**PI EXCEPTION REQUEST**

For a complete description of which positions and titles automatically qualify for PI Status see C&G Manual [Chapter 1-530.](http://www.ucop.edu/research-policy-analysis-coordination/resources-tools/contract-and-grant-manual/chapter1/chapter-1-500.html)

Name of Person Seeking PI Status: Click here to enter text.

Unit: Click here to enter text.

Department: Click here to enter text.

Email: Click here to enter text.

**In accordance with section** [**1-530.c**](http://www.ucop.edu/research-policy-analysis-coordination/resources-tools/contract-and-grant-manual/chapter1/chapter-1-500.html) **of the UC Contract & Grant Manual, I seek (check one option below):**

[ ]  Exceptional PI Status for a specific Contract or Grant. (Check this option & complete this section if you plan to submit a proposal in the near future)

 Sponsor Name: Click here to enter text.

 Originating Sponsor Name (if any): Click here to enter text.

 Project Title: Click here to enter text.

 Amount: Click here to enter text.

 Period: Start Date Click here to enter a date. End Date Click here to enter a date.

Enter below a Summarized Scope of Work to be performed at UCOP (2000 characters max):

[ ]  Blanket Exceptional PI Status. (Check this option & complete this section if you are seeking blanket PI status for any future proposals falling within your area of expertise and/or departmental purview.)

 UCOP Program Name or Unit: Click here to enter text.

Brief Description of UCOP Program or activities of unit (1000 characters max):

**My qualifications to serve as PI or Co-PI are as follows: (enter narrative below and/or attach résumé)**

**I understand that I am responsible for all duties described in Section** [**10-300**](http://www.ucop.edu/research-policy-analysis-coordination/resources-tools/contract-and-grant-manual/chapter10/chapter-10-300.html) **(specifically Subsection 10-330) of the Contract Grants Manual, including:** • The integrity and management of the sponsored project;
 • The financial management of project funds (see C&G Manual Section [6-400](http://www.ucop.edu/research-policy-analysis-coordination/resources-tools/contract-and-grant-manual/chapter6/chapter-6-400.html), Subsection 6-440);
 • Adherence to all internal University policies; and
 • Adherence to externally imposed sponsor terms and conditions including reporting and record keeping and
 other requirements contained in the award document.

**Signature of Person Seeking PI Status: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

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| **Department/Unit Head to enter compelling reason for the request and an assessment of the proposed PI’s ability to carry out the administrative and programmatic responsibilities incumbent upon Principal Investigators:**

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| --- | --- |
| Request Endorsed by Unit Head:Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Name: Click here to enter text.Title: Click here to enter text.Date: Click here to enter text. | Request Endorsed by Department Head:Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Name: Click here to enter text.Title: Click here to enter text.Date: Click here to enter text. |

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|  [ ]  Approved [ ]  DeclinedComments: Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date:  Aimée Dorr Provost & Executive Vice President for Academic Affairs |