Annual Report on Compensated Outside Professional Activities for Reporting Period January 1, 2011 through June 30, 2012: Deans and Faculty Administrators

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Barrett, Kim Elaine	UCSD	Dean-Gradua	te Studies								
National Institutes of Health (NIH)	N	Reviewer	4	0	\$400						
University Of North Carolina Chapel Hill	N	Chair, scientific review committee	3	0	\$3,000						
University Of Southern California	N	Speaker	2	0	\$500						
Chemerinsky, Erwin	UCI	Dean-Donald	Bren Schoo	ol of Law							
BAR/BRI, Provider of Bar Review Courses	Р	Consultant lecture	r 13	1	\$95,150						
Cowhey, Peter	UCSD	Dean-School	of Internati	onal Relations	and Pacific Stu	ıdies					
Connect Innovation Institute	N	Author	2	0	\$5,000						
Council on Foreign Relations	N	Author	2	0	\$1,750						
Eaccess	Р	Board member	3	3	\$76,660					\$109,475	150 Shares, estimated va
Verizon Corporation	Р	Author	5	0	\$28,000						
Dhir, Vjay	UCLA	Dean-Enginee	ring and A	pplied Science							
Lawrence Livermore National Laboratories, LLC	N	Consultant/review committee members		0	\$6,436						

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days)	Vacation Taken (Days)	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Donoghue, Daniel	UCSD	Provost-Sixth	College								
National Institutes of Health (NIH)	N	Review committee member	e 3	0	\$400						
Elman, Jeffrey	UCSD	Dean-Divisior	of Social	Sciences							
National Institutes of Health (NIH)	N	Study section chair/grant review	4 ver	0	\$400						
Gaines, Steven	UCSB	Dean-Bren Sc	hool of En	vironmental Sci	ence and Mana	agement					
Brown University	N	External review committee	2	0	\$1,500						Honorarium
EcoAnalytics	Р	Consultant	9	0	\$27,000						
Gibeling, Jeffery C.	UCD	Dean-Gradua	te Studies								
DFG -German Research Foundation	N	Reviewer	4	4	\$933						
Goldstein, Thomas	UCB	Dean-Journal	ism								
Bloomberg News Agency	Р	Advisor	12	0	\$60,000						

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Gonzalez, Frances Leslie	UCI	Dean-Gradua	ate Division								
National Institutes of Health (NIH)	N	Study section reviewer	2	0	\$400						
National Institutes of Health (NIH)	N	Study section reviewer	1	0	\$200						
National Institutes of Health (NIH)	N	Study section reviewer	2	0	\$400						
National Institutes of Health (NIH)	N	Study section reviewer	4	0	\$800						
Herrera-Sobek, Maria	UCSB	Associate Vic	e Chancellor	-Diversity, Equ	ity, and Acado	emic Policy					
Rutgers University	N	Manuscript reviewer	1	0	\$150						
Southern Methodist University	N	Keynote speaker	1	0	\$500						
UC Los Angeles	N	Keynote speaker	1	0	\$811						
UC San Diego	N	External reviewer	3	1	\$800						
University of Oklahoma	N	Manuscript reviewer	1	0	\$150						
University of Texas	N	Manuscript reviewer	1	0	\$125						

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Horwitz, Barbara	UCD	Former Vice	Provost-Aca	demic Affairs							
American Society of Engineering Education	N	Reviewer	3	0				\$250	Travel expenses reimbursement		Former Vice Provost Horwitz returned to faculty appointment January 1, 2012; this covers her Vice Prov appointment for the January 1, 2011 - December 31, 2011.
National Science Foundation	N	Reviewer	3	0				\$351	Travel expenses reimbursement		
University of South Dakota	N	Advisory board member	2	0				\$600	Travel expenses reimbursement		
Houston, Alan	UCSD	Provost-Elea	nor Rooseve	elt College							
Bowers Museum Of Cultural Art	N	Speaker	1	0	\$500						
Heinz History Center	N	Speaker	3	0	\$1,000						
New College of Florida	N	Speaker	3	0	\$1,000						
lenness, Valerie	UCI	Dean-School	of Social Ec	ology							
Bendure & Thomas	Р	Consultant and expert witness	1	0	\$2,500						
California Forward	N	Consultant	1	0	\$2,000						
Routledge/Taylor and Frances	N	Consulting editor	4	0	\$2,500						

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	:	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Johnson, Kevin R.	UCD	Dean-School o	of Law								
NYU Press	N	Reviewer	2	0	\$200						
State Bar of California	N	Attorney	4	0	\$8,000						
Temple University Press	N	Reviewer	1	0	\$200						
Kamieniecki, Sheldon	UCSC	Dean-Division	of Social S	ciences							
Massachusetts Institute of Technology Press	N	Co-editor	5	0	\$375						
University Press	N	Reviewer	3	0	\$300						
Kay, Steve	UCSD	Dean-Division	of Biologic	cal Sciences							
American Society Plant Biologists	N	Conference speake	er 1	0	\$2,000						
Danforth	Р	Scientific advisory board member	2	0	\$2,500						
King Abdul Aziz University	N	Advisory board consultant	0	0	\$25,000						
Monsanto Incorporated	Р	Scientific advisory board member	3	0	\$9,375						
Reset Therapeutics	Р	Scientific advisory board member	10	0	\$97,500						
Toyota	Р	Conference speake	er 2	0	\$701						
Welch Foundation	Р	Seminar speaker	1	0	\$3,000						

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Koshland, Catherine	UCB	Vice Provost-	Teaching, L	earning, Acade	mic Planning a	nd Facilities					
The Century Foundation	N	Member	1	0	\$250						Honorarium
1 Lavernia, Enrique	UCD	Dean-College	of Enginee	ring							
Lawrence Livermore National Laboratories, LLC	N	Chair, advisory committee	3	0	\$10,100						
Materials Science and Engineering, International Journal	N	Chief editor	10	0	\$120,000						
Visvevaraya University	N	Reviewer	1	0	\$300						
Lee, Herbert	UCSC	Vice Provost-	Academic <i>A</i>	Affairs							
Environmental Protection Agency	N	Panelist	3	0	\$1,225						
Hong Kong Research Grants Council	N	Reviewer	1	0	\$147						
Louisiana Experimental Program to Stimulate Competitive Research	N	Reviewer	1	0	\$50						
National Science Foundation	N	Panelist	1	0	\$603						
University of Missouri	N	Speaker	1	0	\$200						
Lewis, Joseph	UCI	Dean-Claire T	revor Schoo	ol of the Arts							
Baruch College of Performing Arts	N	External reviewer/Commit e Chair	2 te	0	\$1,000						

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days)	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
1 Mangun, R. George	UCD	Dean-Divisio	n of Social S	ciences, College	of Letters ar	nd Science					
European Research Council	N	Panel member	6	0	\$3,000						
Massachusetts Institute of Technology Press	N	Associate editor	12	0	\$9,000						
National Institutes of Health (NIH)	N	Ad hoc panel member	2	0	\$250						
Marshall, David	UCSB	Dean-Division	n of Human	ities and Fine Aı	ts, College o	f Letters and Sci	ence				
American Council of Learned Societies	N	Fellowship review panel member	, 1	0	\$1,000						
Marshall, Sally	UCSF	Vice Provost-	Academic /	Affairs							
University of the Pacific	N	Keynote Speaker	1	0	\$1,000						
1 Mitchell, Douglas	UCR	Interim Dean	-Graduate S	School of Educat	ion						
Colton, Redland, Yucaipa Regional Occupational Program	N	Consultant/ evaluator	18	6	\$15,300						Interim Dean Mitch began his term effe September 7, 2011. OPA reported begir his first effective da Dean.

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days)	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
1 Oreskes, Naomi	UCSD	Former Provo	ost-Sixth Col	lege							
Green Energy Summit	N	Conference keynote speaker	3	0	\$5,000						
McGill University	N	Lecturer	2	0	\$5,000						
Pacific Institute For Climate Scientists	N	Lecturer	3	0	\$5,000						
Smith College	N	Lecturer	2	0	\$5,000						
UC Los Angeles	N	Lecturer	1	0	\$1,500						
University Of Madison	N	Lecturer	2	0	\$5,000						
University Of North Carolina	N	Lecturer	3	0	\$5,000						
University Of Southern California	N	Lecturer	1	0	\$250						
University Of Utah	N	Lecturer	1	0	\$1,500						
Owens, Jessie Ann	UCD	Dean-Division	n of Humani	ties, Arts and C	Cultural Studies	s, College of Le	tters and Science				
Ashgate Publishing	Р	Editor	5	0	\$808						
Huntington Library	N	Research	10	0	\$2,500						Fellowship
UC Berkeley	N	External reviewer	1	0	\$1,000						

Employee Name	Location	Working Title	<u> </u>								
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Policano, Andrew	UCI	Dean-The Pa	ul Merage S	chool of Busin	ess						
Badger Meter (Water Meter Company)	Р	Board member	16	12	\$174,209						
California Institute for Mgmt Leadership	N	Researcher	6	4	\$75,000						
Payden & Rygel (Mutual Funds)	Р	Board member	6	4	\$128,000						
Rockwell Collins (Aeronautics Company)	P	Board member	18	14	\$160,000					In 20111,515 restricted stock units @ \$66.05 (now \$55); in 20121,855 restricted stock units at \$59.33 (now \$55)	
Rosenstock, Linda	UCLA	Dean-Schoo	of Public He	ealth							
Health Effects Institute	N	Board member	3	0	\$6,750						
Skilled Health Care	N	Board member	7	0	\$40,000	\$80,000	Deferred stock				
Rudnick, Joseph	UCLA	Dean-Divisio	n of Physica	l Sciences							
University of Houston, Department of Physics	N	Reviewer	3	0	\$2,000						

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days)	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Ruiz, Vicki	UCI	Dean-School	of Humaniti	es							
Gilder Lehrman Institute of American History	N	Historical advisor	1	0	\$1,000						
National Women's History Museum	N	Distinguished lecturer	2	0	\$1,750						
Scripps College, Humanities Institute	N	Keynote lecturer	1	0	\$1,000						
University of Miami, American Studies	N	Keynote lecturer	2	0	\$3,000						
University of North Carolina Press	N	Reviewer	2	0	\$300						
Western Association of Women Historians	N	Keynote speaker	1	0	\$1,000						
1 Seible, Frieder	UCSD	Dean-Jacobs	School of En	gineering							
Structural Design & Analysis	Р	Consultant, structural engineering and peer review	14	0	\$34,944						
Shortell, Stephen	UCB	Dean-School	of Public He	alth							
Centene Medicaid Health Plan	Р	Advisory board member	4	0	\$20,000						
Genentech	Р	Conference planning and pane facilitator	2 el	0	\$7,000						
Kaiser Permanente Health Policy Institute	N	Advisory board member	12	0	\$54,000						

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
1 Sork, Victoria	UCLA	Dean-Divisio	n of Social :	Sciences							
Estacion Biologica de Donana (CSIC)	N	Conference presenter	4	0	\$2,052						
Wiley Press	Р	Journal associate editor	18	0	\$3,125						
Stern, Hal	UCI	Dean-Donald	Bren Scho	ool of Informatio	n & Compute	er Science					
Fiola Law Offices	Р	Consultant/Exper Witness	t 1	0	\$1,600						
University of Notre Dame	N	Speaker consulta	nt 2	0	\$1,000						
Sullivan, Robert	UCSD	Dean-Rady S	chool of Ma	anagement							
American Assets Trust	Р	Board member	5	0	\$5,000						
Cubic Corporation	Р	Board member	5	0	\$5,000						
1 Taylor, Palmer	UCSD	Dean-Skaggs	School of I	Pharmacy and Ph	narmaceutica	l Sciences					
Algomedix	Р	Board member	0	0	\$0						Stock options (not y
National Institute Of Environmental Health Sciences Council	N	Council member	16	0	\$1,500						
Pharmaseed	Р	Board member	0	0	\$0						Stock options (not y

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P		Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Turner, Patricia A.	UCD	Vice Provost-I	Undergrad	uate Studies							
Botkin Lecture	N	Lecturer	1	0	\$300						
Critical Thinking Assessment Test	N	Board member	5	0	\$2,000						
Illinois Review Mentorship	N	Reviewer/ mento	or 1	0	\$1,000						
National Science Foundation	N	Review panelist	2	0	\$1,000						
1 Van Alfen, Neal	UCD	Dean-College	of Agricult	ural and Enviro	nmental Scie	nces					
Annual Reviews	N	Editor	13	0	\$2,000						
Elsevier Publishing	P	Editor in Chief - Encyclopedia of Agriculture and Food Systems	2	0	\$1,056						
Wang, Yunzeng	UCR	Interim Dean-	-School of E	Business Admin	istration						
UCR School of Business Administration Executive MBA Program	N	Instructor	10	0	\$15,000						Interim Dean Yunzeng taught Management 2 the Executive MBA program (overload teaching).

Employee Name	Location	Working Title									
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments
Waterman, Christopher	UCLA	Dean-School	of the Arts	& Architecture							
Cal Poly State University, Pomona	N	Keynote speaker	1	0	\$2,500						
Mount Holyoke College	N	External reviewer	1	0	\$250						
Tulane University, Music Department	N	External reviewer	3	0	\$1,000						
Yates, Marylynn	UCR	Dean-College of Natural and Agricultural Sciences									
California State Water Resources Control Board	N	Report reviewer	2	0	\$600						
Canadian Water Network	N	Research proposa reviewer	ıl 1	0	\$301						
National Institutes of Health (NIH)	N	Proposal reviewe	2	0	\$200						
US Environmental Protection Agency	N	Proposal reviewe	2	0	\$2,400						
Young, Heather	UCD	Dean-School of Nursing									
Gordon Betty Moore Foundation	N	Speaker	1	0	\$1,000						
Robert Wood Johnson Foundation	N	Mentor	2	0	\$1,000						
Sutter East Bay Hospitals	Р	Keynote Speaker	1	0	\$2,500						

Employee Name	Location	Working Title										
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days) 2	Vacation Taken (Days) 3	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments	

Compensated Outside Professional Activities ("OPA") of Deans and certain fulltime Faculty Administrators are to be reported annually in accordance with APM - 240, Deans and APM - 246, Faculty Administrators 100 percent time, respectively. For this 2011-2012 report, The Regents approved reporting on an 18-month basis. This one-time change was necessary so that reporting could be converted from a calendar to fiscal year cycle, in conformity with the reporting requirement for all faculty under APM - 025, Conflict of Commitment and Outside Activities of Faculty Members. Due to the lengthened 18-month cycle of the 2011-12 report, the figures reported under the "Time Served" column may exceed 12 days, with no vacation days deducted under "Vacation Taken," appearing in non-compliance with APM – 240-20c(4) and APM – 246-20c(4). For all entries, the actual days worked and vacation days taken during a 12-month period are in compliance with both policies.

Per Academic Personnel Policy ("APM") 240-20 Conditions of Employment.

Members, with the following additional provisions:....

1

2

- . . . c. Conflict of Commitment and Outside Professional Activities

 A Dean may engage in outside activities as defined by and in accordance with

 APM 025, Conflict of Commitment and Outside Activities of Faculty
 - 4) A Dean may engage in compensated outside professional activity up to twelve (12) University workdays per fiscal year without deducting from vacation leave balances. In excess of twelve (12) days, a Dean shall use accrued vacation leave for performing compensated outside professional activities.

Employee Name	Location	Working Title										
Name of Org (prof'l Svc Provided for)	Non- Profit=N Profit=P	Role	Time Served (Days)	Vacation Taken (Days)	Cash Comp (\$)	Deferred Comp (\$)	Description Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price per Share (\$)	Comments	

025-10 Guidelines for Compensated Outside Professional Activities

3

...b. Time Limits on Compensated Outside Professional Activities
The following time limits apply to each fiscal year. Allowable days not used
one year may not be carried forward to the next year.

A full-time faculty member on an academic-year appointment normally may engage in compensated outside professional activities for up to 39 days from the start of the fall term through the end of the spring term (including intersession), or during the equivalent of an academic year if the campus is operating on a year-round schedule. There are no restrictions on the number of days of compensated outside professional activity for academic-year faculty during the summer months (or equivalent term, if on a year-round schedule) unless an academic-year faculty member is receiving University compensation for the summer (or equivalent term). If an academic-year faculty member is receiving University summer (or equivalent term) compensation, then the applicable limit on compensated outside professional activities is the equivalent of one day per week during the period in which compensation is received.

A full-time faculty member on a fiscal-year appointment may engage in compensated outside professional activities for up to 48 days during the months of active service. There are no restrictions on the number of days of compensated outside professional activity during the periods of vacation leave (unless the faculty member is earning additional University compensation during the vacation leave).

For part-time faculty (i.e., those with less than a full-time appointment), the applicable time limit is prorated based on their percentage appointment at the University. In addition, compensated outside professional activity conducted during the remaining percentage of time is at the faculty member.s discretion. For example, a 50 percent academic year appointee would be allowed 19.5 days (39 x .50) during the academic year, some or all of which could be conducted during the faculty member's normal University working hours provided that the faculty member had otherwise fulfilled his or her obligations to the University. In addition, the faculty member could engage in additional compensated outside professional activities during the time not committed to the University.

The Chancellor may approve in writing compensated outside professional activities which exceed these time limits for an individual faculty member, or a group of faculty, when in the Chancellor's opinion the activity benefits the University.