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Board</div>
		<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Board for Design</div>	<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">Board</div>		<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">Board over \$20M</div>	<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">Board</div>		<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">Board</div>
		<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">Concurrence</div>						
<b>UCOP</b>	<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">EVP-CFO</div>			<div style="border: 1px dashed black; padding: 2px; display: inline-block;">OGC CEQA Review</div>	<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">VP-BCR over \$10M</div>		<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">VP-BCR more than 25%</div>	
				<div style="border: 1px dashed black; padding: 2px; display: inline-block;">EVP-BO Policy Review</div>		<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">EVP-CFO up to \$10M</div>		<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">EVP-CFO up to \$60M</div>
				<div style="border: 1px solid black; background-color: #ffff00; padding: 2px; display: inline-block;">EVP-CFO</div>				
<b>CAMPUS</b>	<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Chancellor</div>			<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Chancellor</div>	<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Chancellor up to \$10M</div>		<div style="border: 1px solid black; background-color: #0056b3; color: white; padding: 2px; display: inline-block;">Chancellor up to 25%</div>	

**LEGEND**

**CFP** Capital Financial Plan  
**PhDF** Physical Design Framework  
**LRDP** Long Range Development Plan

**Project Approvals** Budget approvals are recommended by G&B for approval by the full Board (SO 100.4(q)); design is approved by G&B (Bylaw 12.4); and CEQA actions are approved by G&B (Regents' Policy 8102).

**External Financing Approvals** SO 100.4(nn)(1) governs approval under the standard process; SO 100.4(nn)(2) under the delegated process.

**Related Actions**

**NOTES**

- The approval matrix reflects the process for UC funded projects. The only material deviation for State-funded projects is that budget approval occurs with Regents' approval of the annual State funded capital outlay plan.
- In addition to the monetary thresholds noted above, substantial program modifications (in physical characteristics or intended use) for a project previously approved by the Regents are referred by the President to the Board when, in the judgment of the President, the modifications merit review and approval by the Regents.