The Honorable Denise Moreno Ducheny  
Chair, Joint Legislative Budget Committee  
State Capitol, Room 5035  
Sacramento, California 95814

Dear Senator Ducheny:

Pursuant to Item 6440-001-0001, Provision 11 of the 2007 Budget Act, enclosed is the University of California's annual report to the Legislature on Research and Academic Programs in Mexico for 2006-07 and 2007-08.

If you have any questions regarding this report, Associate Vice President Debora Obley would be pleased to speak with you. She can be reached by telephone at (510) 987-9112, or by e-mail at Debora.Obley@ucop.edu.

Sincerely,

[Signature]

Robert C. Dynes

Enclosure

cc:  The Honorable Jack Scott, Chair  
Senate Budget and Fiscal Review Subcommittee #1  
(Attn: Ms. Amy Supinger)  
(Attn: Ms. Cheryl Black)  
The Honorable Julia Brownley, Chair  
Assembly Budget Subcommittee #2  
(Attn: Ms. Sara Bachez)  
(Attn: Ms. Amy Rutschow)  
Ms. Elizabeth Hill, Legislative Analyst  
Mr. Mike Genest, Director of Finance  
Mr. E. Dotson Wilson, Chief Clerk of the Assembly  
Mr. Gregory Schmidt, Secretary of the Senate  
Ms. Diane Boyer-Vine, Legislative Counsel  
Ms. Sara Swan, Department of Finance  
Mr. Steve Boilard, Legislative Analyst's Office  
Joint Legislative Budget Committee (17)  
Provost Wyatt R. Hume  
Executive Vice President Katherine N. Lapp  
Vice President Patrick J. Lenz  
Associate Vice President Debora Obley  
Interim Assistant Vice President Karen French
University of California

RESEARCH AND ACADEMIC PROGRAMS IN MEXICO

2006-07 and 2007-08

Report to the Legislature

March 2008
Item 6440-001-0001 of the 2007 Budget Act states in part:

11. The University shall report to the legislature by March 15, 2008, on the amount of funds spent to support UC-Mexico research and academic programs, and different types of research conducted and programs operated at the UC-Mexico facility.

This report provides an update through the 2007-08 fiscal year on UC Mexico research activities, including the following:

- Background information on the State augmentation to the University for Mexico research provided in the 2000 Budget Act;
- Actual 2006-07 and estimated 2007-08 expenditures of those State funds; and
- A description of programs occurring at the UC-Mexico facility 2006-07.

Background: The relationship between California and Mexico is of great mutual importance and the University has important research and educational programs focused on Mexico. In addition to education and research activities occurring on individual UC campuses, the University has Universitywide programs with Mexico. Prominent among these are research programs operated through the UC Institute for Mexico and the United States (UC MEXUS).

In the 2000-01 Budget for Current Operations of the University of California, UC asked for $5 million for UC Mexico Research which was to be “…the first phase of a multi-year effort to expand State support for collaborative research on critical issues of joint economic interest to California and all our international trading partners focusing on the Pacific Rim.” The 2000 Budget Act provided the $5 million augmentation to the University budget for UC Mexico research, but with the downturn in the State’s economy, support for all state funded UC research programs was reduced by 10 percent in 2002-03 and by another 10 percent in 2003-04. Instead of further increases over a multi-year period as originally planned, funding for the program was reduced from $5 million to about $4.1 million by 2005-06. Of this amount, $500,000 was specifically set aside to establish a “hub” in Mexico City for UC programs, La Casa de la Universidad de California en México.

In the 2007 Budget Act, the Governor vetoed language that allowed UC to use operating funds to pay for debt service for the UC-Mexico facility and eliminated the $500,000 from the UC budget.
used to support operations and programs at the facility in Mexico City, further reducing the 2007-08 General Fund support specifically allocated for Mexico research to $3.6 million. (See Table 1) Because of the importance of a site in Mexico to form an international center for the educational and research relationships between the University and Mexico, the UC is continuing support for La Casa de California from non-State, University sources. The distribution of the $3.6 million of State funds is as follows:

- $3.1 million to UC MEXUS to support collaborative grants between UC and Mexican researchers with the potential for creating permanent linkages between UC campuses and Mexican institutions that will grow and continue with the support of other institutional and extramural funds. The funding also provides faculty fellowships, sabbatical fellowships, and postdoctoral fellowships for UC or Mexican faculty or recent PhDs.

- $122,000 each to two organized research programs on the San Diego campus, the Center for US-Mexican Studies and the Center for Comparative Immigration Studies, to allow them to involve other UC campuses in their programs;

- $93,000 to the Office of the President Office of Research to support universitywide coordination and development efforts and for special information dissemination efforts, such as a Universitywide conference to publicize results from completed research projects on Mexico from multiple UC campuses; and

- $69,000 for the UC Committee on Latino Research (UCCLR).

**RESEARCH AND ACADEMIC PROGRAMS INVOLVING MEXICO AND THE UNIVERSITY OF CALIFORNIA**

The United States and Mexico share a long history and face many challenges in common, including environmental protection and resource use, agricultural production and pest control, public health and preventive medicine, and the management of a shared labor force. Table 2 on pages 5 shows actual expenditures of State and University funds for UC-Mexico research and academic programs for 2006-07 and estimated expenditures for 2007-08.

**UC MEXUS**, the University of California Institute for Mexico and the United States, provides competitive grant funding for collaborative research focusing on issues of critical interest to California and Mexico, and builds on the State’s interest to work more closely with Mexico. In order to integrate and engage the research and scientific infrastructures of Mexico and California, and to focus intellectual resources upon these and other important bi-national questions, UC MEXUS offers a variety of competitive grant programs for UC faculty and students as well as joint programs with Mexican universities in the following categories:

**UC MEXUS Grants for Faculty** — Grants to faculty support projects that lead to the development of major, long-term collaborations with strong potential for extramural funding; bi-national conferences and publications; significant advancement of scholarship; new
### Table 1

#### 2006-07 and 2007-08 General Fund Budget

For Mexico Research

*Dollars in 000s*

<table>
<thead>
<tr>
<th>Program</th>
<th>2006-07</th>
<th>2007-08</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>UC MEXUS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Research Programs</td>
<td>$3,145</td>
<td>$3,145</td>
</tr>
<tr>
<td>Casa de California</td>
<td>500</td>
<td>-</td>
</tr>
<tr>
<td><strong>Subtotal UC MEXUS</strong></td>
<td>3,645</td>
<td>3,145</td>
</tr>
<tr>
<td><strong>UCSD Immigration Studies</strong></td>
<td>122</td>
<td>122</td>
</tr>
<tr>
<td><strong>UCSD Mexican Studies</strong></td>
<td>122</td>
<td>122</td>
</tr>
<tr>
<td><strong>Universitywide Coordination and Information Dissemination Activities</strong></td>
<td>93</td>
<td>93</td>
</tr>
<tr>
<td><strong>UC Committee on Latino Research</strong></td>
<td>1) 69</td>
<td>69</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$4,050</td>
<td>$3,550</td>
</tr>
</tbody>
</table>

1) Administrative support for this grant program is provided by UC MEXUS.

Source: UCOP Budget Office.

2/25/08
## Table 2

### UC MEXUS/Mexico Research Programs

**2006-07 Expenditures and 2007-08 Budget**

**General Funds and University Funds**

<table>
<thead>
<tr>
<th></th>
<th>2006-07</th>
<th>2007-08 (Projected)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Expenditures</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Administration</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>University Wide Coordination</td>
<td>$80,994</td>
<td>$73,300</td>
</tr>
<tr>
<td>UC MEXUS</td>
<td>$581,854</td>
<td>$648,809</td>
</tr>
<tr>
<td><strong>Subtotal Administration</strong></td>
<td>$662,848</td>
<td>$722,109</td>
</tr>
<tr>
<td><strong>Research Grants/Awards (See descriptions of Research Programs in Appendix)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>UC MEXUS</td>
<td>$2,659,626</td>
<td>$2,741,599</td>
</tr>
<tr>
<td>Center for US-Mexican Studies</td>
<td>$117,655</td>
<td>$117,655</td>
</tr>
<tr>
<td>Center for Comparative Immigration Studies</td>
<td>$117,655</td>
<td>$117,655</td>
</tr>
<tr>
<td><strong>Subtotal Research Grants/Awards/Support</strong></td>
<td>$2,894,936</td>
<td>$2,976,909</td>
</tr>
<tr>
<td><strong>Other Functions</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>UC MEXUS (e.g., Publications, Advisory Committee meeting expenses, In-house research, etc.)</td>
<td>$149,690</td>
<td>$259,313</td>
</tr>
<tr>
<td>Casa de California (Staff, facility maintenance, etc.)</td>
<td>$653,737</td>
<td>$718,866</td>
</tr>
<tr>
<td><strong>Subtotal Other</strong></td>
<td>$803,427</td>
<td>$978,179</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$4,361,211</td>
<td>$4,677,197</td>
</tr>
<tr>
<td><strong>General Funds</strong></td>
<td>4,096,694</td>
<td>3,683,553</td>
</tr>
<tr>
<td><strong>University Funds</strong></td>
<td>264,517</td>
<td>993,644</td>
</tr>
</tbody>
</table>

(a) Casa de California operating expenses were from the General Fund in 2006-07 and from University funds in 2007-08. For detail on these expenditures, see Table 3.

Source: UCOP Budget Office and UC MEXUS financial ledgers.

3/10/08
• creative works; innovative bi-national instruction or new intercampus courses; public service programs; strengthening of academic and research capabilities; and graduate student training.

• **UC MEXUS Dissertation Grants** — Grants support doctoral dissertation research or Master of Fine Arts (MFA) final projects by University of California graduate students in the areas of Mexico-Related Studies (all disciplines), Latino Studies, United States-Mexican Relations, Critical U.S.-Mexico Issues, and Mexican and Latino Topics in the Arts and Humanities.

• **UC MEXUS Small Grants** — Three times a year, UC MEXUS awards small grants to support special one-time, short-term requests of researchers undertaking projects related to UC MEXUS goals.

• **UC MEXUS-CONACYT Collaborative Grants** — This Collaborative Grants program was initiated in 1998 under the UC-CONACYT Agreement of Cooperation in Higher Education and Research (July 25, 1997). Consejo Nacional de Ciencia y Tecnología (CONACYT), is the Mexican Council for Science and Technology. UC MEXUS and CONACYT equally finance grants that support teams of UC and Mexican researchers in basic and applied collaborative research, instructional development, and public service and education projects that apply to public issues. The primary objective of the program is to enable the establishment of new collaborative initiatives with the potential for creating permanent linkages between UC campuses and Mexican institutions that will grow and continue with the support of other institutional and extramural funds.

• **Faculty Fellowships** — For UC or Mexican faculty. This program was initiated in 1998 under the UC-CONACYT Agreement of Cooperation in Higher Education and Research (July 25, 1997). UC MEXUS and CONACYT equally finance the fellowships. The primary objective of the program is to advance academic exchange between established Mexican and UC researchers who are interested in initiating or continuing academic collaborations with their counterparts at UC campuses or Mexican universities. In addition, the program seeks to enhance bi-national academic networks, by supplementing collaborative research projects between UC and Mexican faculty and institutions through the involvement or training of additional expertise from Mexico or UC. The fellowship provides support for up to three consecutive academic quarters.

• **Sabbatical Fellowships** — For UC or Mexican faculty. This program was initiated in 1998 under the UC-CONACYT Agreement of Cooperation in Higher Education and Research (July 25, 1997). UC MEXUS and CONACYT equally finance the fellowships. The program seeks to promote and further the academic careers of accomplished scientists and scholars through extended periods of research and scholarship at UC campuses or at Mexican institutions of higher education pertaining to CONACYT’s Padrón Nacional de Postgrado (PNP) or Programa para el Fortalecimiento del Postgrado Nacional (PIFOP). In addition, the program seeks to create and enhance a collaborative, bi-national academic network by facilitating connections among UC and Mexican faculty as part of multidisciplinary and integrated research programs. Sabbatical support is provided for six months to a year.

• **Postdoctoral Fellowships** — For recent UC or Mexican Ph.D.s. This program was initiated in 1998 under the UC-CONACYT Agreement of Cooperation in Higher Education and Research (July 25, 1997). UC MEXUS and CONACYT equally finance the fellowships. The primary objective of this program is to advance academic ties and exchange between emerging Mexican researchers, and UC scientists and scholars, in the early stages of their careers. In addition, the program seeks to support existing or developing bi-national
• academic networks by enhancing collaborative research projects between UC and Mexican faculty and institutions through the innovative involvement and training of new researchers. The fellowship provides support for one year with the possibility of applying for a year extension.

• **UC MEXUS-CONACYT Doctoral Fellowships for Mexican Students** — The UC MEXUS-CONACYT Doctoral Fellowships provide up to five years of funding for Mexican students doing their doctoral studies at one of the ten University of California campuses. This program was initiated in 1998 under the UC-CONACYT Agreement of Cooperation in Higher Education and Research (July 25, 1997). UC MEXUS and CONACYT equally finance the fellowships. Students may pursue doctoral studies in most of the academic disciplines, with the exception of the arts. Eligible areas of study include the following: Architecture, Biological Science, Engineering, Environmental Studies, Humanities, Law, Physical Sciences, Social Sciences, Urban Planning. The UC MEXUS-CONACYT Fellowship provides full non-resident tuition, fees, a stipend, and support towards health insurance.

**The Center for US-Mexico Studies** operates the largest Latin American focused residential fellowship program in the United States; it is the only such program for scholars specializing in Mexico. General Fund support from the 2000-01 State augmentation allows the UCSD Center to offer a residential fellowship program to researchers from other UC campuses working on Mexico-related issues. At the Center, UC researchers interact with professional peers from Mexico, the United States, and other countries around the world.

**The Center for Comparative Immigration Studies (CCIS)** conducts pioneering fieldwork-based research and student training focusing on Mexican migration to the United States, with special emphasis on California as a receiving area. The Mexico research funds from the 2000-01 appropriation continue to support a pre-doctoral and postdoctoral fellowship program open to scholars from all UC campuses who are engaged in advanced research on Mexican migration to California. The funds also support a field research training program designed to equip undergraduate and graduate students from all UC campuses to conduct original field research among Mexican immigrants in California, as well as potential migrants still based in high emigration communities in Mexico.

**The UC Committee on Latino Research.** In 1989, UC MEXUS published *The Challenge: Latinos in a Changing California*, prepared by the University of California for the California Legislature in response to Senate Concurrent Resolution (SCR) 43. In keeping with SCR 43, special funding for research, proposal development, creative activity, and dissertation research in the area of Latino Studies was allocated. UC MEXUS administers this grant program for the Office of the President. The funding is for proposals in areas that critically examine the historical and contemporary experiences of people of Mexican descent in the context of American society and institutions, including:

- the study of particular aspects of Mexican history, culture, and politics as they bear upon the Latino community, past and present;
- the interrelationships in the historical background, cultural patterns, and artistic expression of the Latino community that contribute to its role in American society;
- the understanding and resolution of critical social, economic, health, education, and other
  issues pertinent to Latino populations in the United States; and
- creative projects that will culminate in new works of graphic art, painting, fiction, music,
  dance, film, poetry, drama, or photography of the level typically recognized as
  scholarship in the academic disciplines of the arts and literature.

LA CASA DE LA UNIVERSIDAD DE CALIFORNIA EN MÉXICO

The UC facility in Mexico City is called La Casa de la Universidad de California en México. The facility is envisioned to be a center for collaborative research for the University of California and Mexican research institutions such as Consejo Nacional de Ciencia y Tecnología (CONACYT), the Mexican Council for Science and Technology. This facility will provide office and meeting facilities for research faculty and graduate students.

The 2000-01 augmentation gave the University the opportunity to begin to realize its goal of developing a new physical arrangement for its programs in Mexico, which had first been proposed to The Regents in November 1998. In July 2001, The Regents of the University of California authorized efforts to develop a center in Mexico City for facilitating the University’s missions of education, research, and public service in Mexico. An annual allocation of $500,000 from the 2000-01 augmentation of State funds for UC MEXUS was designated for operating expenses for a “hub” in Mexico City.

As previously noted, the 2007 Budget Act, the Governor vetoed language that allowed UC to use operating funds to pay for debt service for the UC-Mexico facility and eliminated the $500,000 from the UC budget used to support Casa de California in Mexico City, further reducing the 2007-08 General Fund support specifically allocated for Mexico research to $3.6 million. Because of the importance of a site in Mexico to form an international center to foster educational and research relationships with Mexico, the University is continuing support for Casa de California from non-State, University sources.

Table 3 on page 9 displays the 2006-07 actual expenditures from State funds and the estimated 2007-08 expenditures from non-State funds for the facility in Mexico City. The annual operating budget supports the maintenance of the buildings and grounds, and a local facilities manager (an employee of the Civil Association) who ensures that the property is properly maintained.

La Casa de la Universidad de California en México does not have assigned faculty. Leadership for the center is provided by an Executive Director, a UC faculty member whose assignment rotates every two years and who receives a stipend as the director for the center. Campus and alumni activities are coordinated and paid for by the sponsors themselves, although local, campus, or Office of the President staff may provide administrative support for specific
Table 3

Casa de California
2006-07 Expenditures and 2007-08 Budget

<table>
<thead>
<tr>
<th>Expenditures</th>
<th>Actual</th>
<th>Estimated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other Academic (Director stipend, summer salary)</td>
<td>$59,141</td>
<td>$28,861</td>
</tr>
<tr>
<td>Staff salaries and benefits</td>
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<td></td>
</tr>
<tr>
<td>Employee (of Civil Association)</td>
<td>67,972</td>
<td>90,387</td>
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<tr>
<td>Contract employee</td>
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<td></td>
</tr>
<tr>
<td>Travel</td>
<td>2,464</td>
<td>9,240</td>
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<tr>
<td>Equipment</td>
<td></td>
<td>21,000</td>
</tr>
<tr>
<td>Supplies and expenses</td>
<td>436</td>
<td>11,594</td>
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<tr>
<td>Subcontracts for the operation and maintenance of the center buildings and grounds, plus security for the site</td>
<td>372,207</td>
<td>218,259</td>
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<tr>
<td>Special Items</td>
<td></td>
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<tr>
<td>Casa de California Inauguration</td>
<td>1,966</td>
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<tr>
<td>Legal Expenses; independent audits; etc.</td>
<td></td>
<td>94,630</td>
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<tr>
<td>Interest Expense/Debt Service for Building</td>
<td>151,517</td>
<td>242,929</td>
</tr>
<tr>
<td>Total Operating</td>
<td>$653,737</td>
<td>$718,866</td>
</tr>
</tbody>
</table>

Source of Funds

<table>
<thead>
<tr>
<th>Source of Funds</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>General Funds</td>
<td>$653,737</td>
</tr>
<tr>
<td>UC Non-State Funds</td>
<td>$718,866</td>
</tr>
</tbody>
</table>

Note: Casa de California operating expenses were from the General Fund in 2006-07 and from University funds in 2007-08. For detail on these expenditures.

Source: UCOP Budget Office.
3/11/08
activities. *La Casa* also houses the Education Abroad Study Center in Mexico City. UC students in the Education Abroad Program (EAP) take their courses at Mexican institutions, and the Study Center provides a venue for supporting activities, such as orientation and student presentations.

### 2006-07 Events and Activities

While the programmatic aspects of *La Casa de la Universidad de California en México* in Mexico City are still evolving, a variety of events and activities took place during the 2006-07 academic year:

- **September 19-20, 2006 - Biocomplexity Maize Mini-Symposium.** UCR Biotechnology Impacts Center & UNAM –Instituto de Ecología. Organized by Dr. Elena Alvarez Buylba, Professor of the Ecology Institute. Number of attendees: 60.
- **November 15, 2006 - Information Session: Opportunities for Mexico Research and Fellowships.** UCR-UC MEXUS. Organized by Susana García, Coordinator of Academic International Programs. Number of attendees: 105.
- **December 2, 2006 - Education Abroad Program: Field Research Program, Student Presentations.** Organized by Dr. Héctor Calderón Valle, Director EAP Mexico Study Center. Number of attendees: 19.
- **January 12, 2007 to February 23, 2007 - Intensive Language Program Courses for UNAM Program and Field Research Program.** Organized by Dr. Héctor Calderón Valle, Director EAP Mexico Study Center. Number of attendees: 16.
- **January 21, 2007 - Civic Association of Chimalistac.** Meeting organized by Asociación de Colonos de Chimalistac. Number of attendees: 100.
January 23, 2007 - Renato Rosaldo “Ensayos en Antropología Crítica.” Book Presentation sponsored by the Centro de Investigaciones y Estudios Superiores en Antropología Social, (CIESAS), Universidad Autónoma Metropolitana (Iztapalapa), and the Rockefeller Foundation. Organized by Dr. Federico Rayas, Director Antropología, UAM-Iztapalapa and Dr. Héctor Calderón Valle, Director Casa de la Universidad de California. Number of attendees: 100.


February 2, 2007 - Reception: Día de la Candelaria. Organized by Dr. Héctor Calderón Valle, Director EAP Mexico Study Center. Number of attendees: 19.

February 16, 2007 - EAP Field Research Program Seminar Roundtable. Field Site Mentors, Program Professors, FRP Students, MSC Director and Staff. Organized by Dr. Héctor Calderón Valle, Director EAP México Study Center. Number of attendees: 20.


February 27, 2007 - Wine Tasting Reception. Organized by the California Wine Institute Misión Comercial en México. Number of attendees: 150.

March 14, 2007 - Leyes y Cortes Tribales. Mujeres indígenas y su lucha por el acceso a la justicia en los Estados Unidos. Seminar. Los Seminarios de Antropología Jurídica y de Género y Etnicidad del Centro de Investigaciones y Estudios Superiores en Antropología Social (CIESAS), UCSC and UCLA Professors. Organized by Dr. Rosalva Aída Hernandez Castillo, Associate Professor (CIESAS). Number of attendees: 45.


