

Annual Report on  
University Private Support

2002-03



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# UNIVERSITY OF CALIFORNIA

Annual Report on  
University Private Support  
2002-2003

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The Annual Report on University Private Support 2002-2003 can be viewed online at:

[www.ucop.edu/uer/instdv/annual/2003.pdf](http://www.ucop.edu/uer/instdv/annual/2003.pdf)

*This report is a compilation of private support data, campus narratives, and foundation rosters prepared by each campus. The method of rounding may produce the appearance of minor inconsistencies in various totals and percentages, but the differences do not affect the accuracy of the data.*

# PRIVATE SUPPORT

## Overview

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For the fourth consecutive year, the University of California raised more than a billion dollars in private support from alumni and friends, corporations and foundations. For the fiscal year ended June 30, 2003, the total for gifts, grants and pledges was \$1.037 billion, an 11.5 percent decrease from the previous year when donors contributed \$1.171 billion. The \$1 billion aggregate was also reached using a cash-only reporting convention, that counts pledge payments, rather than new pledges. (See page 9 for a more complete discussion of the reporting conventions.)

The 2002-03 results reflect the generous support for major fundraising campaigns at the Los Angeles, San Francisco, and San Diego campuses and for the efforts of a number of campuses that are preparing for comprehensive campaigns.

The 2002-03 results showed a decrease of 15.1 percent from UC's fundraising record set three years ago when alumni and other donors contributed more than \$1.222 billion.

The current downturn in support figures follows the prevailing wisdom that stock market performance is a leading indicator of contributions to higher education – when the stock market is down, gifts are down, as well. The Council for Aid to Education, a division of the RAND Corp., observes that gifts for capital purposes, in particular, parallel changes in the value of the stock market. With good fortune, the market rally in March helped to increase gifts toward fiscal year end, a factor in record totals for the number of individual gifts for several campuses.

Despite the economic uncertainty, however, gifts from campus-related organizations during 2002-03 increased by a significant 14.8 percent and gifts from fundraising consortia and higher education associations rose 3.5 percent. Gifts from corporations and alumni held fairly steady with only a slight drop of 2.6 and 1.9 percent, respectively.

The most significant decreases from the previous year were gifts from non-alumni, which fell 26.3 percent, and charitable foundations, which for tax purposes must base their contributions on the performance of their investments the previous year, dropped 12.4 percent.

The University of California's remarkable achievement in raising in excess of \$1 billion during each of the last four years is a continuing testament to the high regard in which the University is held by its alumni and friends, corporations, and foundations. Additionally, this milestone is a recognition of UC's far-reaching educational, research, economic and public service contributions to the state, nation and world.

Additionally, the results underscore the continued confidence among donors in the quality of the University of California's programs and the importance of its core mission. Moreover, it reflects the hard work of the professional fundraising staff and the countless volunteers who assist in the University's fundraising efforts, especially the campus foundations, the leaders of which are listed in this report.

# PRIVATE SUPPORT

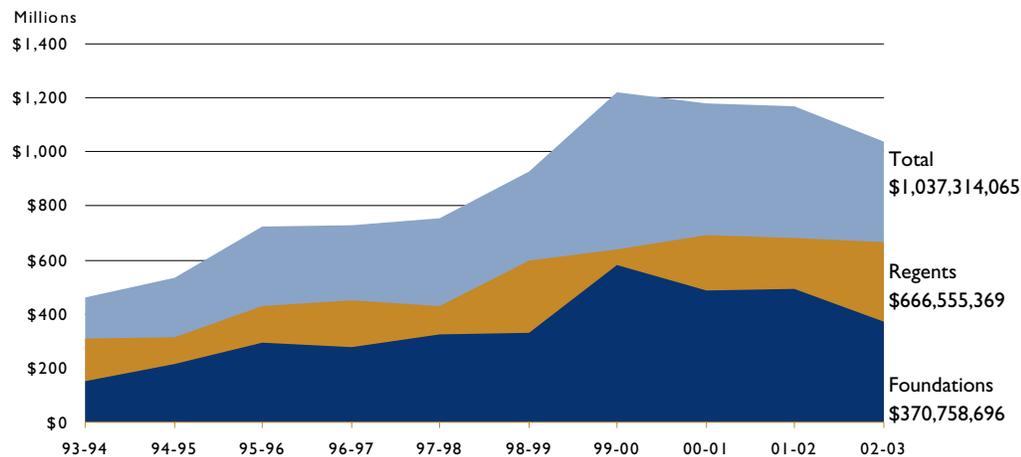
## System Summary

### Annual Report on University Private Support 2002-2003

#### Private Support to Regents and Foundations for Fiscal Year 2002-2003

CAMPUS	REGENTS	FOUNDATIONS	TOTAL
Berkeley	\$112,742,177	\$51,113,057	\$163,855,234
Davis	52,005,765	19,704,722	71,710,487
Irvine	31,092,582	26,218,625	57,311,207
Los Angeles	176,848,905	111,256,680	288,105,585
Merced	3,457,791	159,979	3,617,770
Riverside	12,768,652	6,759,442	19,528,094
San Diego	60,254,688	70,848,176	131,102,864
San Francisco	140,128,377	70,610,323	210,738,700
Santa Barbara	41,219,791	9,168,000	50,387,791
Santa Cruz	17,740,713	4,919,692	22,660,405
Universitywide Programs	<u>18,295,928</u>	<u>0</u>	<u>18,295,928</u>
Totals:	\$666,555,369	\$370,758,696	\$1,037,314,065

Source: University of California Office of the President



REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
<b>ACCUAL</b>	NEW PLEDGES \$298,701,867	\$464,907,610	\$439,456,493	\$551,322,781	\$195,665,870	\$1,037,314,065
	NEW OUTRIGHT GIFTS \$600,862,722	\$764,064,977	\$715,596,169	\$749,854,424	\$841,648,195	
<b>CASH</b>	PLEDGE PAYMENTS \$198,571,105	\$214,058,425	\$284,510,669	\$236,605,595	\$267,642,375	\$1,109,290,570

# PRIVATE SUPPORT

## Fundraising Campaigns

NAME OF CAMPAIGN	GOAL	DURATION	GIFTS/PLEDGES BY 6/30/03
<i>BERKELEY</i>			
No campaign underway at this time			
<i>DAVIS</i>			
Aggie Band, Student Affairs	250,000	1999-2003	504,950
Center for the Arts	30,000,000	1997-2002	31,090,653
Consumer and Investor Advocacy, School of Law	350,000	2001-2007	210,000
Dairy Campaign, Veterinary Medicine	2,700,000	1999-2002	2,520,000
Daniel J. Dykstra Chair, School of Law	350,000	2000-2003	232,000
Mabie - Apallas Public Interest Chair	350,000	2001-2003	350,000
Opportunities for Distinction, Division of Biological Sciences	8,500,000	2000-2005	604,000
Seed Biotechnology Center	1,500,000	1998-2002	1,410,725
Stadium Campaign	7,000,000	2001-2004	1,200,000
Transplant Hope, UCD Medical Center	1,000,000	1998-2006	218,519
Tennis Court, Student Affairs	300,000	2000-2003	285,000
<b>TOTAL</b>	<b>52,300,000</b>		<b>38,625,847</b>
<i>IRVINE</i>			
A Landmark Center for Medicine	50,000,000	2002-2007	13,063,612
<i>LOS ANGELES</i>			
Campaign UCLA	2,400,000,000	1995-2005	2,295,999,972
<i>MERCED</i>			
No campaign underway at this time			
<i>RIVERSIDE</i>			
No campaign underway at this time			
<i>SAN DIEGO</i>			
The Campaign for UCSD - <i>Imagine What's Next</i>	1,000,000,000	2000-2007	464,323,945
<i>SAN FRANCISCO</i>			
The Campaign for UCSF	1,400,000,000	1999-2005	1,131,313,825
<i>SANTA BARBARA</i>			
Campus in planning phase for a capital campaign			
<i>SANTA CRUZ</i>			
Center for Justice, Tolerance and Community, Social Sciences	5,000,000	1999-2004	2,430,172
Center for Ocean Health, Natural Sciences	7,333,000	1998-2005	5,422,000
University Center, Chancellor's Office	400,000	1999-2003	429,719
<b>TOTAL</b>	<b>12,733,000</b>		<b>8,281,891</b>
<b>GRAND TOTAL</b>	<b>\$4,915,033,000</b>		<b>\$3,951,609,092</b>

# PRIVATE SUPPORT

## Service, Purpose and Assets

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### Gift Source

In a reversal from last year, gifts from campus-related organizations rose by 14.8 percent, and gifts from fundraising consortia and higher education associations rose 3.5 percent. Gifts from corporations and alumni held steady, decreasing only slightly by 2.6 and 1.9 percent, respectively, while foundation gifts and gifts from non-alumni decreased significantly (12.4 percent) over last year.

### Gift Purpose

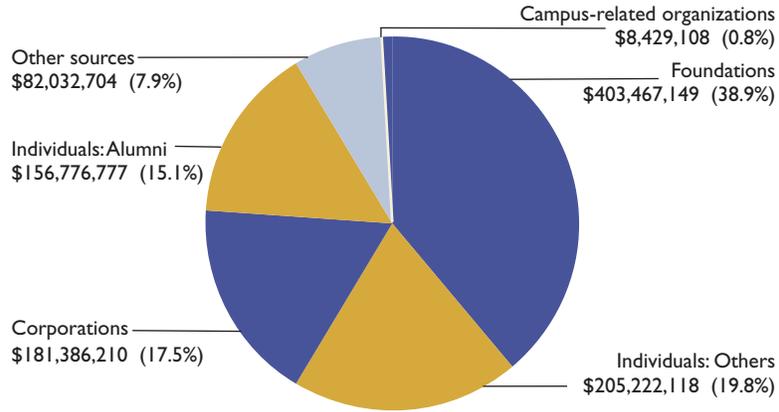
The largest percentage increase occurred with gifts designated for instruction, which rose 17.1 percent from last year, followed by departmental support, which increased 4.8 percent, and represents the largest dollar amount of support, totaling \$371.6 million. Unrestricted gifts and gifts for research held steady this year, increasing by 1.5 percent and .5 percent, respectively. These gains, however, were offset by a severe decrease in gifts for campus improvement. This category declined 48.6 percent from last year. Other areas that declined in 2002-03 included gifts for other purposes, a category that includes the range of non-instructional services, 35.7 percent, departmental support for agriculture, 15.3 percent, and student support, 12.5 percent.

### Gift By Type Of Asset

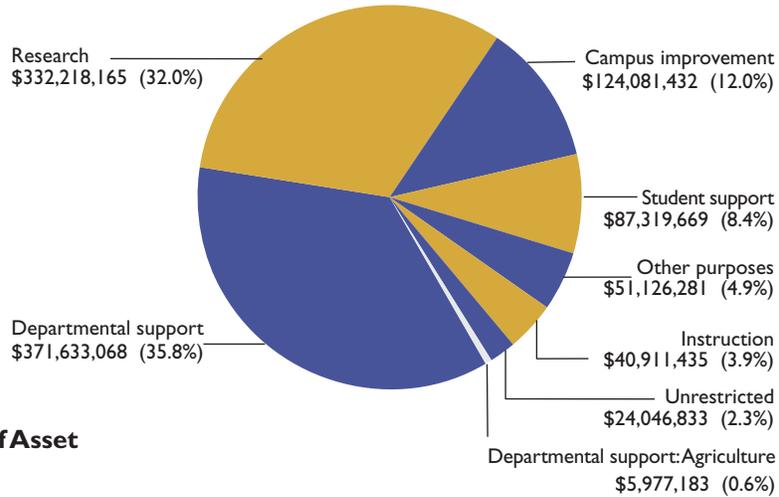
Gifts of cash increased 6.0 percent, representing the largest dollar amount, totaling \$665.8 million. Gifts of securities, due primarily to a single large gift, increased 119 percent over the previous year. Not surprisingly, there was a 41.0 percent decline in gifts of real property, perhaps representing the adjustment of real estate values over the last year. The largest percentage decline was pledges at 50.4 percent and non-monetary gifts declined 16.2 percent over last year.

# PRIVATE SUPPORT

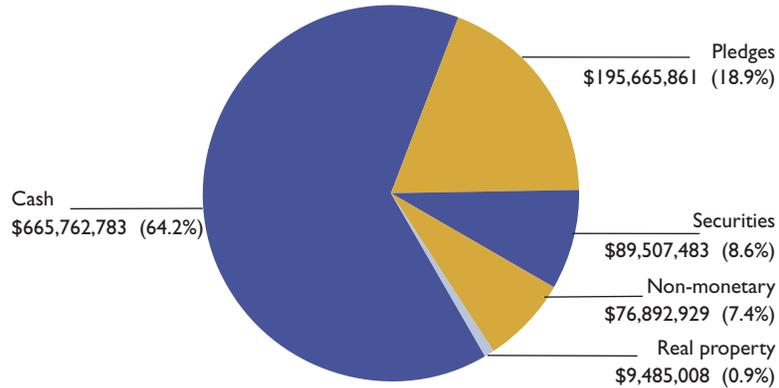
## Gift Source



## Gift Purpose



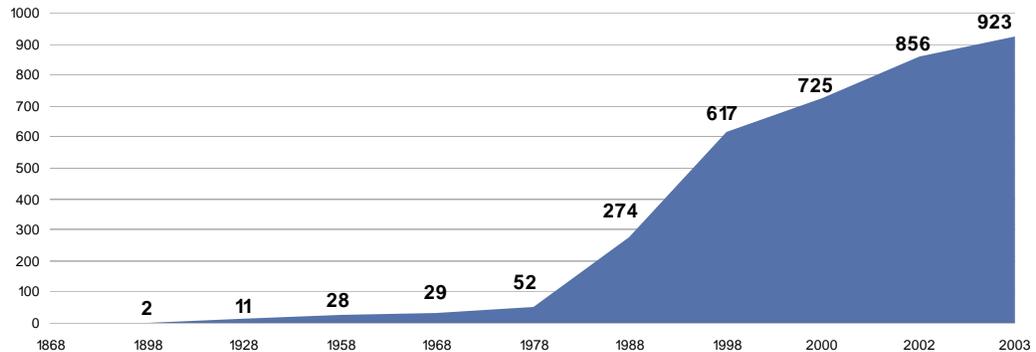
## Gift By Type Of Asset



# PRIVATE SUPPORT

## Endowed Chairs and Operations

### Endowed Chairs

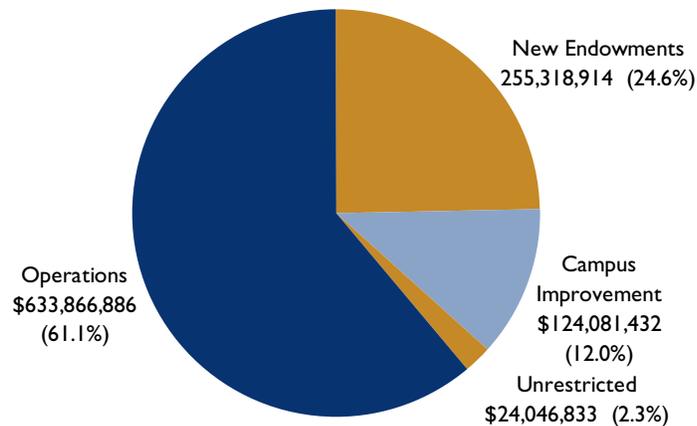


In its first 92 years, the University received gifts to endow a total of 28 chairs. By 1980, an additional 52 chairs had been established. Now, 23 years later, the total stands at 923 endowed chairs and professorships, which are distributed across the campuses and provide support for the teaching, research and public service activities of incumbents and their departments.

A total of 67 new endowed chairs and professorships were established in 2002-03, which is a banner year for this type of endowment. It further shows the commitment of our donors to ensuring that research funding remains available to UC's talented and dedicated faculty.

The list of chairs on each campus can be viewed at:  
[www.ucop.edu/uer/instdv/endowedchairs.html](http://www.ucop.edu/uer/instdv/endowedchairs.html)

### Gifts for Endowment, Campus Improvement and Operations

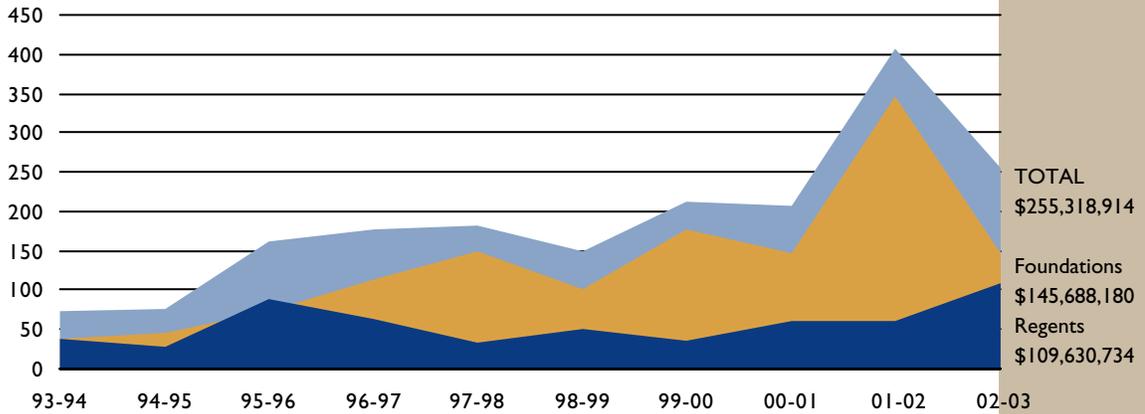


The largest increase in funding was for operations, which rose by 21.3 percent over the previous year and is the largest dollar amount at \$633.9 million. Unrestricted support also increased, but by a modest 1.3 percent. Funding for new endowments declined by 37.4 percent and campus improvement by 48.8 percent from the previous year's totals.

# PRIVATE SUPPORT

## Additions to Endowment

Additions to Endowment: \$255 million



YEAR	REGENTS		FOUNDATIONS		TOTAL
1993-94	\$ 37,427,188	50.2%	\$ 37,121,158	49.8%	\$ 74,548,346
1994-95	28,949,540	38.7%	45,799,736	61.3%	74,749,276
1995-96	88,772,584	55.1%	72,260,031	44.9%	161,032,615
1996-97	62,213,632	35.2%	114,479,717	64.8%	176,693,349
1997-98	33,229,661	18.3%	148,286,625	81.7%	181,516,286
1998-99	49,304,263	32.8%	100,991,901	67.2%	150,296,164
1999-00	36,413,766	17.0%	177,178,480	83.0%	213,592,246
2000-01	60,908,962	29.5%	145,823,645	70.5%	206,732,607
2001-02	60,686,959	14.9%	347,068,519	85.1%	407,755,478
2002-03	\$109,630,734	42.9%	\$145,688,180	57.1%	\$255,318,914

After an all-time record in 2001-02, gifts and pledges for endowment dropped dramatically, to a total of \$255 million. However, this still represents 24.6 percent of all private gifts for 2002-03, and remains a particularly important asset that can help to ensure the future financial health of the University. It is also the second highest total ever received by the University for this purpose - exceeded only in the prior year.

# PRIVATE SUPPORT

## Distribution by Discipline

### Gifts by Area: \$1,037,314,065

	TOTAL	PERCENTAGE
Agriculture and natural resources	\$ 33,022,474	3.1%
Arts, letters and sciences	115,441,178	10.9%
Athletics	21,021,939	2.0%
Business/management	19,095,905	1.8%
Engineering	121,771,364	11.5%
Financial aid undesignated by discipline and student programs	46,714,982	4.4%
Health sciences	533,946,241	52.4%
Law	11,850,812	1.1%
Libraries	14,991,541	1.4%
Other*	69,351,968	6.6%
Other professional schools	40,071,945	3.8%
Veterinary medicine	10,033,717	0.9%
<b>TOTAL</b>	<b>\$1,037,314,065</b>	<b>100.0%</b>

\* Chancellor and annual funds, alumni associations, energy labs, museums, public programs, etc.

### Gifts for Health Sciences: \$533,946,241

	TOTAL	PERCENTAGE
Biological sciences	\$ 32,577,201	6.1%
Biomedical sciences	776,849	0.1%
Dentistry	11,720,819	2.2%
Medicine	356,806,833	66.8%
Medical center including gifts for construction and renovation	20,716,544	3.9%
Miscellaneous health sciences	85,126,608	15.9%
Nursing	4,021,747	0.8%
Optometry	269,983	0.1%
Pharmacy	4,884,303	0.9%
Public health	17,045,352	3.2%
<b>TOTAL</b>	<b>\$533,946,241</b>	<b>100.0%</b>

### Gifts for Health Sciences by Campus: \$533,946,241

	TOTAL	PERCENTAGE OF CAMPUS TOTAL
Berkeley	\$24,170,355	14.8%
Davis	18,657,975	26.0%
Irvine	34,878,266	60.9%
Los Angeles	183,086,984	63.5%
Merced	0	0.0%
Riverside	366,353	1.9%
San Diego	49,705,533	37.9%
San Francisco	210,738,700	100.0%
Santa Barbara	0	0.0%
Santa Cruz (Biological sciences)	12,337,379	54.4%
Universitywide programs	4,697	<0.1%
<b>TOTAL</b>	<b>\$533,946,241</b>	<b>100.0%</b>

# PRIVATE SUPPORT

## Reporting Conventions

This Annual Report on University Private Support includes for the first time data for each campus using two of three gift reporting conventions employed by the University. The first method is the standard that has been used for private support reports to The Regents for many years. It is a variation of the *accrual* system and includes new, legally-binding pledges up to five years in duration, plus outright gifts. In this system, payments made on pledges are not included. The method is thought to present the best perspective on the level of current fundraising activity and is the reporting standard used for most purposes in this report, including systemwide and campus detail reports.

The second reporting convention, which is *cash*-based, is used for comparisons with other institutions. It is also employed for the national *Voluntary Support of Education Survey* completed by colleges and universities. This reporting convention includes outright gifts and pledge payments, but does not include new pledges. This method of gift reporting is thought to present the best perspective on the cash flow that derives from private support. These first two reporting standards are shown at the bottom of each campus narrative. In future reports, this second, cash-based standard will also be used to show campus and systemwide detail.

The breakdown of gift data for the University as a whole in both the gift-reporting accrual and cash-based systems is found below and on page 2. Campus specific data are included in each campus section of the report. The diagrams follow this format:

REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
ACCRUAL	NEW PLEDGES \$298,701,867	\$464,907,610	\$439,456,493	\$551,322,781	\$195,665,870	\$1,037,314,065
	NEW OUTRIGHT GIFTS \$600,862,722	\$764,064,977	\$715,596,169	\$749,854,424	\$841,648,195	
CASH	PLEDGE PAYMENTS \$198,571,105	\$214,058,425	\$284,510,669	\$236,605,595	\$267,642,375	\$1,109,290,570

There is a third reporting convention that is employed by the University's accounting office for its end-of-year audited financial reports. It is also based on the accrual method; however, on the revenue side, unconditional, legally-binding pledges are recorded at the time they are promised, balanced by receivable accounts which are reduced as pledge payments are received. Beginning with the 2004 UC Financial Report, private support directed to the separately incorporated campus foundations will be included. The Annual Report on Private Support, which has always included gift support for the campus foundations, will in 2004 attempt to reconcile the various methods of gift reporting used in UC reports.

At the end of a fiscal year marked by a sluggish economy and strapped state budget, the Berkeley campus reported that \$163.9 million was raised in private support from alumni, parents, friends and corporate and foundation sources.

While the fundraising dollar total for fiscal 2002-03 is the lowest in nine years, it reflects the highest number of individual gifts ever raised in a single year: 75,406. The number of contributions is 7 percent higher than last year and tops the previous record of 74,992 set three years ago.

“The good news is that although our alumni, parents and friends may not have had the financial resources to make significant commitments last year, they gave at whatever level they could afford,” said Vice Chancellor for University Relations Donald McQuade.

Top gifts for the year included:

- \$8.4 million from the Gordon and Betty Moore Foundation to support the Center for Integrated Genomics in Molecular and Cell Biology.
- \$5.4 million from the Robert Wood Johnson Foundation for faculty research in the School of Public Health.
- \$5 million from the Helen and Sanford Diller Family Foundation for programs, fellowships and research in Jewish Studies.
- \$4 million bequest from the estate of Mrs. Jeryme Potter for Wurster Hall and the Chang-Lin Tien Center for East Asian Studies.
- \$3.3 million grant from Vodafone for undergraduate and graduate fellowships.
- \$3.2 million trust from Corinne Rustici to support advancements in nutrition.
- \$2 million from the Barclay Simpson Foundation for the Berkeley Art Museum.
- \$2 million bequest from Dr. Sidney L. Ancker for endowed chairs in the College of Letters & Science.
- \$2 million bequest from Bernard P. Smith for the Haas School of Business.

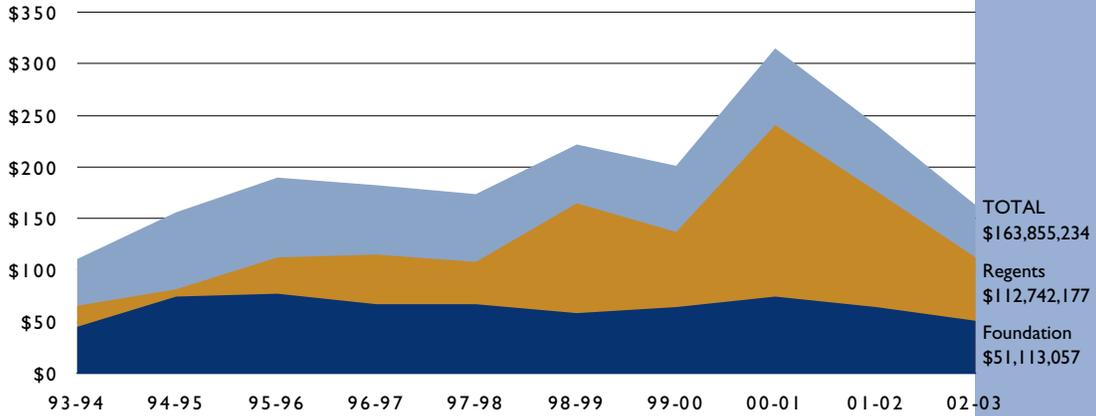
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
ACCRUAL	NEW PLEDGES \$89,381,865	\$76,270,826	\$156,958,905	\$88,245,094	\$20,597,836	\$163,855,234
	NEW OUTRIGHT GIFTS \$132,319,170	\$125,091,012	\$158,363,441	\$152,172,306	\$143,257,398	
CASH	PLEDGE PAYMENTS \$52,327,099	\$43,947,112	\$44,592,357	\$71,378,616	\$47,560,078	\$190,817,476

# THE CAMPUSES

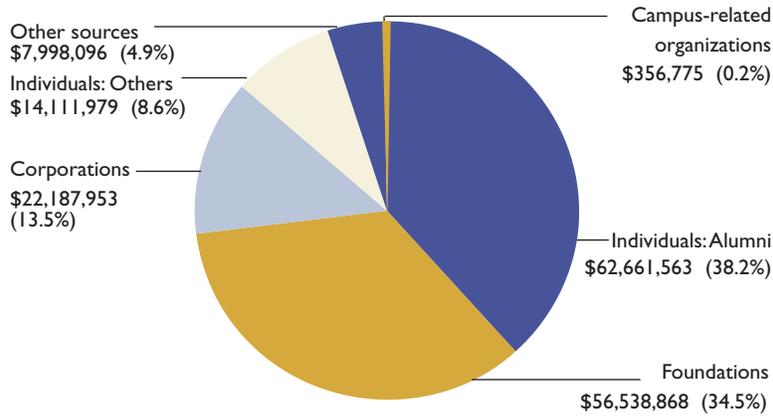
2002-2003

BERKELEY

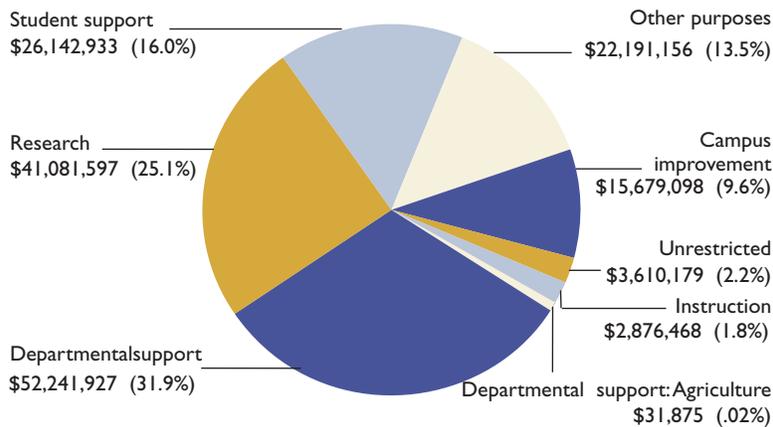
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



Alumni, friends, corporations and philanthropic organizations contributed \$71.7 million to UC Davis in 2002-03, supporting everything from university research, endowed chairs and professorships, student aid and the health sciences to capital projects and educational programs.

“We are especially grateful to the donors of 2002-2003 for their generosity,” said Chancellor Larry Vanderhoef. “They have been there for us in the tough times. That demonstration of loyalty is worth as much to us as their financial donations, because it assures us of their commitment, together with ours, to our ongoing and future excellence.”

UC Davis in 2002-03 received gifts from more than 50,000 donors, 11 of whom each contributed \$1 million or more during the year.

A \$5 million commitment from the Anheuser-Busch Foundation was the largest single gift. This contribution helped corporate giving account for the lion’s share of the year’s gifts total – 38 percent, or \$27.3 million. Individuals who are not alumni added 27 percent, or \$19.2 million, of the total, while private foundations provided 13 percent, or \$9.5 million, and alumni gave 4.5 percent, or \$3.2 million.

The Anheuser-Busch Foundation gift will create a brewing and food science laboratory in the Robert Mondavi Institute for Wine and Food Science, founded last year through a \$25 million gift. Other million-dollar contributions from corporations, foundations or organizations came from the Center for Agricultural Partnerships, which gave the College of Agricultural and Environmental Sciences \$2.5 million for facilities enhancement; the Dyson Foundation, which gave \$1.8 million for health sciences research; the California Endowment, which provided \$1.4 million for the health sciences; the California Healthcare Foundation, which gave \$1.1 million to several health science research projects; the David and Lucile Packard Foundation, which gave \$1 million for research in the College of Engineering, and from the Rumsey Indian Rancheria’s Community Fund, which gave \$1 million for diabetes research and community health outreach.

Million-dollar-plus commitments from individuals included a \$3.3 million charitable trust from Corinne Rustici for research and teaching in human nutrition; a \$2.5 million contribution from Professor Emeritus Warren and Leta Giedt for an engineering classroom facility; and a \$1 million commitment from veterinarian Jerome Barnier and his wife, Joyce, to the School of Veterinary Medicine.

University research received the most support, \$27.3 million for the year. Donors gave \$19.1 million to departmental support and agricultural programs, and another \$13.2 million to improve and enhance campus facilities. They added \$27 million to the UC Davis permanent endowment, including nearly 260 gifts and pledges to support new and existing endowments for faculty chairs and professorships.

The College of Agricultural and Environmental Sciences led the campus in private support, garnering \$22.4 million. The departments and programs in the health sciences were second, with \$18.7 million in gifts.

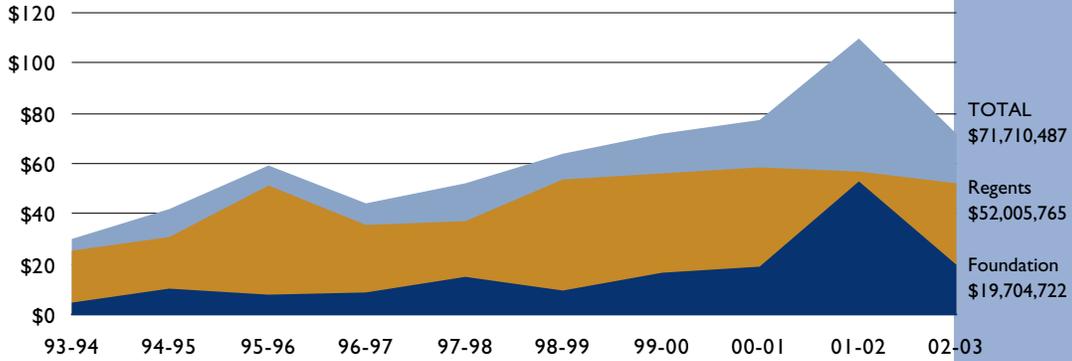
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
<b>ACCUAL</b>	NEW PLEDGES \$27,094,009	\$14,869,000	\$12,177,849	\$35,735,239	\$14,450,833	\$71,710,487
<b>CASH</b>	NEW OUTRIGHT GIFTS \$35,026,065	\$56,685,784	\$65,213,694	\$74,043,602	\$57,259,654	\$65,016,691
	PLEDGE PAYMENTS \$18,165,534	\$19,630,714	\$8,129,969	\$8,534,019	\$7,757,037	

# THE CAMPUSES

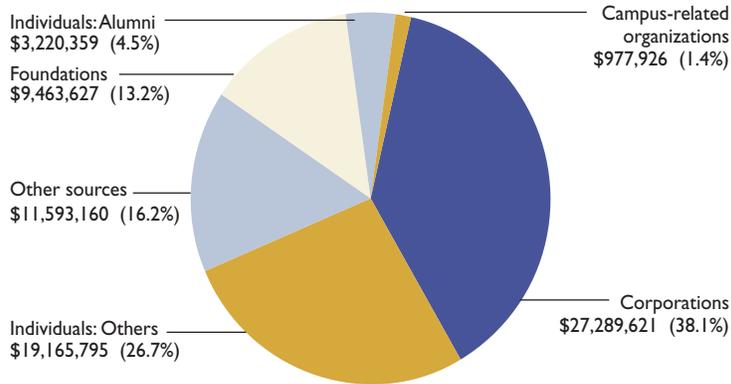
2002-2003

DAVIS

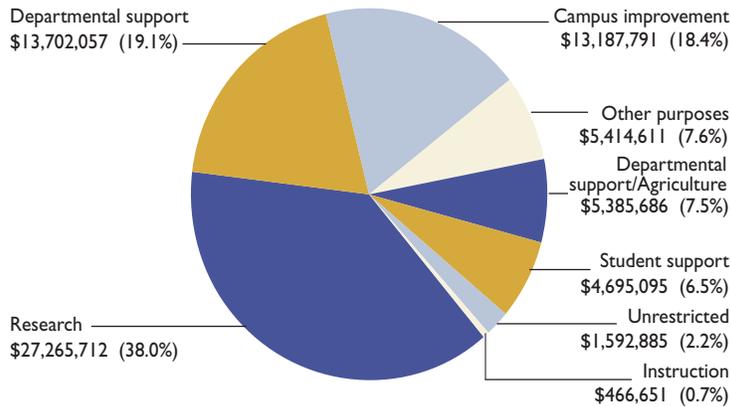
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



Private giving to UC Irvine exceeded \$57 million in 2002-03, a 61 percent increase over last year. UCI also received a record 17,887 gifts.

The total includes \$13 million in gifts and pledges for the new university hospital at UCI Medical Center, the primary fundraising priority for the campus. Construction of the state-of-the-art, landmark hospital is expected to begin in 2004, with completion scheduled for 2008.

“This show of generosity during such a difficult economic period is very gratifying,” said Chancellor Ralph J. Cicerone. “Private support is essential to UCI’s success and will play an increasingly important role as we work toward our goal of becoming one of the University of California’s flagship campuses.”

“This clearly demonstrates the region’s commitment to having a world-class research university and academic medical center in its midst. Those who support UCI are making an important investment in the county’s future,” said Ted Smith, chair of The UCI Foundation.

More than half of the donations to UCI in 2002-03 came from corporations and foundations. Corporate gifts made up 40 percent of the total; foundation grants, 22 percent; gifts from alumni and friends, 29 percent; and gifts from nonprofit and other organizations, 9.7 percent.

Private gifts contribute to the long-term success of the campus by helping it achieve academic and research excellence in areas that are not fully funded by other sources. Gifts received include:

- \$3 million from Yamanouchi Pharma America, Inc., to establish the Yamanouchi Center for Postgraduate Urological Education at UCI Medical Center;
- \$1.2 million from the Comer Science and Education Foundation, established by Lands’ End founder Gary Comer, to create the Gary Comer Climate Change Fellowship Program in the School of Physical Sciences;
- \$1.1 million from the Discovery Fund for Eye Research to establish the Morris S. Pynoos Eye Research Laboratories at the College of Medicine; and
- \$833,085 from the Archstone Foundation to support research conducted by the Medical Forensic Center on Elder Abuse located in the College of Medicine.

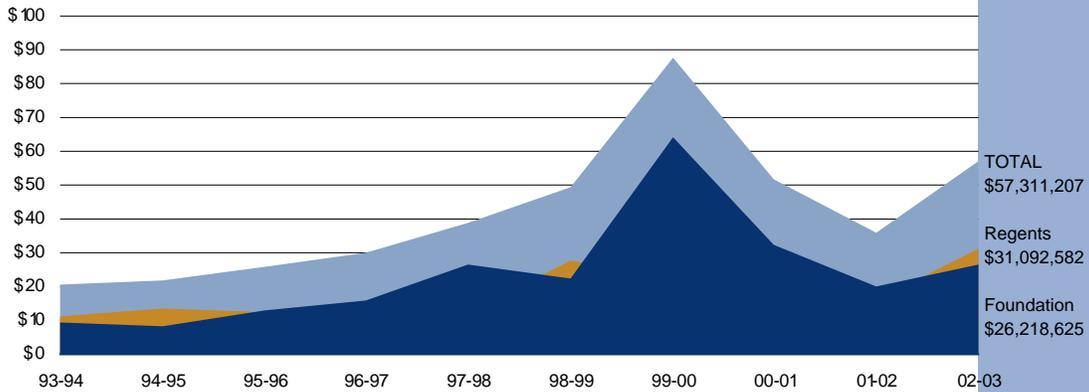
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
<b>ACCRUAL</b>	NEW PLEDGES \$13,266,096	\$44,523,679	\$20,182,013	\$9,942,045	\$19,946,851	\$57,311,207
<b>CASH</b>	NEW OUTRIGHT GIFTS \$36,279,463	\$43,361,343	\$31,858,791	\$25,684,318	\$37,364,356	\$53,225,681
	PLEDGE PAYMENTS \$12,265,981	\$23,892,811	\$16,631,516	\$13,216,495	\$15,861,325	

# THE CAMPUSES

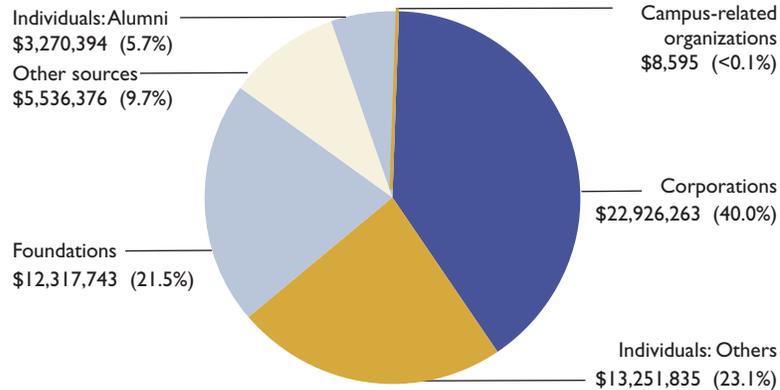
2002-2003

IRVINE

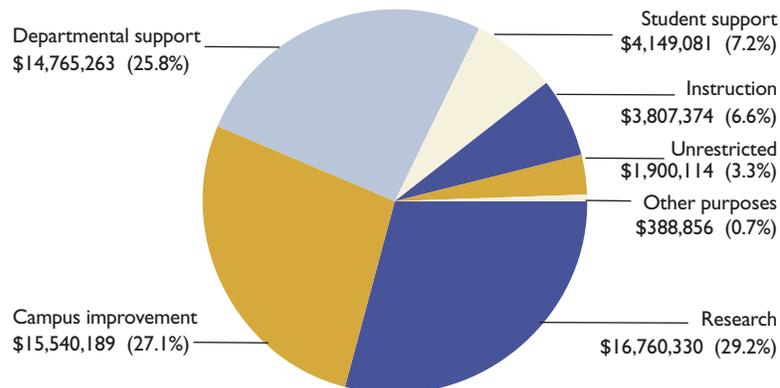
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



Marking the fifth consecutive year in which UCLA's gift total has topped \$250 million, Campaign UCLA received more than \$288 million in private gifts and grants during 2002-03.

The figure, which reflects gifts and pledges received between July 1, 2002, and June 30, 2003, signals the continuation of successful fundraising performance despite the significant downturn in the local and national economies. It brings the total for the campaign over the \$2.3 billion mark, equaling 96 percent of the \$2.4 billion overall goal in only 81 percent of the campaign period, which is scheduled to conclude in 2005.

"The broad range of private commitments has enabled the building of new facilities, expanded student support, enhanced interdisciplinary programs and accelerated landmark research advances," says Chancellor Albert Carnesale. "Indeed, UCLA continues on its stellar course spurred on by the generosity of all our supporters, from the modest and heartfelt to the remarkable and visionary."

Although it appears the campaign's overall goal will be attained well before its target completion date, officials point out that there are still several priorities campuswide – including graduate fellowship support and funds for recruiting and retaining the best faculty – that remain unfulfilled. The remainder of the campaign will focus on those key goals.

Among the highlights of the past year was a \$48 million bequest from UCLA alumna Emily Plumb to support the Jules Stein Eye Institute. Plumb, who graduated from UCLA's Vermont Avenue campus in 1927, continued something of a Plumb family tradition: her sister-in-law, Edith Agnes Plumb, had bequeathed \$22.5 million to the campus in support of medical research upon her death in 1995.

The emerging field of nanoscience was given a boost with the creation of the Fred Kavli Chair in Nanosystems Science at UCLA. The chair, engendered by a \$1 million gift from physicist and business leader Fred Kavli, is considered a key element in ensuring the success of the California NanoSystems Institute, a collaboration between UCLA and UC Santa Barbara. Based at UCLA, the institute is designed to hasten scientific and engineering research in fields that are key to the future of California's economy.

The arts at UCLA will be enhanced by a \$5 million gift from The Skirball Foundation to support the renovation of the Geffen Playhouse, located on the campus. An important component of UCLA's theater program, the playhouse will undergo improvements including a new stage and rehearsal hall, expanded seating capacity and several audience amenities.

In addition, a \$9.6 million pledge by philanthropist Gerald H. Oppenheimer will expand programs in "complementary, alternative and integrative medicine" – a wide category of health care devoted to remedies not considered part of conventional medicine, such as acupuncture, chiropractic and herbal remedies. The resources will help faculty apply rigorous scientific methods to evaluate such unconventional, but promising methods of treatment.

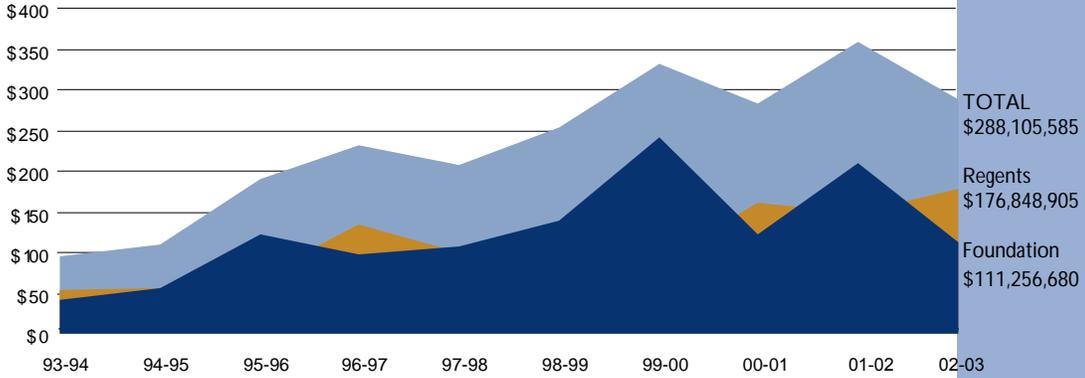
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
<b>ACCURAL</b>	New Pledges \$103,926,817	\$145,133,941	\$82,239,904	\$142,298,941	\$63,286,940	\$288,105,585
<b>CASH</b>	New Outright Gifts \$125,339,581	\$177,067,455	\$175,027,283	\$217,165,591	\$224,818,644	\$314,897,120
	Pledge Payments \$55,246,442	\$70,255,996	\$62,740,524	\$64,201,218	\$90,078,476	

# THE CAMPUSES

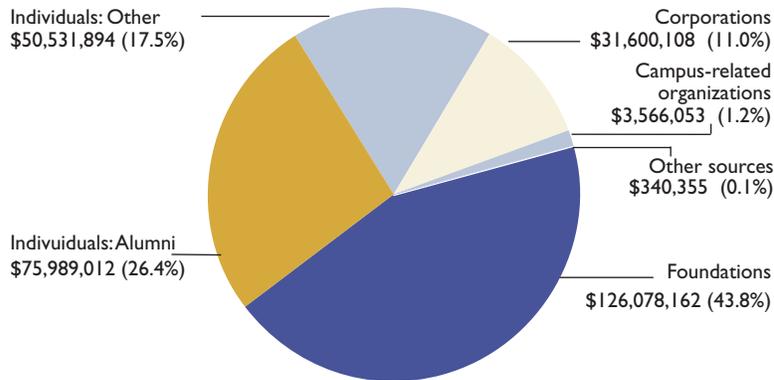
2002-2003

LOS ANGELES

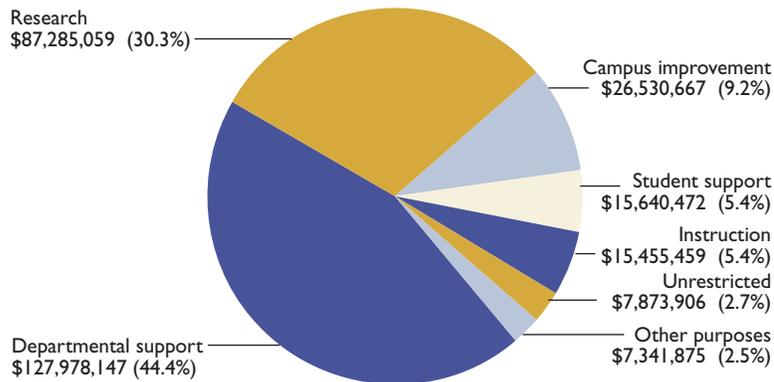
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



UC Merced received \$3.62 million in private gifts during 2002-03 as benefactors supported student scholarships, cognitive development research and library and auditorium enhancements.

On Oct. 25, 2002, Carol Tomlinson-Keasey was inaugurated as the first female founding chancellor of a major university. On the same day, the official groundbreaking was celebrated for UC's 10<sup>th</sup> campus, which will be the first major American research university campus to be built in the 21<sup>st</sup> century.

“For UC Merced to eventually take its place among the best universities in the world, we must help find ways to transform our home region as the campus grows,” said Tomlinson-Keasey. “This means transforming lives one-by-one, and thereby producing the next generation of leaders.”

In the previous fiscal year, surrounding the chancellor’s inauguration, the community demonstrated exceptional support for the fledgling campus. Led by a grant from the David and Lucile Packard Foundation exceeding \$12 million, the campus also tallied gifts to establish 13 endowed chairs. While the pace of new gifts for 2003 has slowed, support for the campus is building.

The Cunninghams, a family with deep ties in UC Merced’s home region of the San Joaquin Valley, established an endowed chair in memory of Carlston Cunningham. In a fitting tribute to the man who had struggled with reading throughout his lifetime, the Carlston Cunningham Chair in Cognitive Development was funded to enable researchers to further understand how children learn and process information.

Dr. Hanimireddy Lakireddy, a prominent Merced cardiologist, presented a \$1 million gift for the enhancement of the main auditorium on campus. As a result of the generosity of the Lakireddy family, a variety of cultural and artistic performances, as well as lectures and other educational programming, will be enjoyed by students, staff, faculty, and the community.

As part of UC Merced’s first annual giving program, Founders Fund donors from the Merced community generously contributed gifts of all sizes to support initiatives ranging from scholarships and a recreation field to library books and academic research. As of June 2003, the Founders’ Fund had received \$129,111 in donations from members of the community.

With the guidance of several members of the UC Merced Foundation Board of Trustees, UC Merced’s special friends have formed the Chancellor’s Associates, a group dedicated to raising discretionary funds for the chancellor to apply toward critical projects not slated for state funding. The Chancellor’s Associates play an integral role in supporting the entire campus community, and by the end of fiscal year 2002-03 had a membership of nearly 150.

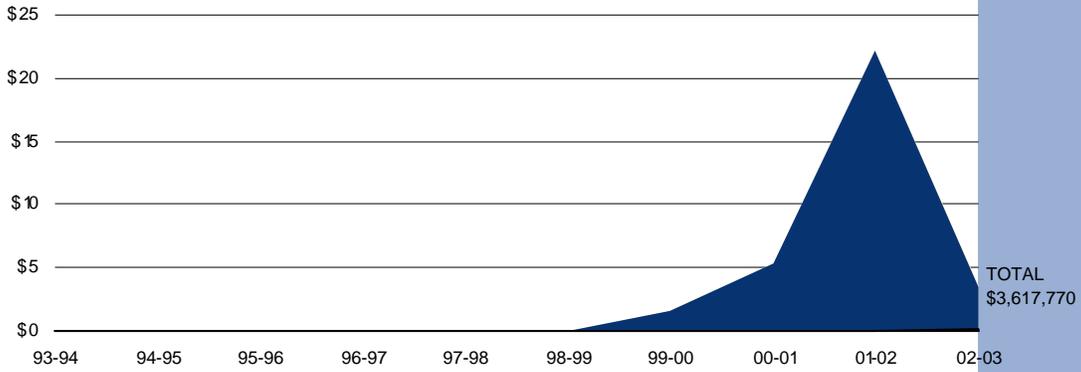
REPORTING CONVENTIONS	99-00	00-01	01-02	02-03	02-03 TOTALS	
ACCRUAL	NEW PLEDGES	\$1,500,000	\$5,020,000	\$22,038,000	\$2,253,700	\$3,617,761
	NEW OUTRIGHT GIFTS	\$9,215,333	\$18,993	\$196,843	\$1,364,061	\$8,092,990
CASH	PLEDGE PAYMENTS	\$225,575	\$1,203,000	\$1,373,234	\$6,728,929	

# THE CAMPUSES

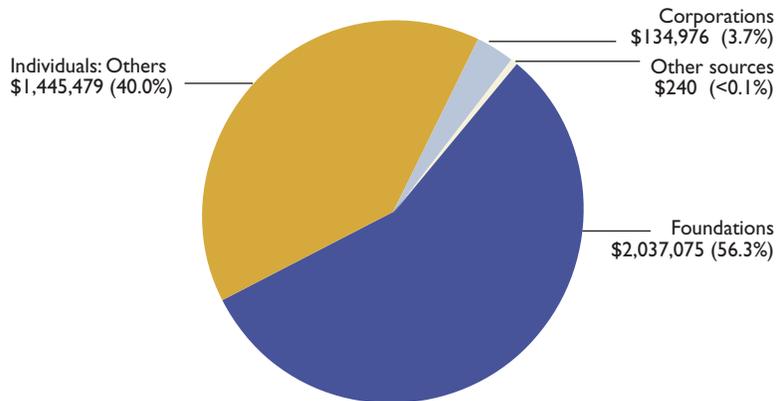
2002-2003

MERCED

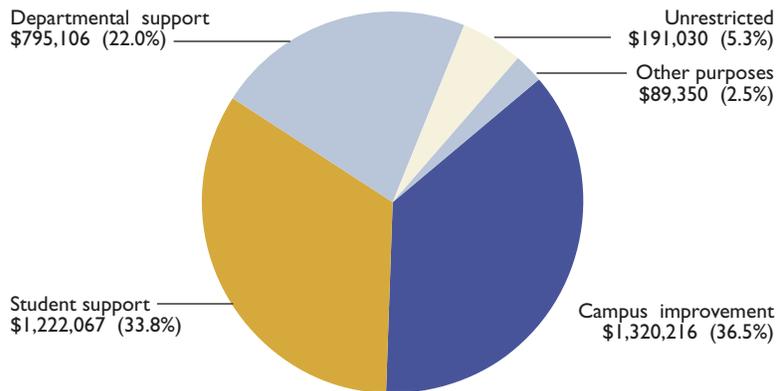
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



Friends and alumni of UC Riverside supported the campus and invested in its future by contributing \$19,528,095 in 2002-03.

This level of gift funding is especially meaningful given the continuing economic challenges and financial uncertainty facing individuals, corporations and foundations.

“I sincerely appreciate the generosity of our donors,” said UC Riverside Chancellor France A. Córdoba. “Their continued support is critical for UC Riverside to succeed as a premier research university, with world leadership in select academic areas and to engage our region, state and nation as a catalyst for social, cultural and economic development.”

Examples of major gifts to UC Riverside during 2002-03 included:

A gift of \$2 million from Ali Sahabi and SE Corporation to create a Center for Sustainable Suburban Development. This multidisciplinary research center, within UCR’s Office of Research, will explore social, economic, environmental and public policy challenges that face fast-growing regions like Inland Southern California.

An anonymous gift of \$1 million to the Marlan and Rosemary Bourns College of Engineering to support Engineering II, the second building in the college’s complex.

A gift of \$1 million from Richard Heckmann for the Richard J. Heckmann International Center for Entrepreneurial Management, the flagship building of UCR’s Palm Desert campus. This augments a previous \$5 million donation by Richard and Mary Heckmann for the desert campus.

An award of \$1.25 million from the W. M. Keck Foundation to the UCR Genomics Institute, which will provide proteomics instrumentation for studies to enhance the world’s food supply.

A gift of \$500,000 from Katrina Heinrich-Steinberg to establish the Katrina Heinrich-Steinberg Reentry Scholarship Endowed Fund for students returning to the university later in their lives or careers. Katrina, with her late husband, Lionel, had previously contributed to UCR’s agricultural programs.

A \$300,000 gift from Amy Harrison, a distinguished UC Riverside alumna, member of UCR Athletics Hall of Fame, and chair of the UC Riverside Foundation Board of Trustees, to renovate the women’s softball field.

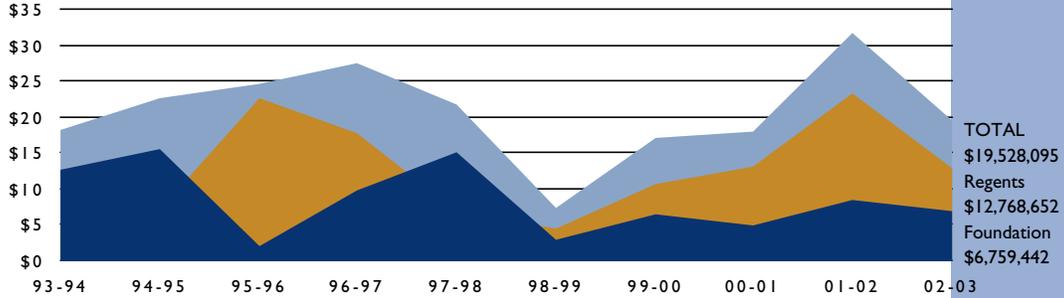
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
<b>ACCURUAL</b>	NEW PLEDGES \$633,963	\$7,469,109	\$1,108,262	\$5,541,227	\$6,120,621	\$19,528,095
<b>CASH</b>	NEW OUTRIGHT GIFTS \$6,728,013	\$9,628,919	\$16,897,111	\$26,054,899	\$13,407,474	\$16,034,937
	PLEDGE PAYMENTS \$5,852,018	\$2,774,476	\$1,102,720	\$4,276,900	\$2,627,463	

# THE CAMPUSES

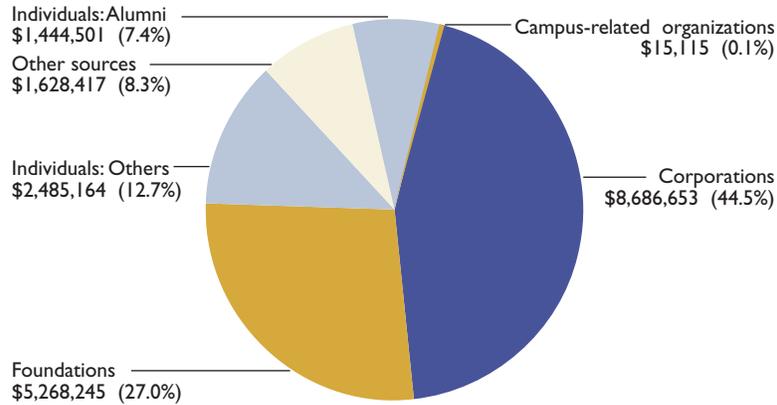
2002-2003

RIVERSIDE

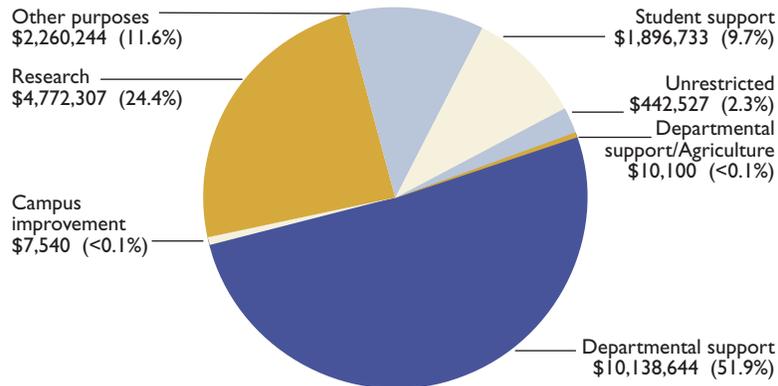
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



UC San Diego announced its most successful fundraising efforts in three years with private support for 2002-03 totaling \$131.1 million. The 31 percent boost from the previous year's total is attributed to the March 15 public launch of a \$1 billion fundraising initiative, The Campaign for UCSD: *Imagine What's Next*.

It was the fifth consecutive year UCSD has surpassed the \$100 million mark and is the second most successful year in private support in the history of the campus (the campus received \$135 million in 1999-2000).

"UCSD is truly fortunate to receive such critically important and generous gifts from so many friends," said Robert C. Dynes, who was chancellor before becoming UC President in October 2003. "The interest and support of our community has fueled UCSD's success; it is thrilling to see that so many of our alumni and San Diego community leaders have such a strong belief in the future of UCSD."

Highlights in 2002-03 included:

A \$25 million gift, a portion of a \$110 million bequest intention announced in March 2003, to the Jacobs School of Engineering from Joan and Irwin Jacobs. The Jacobs' bequest is the largest private gift in UCSD's history.

An \$8 million in-kind gift from the Stuart Foundation. The gift consists of nine of the Stuart Collection's 15 pieces of site-specific art displayed throughout the campus. The entire collection has been donated to UCSD during the past 22 years.

A \$5 million gift from Roberta and Malin Burnham for the construction and operation of the School of Management. The gift is the largest to-date for the school and is to be used at the dean's discretion.

Other leadership gifts include a \$2 million contribution from the Walton Family Foundation toward the construction of the Rebecca and John Moores UCSD Cancer Center; a \$2 million gift from W. Joseph and Brigitte Blood to support UCSD health sciences; a \$1 million gift from the Charles H. and Anna S. Stern Foundation to the Moores UCSD Cancer Center; a \$1.5 million gift from the Alfred P. Sloan Foundation to the Graduate School of International Relations and Pacific Studies; a \$1.5 million gift from Sally McMurray for unrestricted support of the School of Medicine; a \$1 million gift from Bettie P. Cody to endow a fellowship for first-year graduate students at Scripps Institution of Oceanography; and a \$1 million gift from Audrey Geisel toward the expansion of the Ida and Cecil Green Faculty Club. Also, in 2003, the campus established the Jerome S. Katzin Chair in Corporate Governance, which is UCSD's 100<sup>th</sup> endowed chair. The Katzin Chair was established through support from Qualcomm Inc.

On March 15, 2003, the campus publicly launched its \$1 billion, seven-year Campaign for UCSD: *Imagine What's Next*. As of June 30, 2003, the campaign total was \$464.3 million.

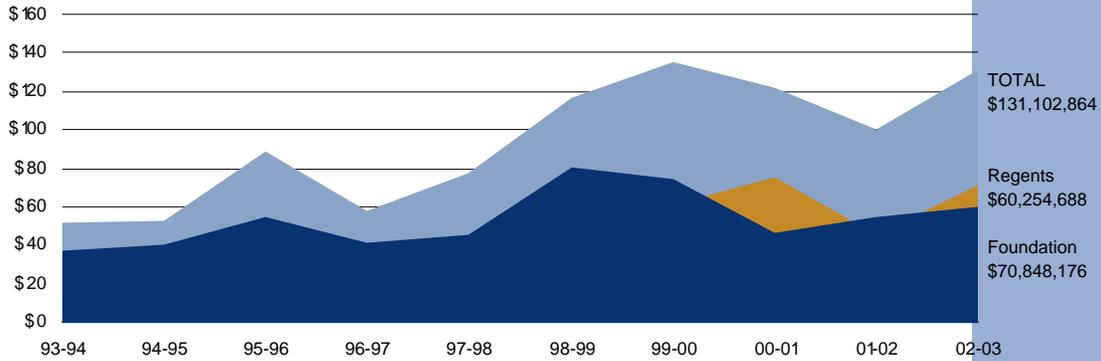
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
ACCURAL	NEW PLEDGES \$18,545,245	\$25,550,586	\$48,820,070	\$23,373,853	\$15,717,217	\$131,102,864
	NEW OUTRIGHT GIFTS \$98,338,938	\$117,061,649	\$74,138,142	\$77,851,264	\$115,385,646	
CASH	PLEDGE PAYMENTS \$17,252,482	\$13,783,234	\$22,898,883	\$21,176,904	\$21,652,886	\$137,038,533

# THE CAMPUSES

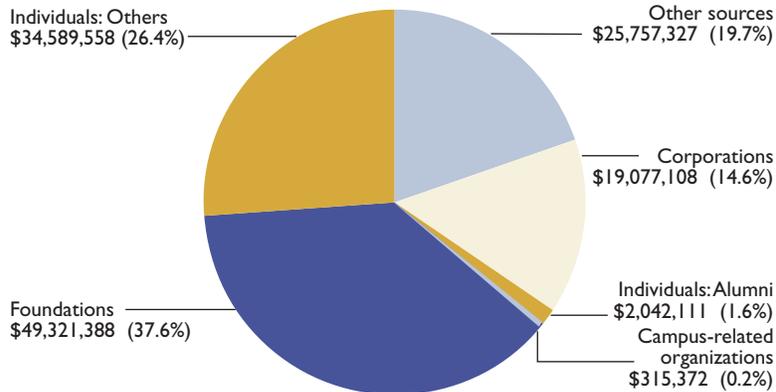
2002-2003

SAN DIEGO

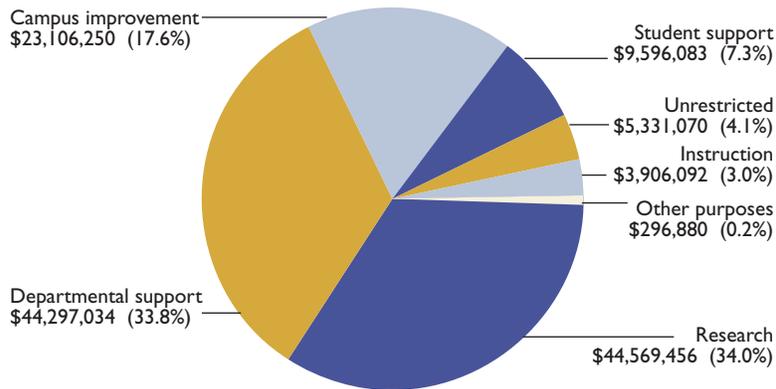
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



Gifts, pledges and private grants to UC San Francisco totaled more than \$210 million in fiscal year 2002-03, making it the fourth consecutive year that the campus raised more than \$200 million. This total was reached through 28,678 contributions from 23,212 donors – a remarkable 32 percent increase in the number of donors from the previous year.

At the year's close, \$1.131 billion had been raised toward the \$1.4 billion goal of the Campaign for UCSF, with two years remaining in this seven-year campaign.

Private support remained strong for the UCSF Mission Bay campus, a major priority of the campaign. The first research building to be completed on the new campus, UCSF Genentech Hall, opened its doors in January 2003. Construction is underway on two other research buildings as well as a community center, childcare center, campus housing complex and landscaped quad.

Notable support in 2002-03 included a \$28 million grant from the Bill & Melinda Gates Foundation to support research on controlling the AIDS epidemic in Africa. The Larry L. Hillblom Foundation made over \$12 million in new commitments to establish a center for research on the biology of aging at the UCSF Mission Bay campus and to support research projects in diabetes, neurodegenerative diseases and vision disorders. A gift in excess of \$5 million was awarded to UCSF by INDNJC Inc., to commemorate the life and work of Margaret Hart Surbeck and to promote research in advanced imaging and human health.

More than 70 endowed chairs have been established during the first five years of the campaign, with several key distinguished professorships created in 2002-03. Through a generous gift of \$2.65 million, alumnus Harry Hind and his wife, Diana, established the first distinguished professorship in the School of Pharmacy. With a gift of \$5 million, Kenneth Rainin endowed the Rainin Distinguished Professorship in Inflammatory Bowel Disease and funded investigations and core technologies at the UCSF Inflammatory Bowel Disease Center.

The Sandler Family Supporting Foundation gave a total of \$8.2 million to UCSF last year, continuing its strong commitment to health sciences research. Its largest gift provided ongoing support to the Sandler Program in Basic Sciences for faculty recruitment and retention, entrepreneurial opportunity awards, new technology resources, and fellowships. The foundation also provided major support for the Sandler Program for Research in Parasitic Diseases and the Sandler Program for Research in Asthma.

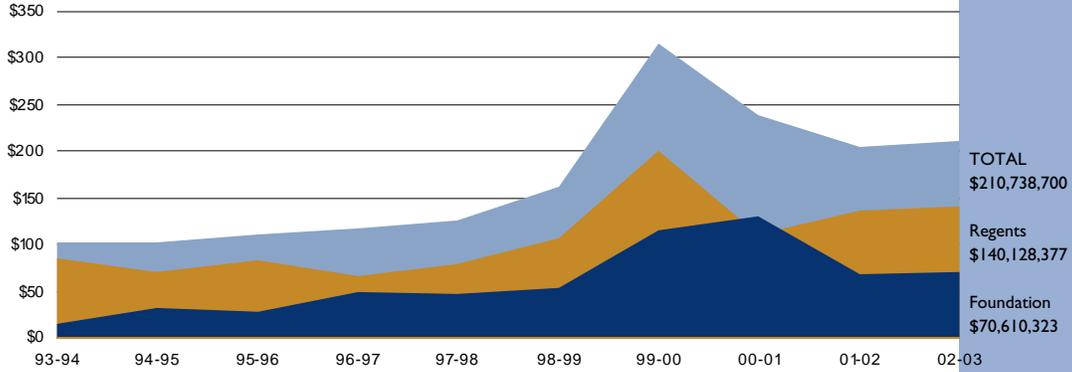
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
ACCRUAL	NEW PLEDGES	\$38,125,290	\$124,016,571	\$79,406,446	\$36,194,039	\$33,087,642
	NEW OUTRIGHT GIFTS	\$123,021,357	\$191,531,093	\$160,547,864	\$168,873,610	\$177,651,058
CASH	PLEDGE PAYMENTS	\$26,087,926	\$27,267,970	\$110,827,703	\$38,351,907	\$47,946,084
						\$210,738,700
						\$225,597,142

# THE CAMPUSES

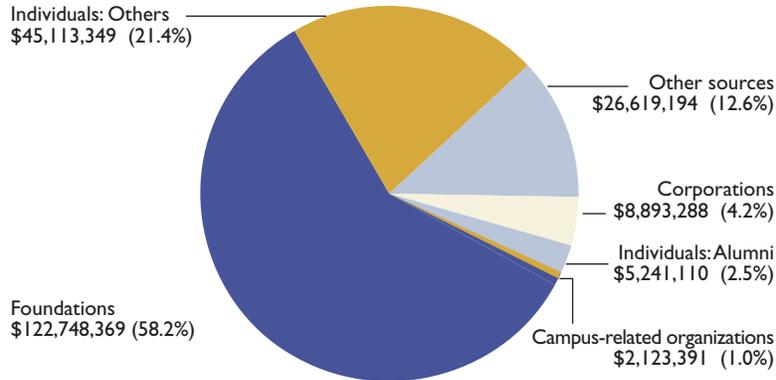
2002-2003

SAN FRANCISCO

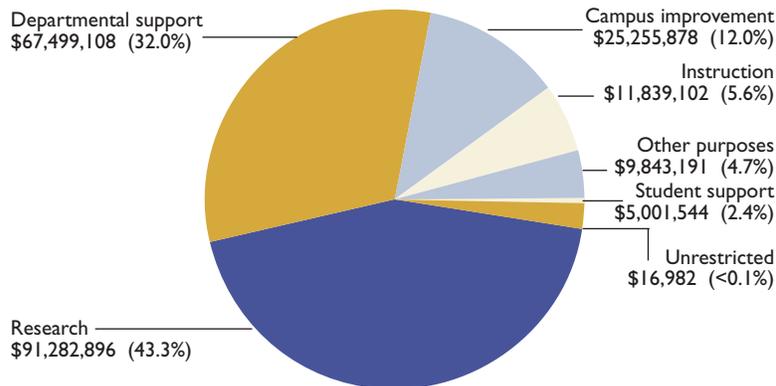
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



Financial support for UC Santa Barbara from private sources totaled nearly \$50.4 million during 2002-03. It was the second most successful fundraising year ever for the campus. Contributions compared favorably with the previous year's record high of \$51.3 million.

Generous gifts from alumni, friends, corporations and foundations help ensure UCSB's place as one of America's leading teaching and research institutions, according to Chancellor Henry T. Yang. Gifts and grants for teaching, research, student and programmatic support were distributed across the disciplines. UCSB received a total of 20,000 individual contributions, up more than 20 percent from the previous year. Corporate support for UCSB increased substantially. Telecordia Technologies, Inc., made a sizeable patent and equipment donation to enhance campus research in optical label switching. As a leader in optical communications and optical networking research, UCSB will continue to develop the contributed technology for commercialization and licensing. In addition, Matsushita Electric Works Ltd. of Japan contributed \$2.5 million for the Solid State Lighting and Display Center in the College of Engineering. In recognition of the gift, the corporation was named a founding member of the center.

Five endowed chairs were established in 2002-03. These highly prized academic positions enable the campus to develop more fully a field of study by providing ongoing financial support for enhanced research and instruction.

A \$1 million contribution from chemical engineering professor Duncan Mellichamp and his wife, Suzanne, toward a \$2 million endowment will fund a coordinated cluster of four endowed chairs, all devoted to a carefully selected programmatic area of rising importance, which will change over time. This unique clustering of professorships, the first gift of its kind in UC history, will enable UCSB to jump-start new fields of scholarly inquiry and to strengthen existing research programs across disciplines through the recruitment of eminent scholars. Initially, the four Mellichamp professorships will be designated for pioneering research in systems biology centered in the College of Engineering.

In addition, an endowed chair in Taiwanese studies was established with a \$500,000 contribution from a number of individual donors associated with the Taiwanese American Foundation of San Diego. The benefactors said they made the gift to help position the campus as an international center for the exploration of Taiwan's literature, history and culture.

UCSB Foundation Trustee Sara Miller McCune, a longtime campus benefactor and volunteer leader, made a \$1 million planned gift that will support faculty excellence and a graduate fellowship in communication, in addition to support for the Special Collections in UCSB's Davidson Library.

Also, UCSB physics professor Walter Kohn, a 1998 Nobel Prize laureate in chemistry, donated his extensive collection of articles, manuscripts, research documents and correspondence to Special Collections. The papers, which document the working life of a distinguished physicist, will be preserved for use by students and scholars.

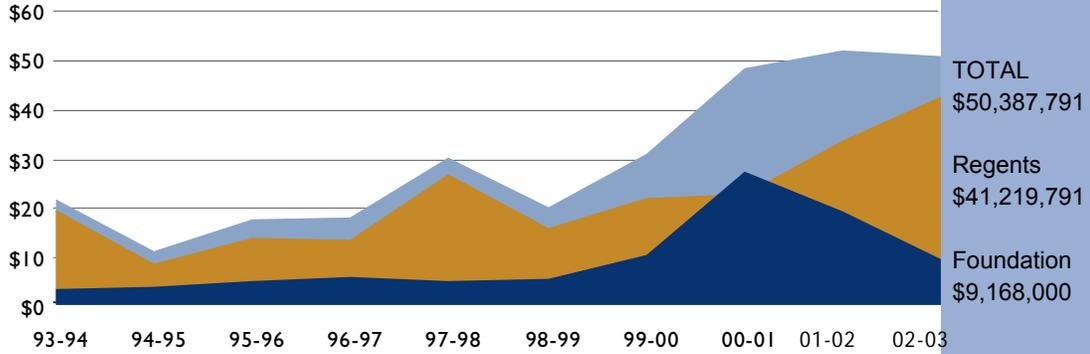
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
ACCRUAL	NEW PLEDGES \$3,566,266	\$12,924,576	\$27,288,168	\$35,892,546	\$7,294,964	\$50,387,791
	NEW OUTRIGHT GIFTS \$16,226,964	\$17,639,903	\$20,742,429	\$15,469,747	\$43,092,827	
CASH	PLEDGE PAYMENTS \$3,372,412	\$8,704,343	\$11,582,046	\$11,250,502	\$18,975,689	\$62,068,516

# THE CAMPUSES

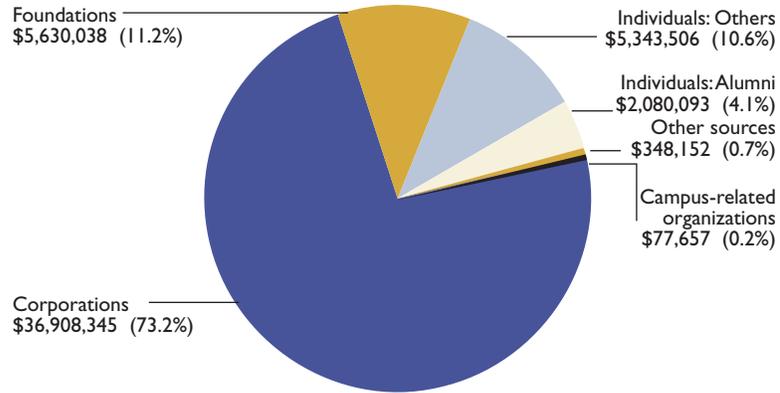
2002-2003

SANTA BARBARA

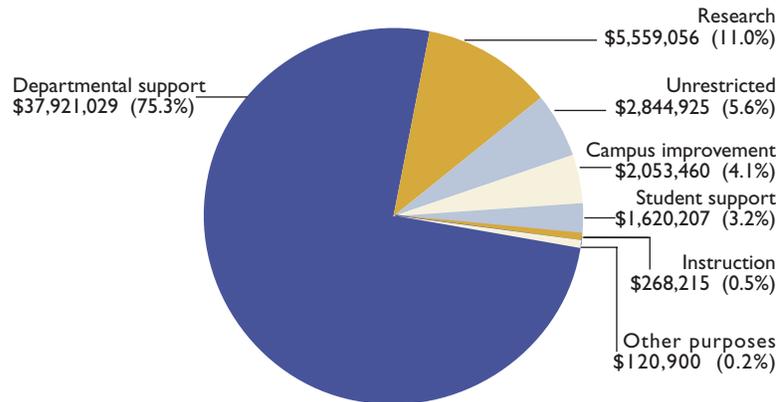
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



Led by grants for adaptive optics, engineering and biology, UC Santa Cruz raised \$22.7 million in private support in the form of gifts and grants during 2002-03. The total marked the second-largest amount ever raised by the campus. A record \$24.4 million was raised in 2000.

“This outstanding level of support will allow the campus to continue its key role in an array of disciplines, from adaptive optics to the New Teacher Center,” said Chancellor M.R.C. Greenwood. “The generous support from our donors is especially critical as the campus faces the funding challenges posed by the state budget shortfall.”

The largest single gift, and the largest contribution from a private foundation in the history of the campus, came from the Gordon and Betty Moore Foundation, which gave \$9.1 million to establish a Laboratory for Adaptive Optics. The new laboratory strengthens UCSC’s position as an astronomy powerhouse and a national center for research in adaptive optics, which sharpen the vision of ground-based telescopes by removing the blurring effects of the Earth’s atmosphere.

A tradition of support for the Baskin School of Engineering continued with a \$1 million gift from the school’s namesake, retired engineer and philanthropist Jack Baskin. The latest contribution will help fund a second engineering building under construction and will create an endowed chair in the newly forming department of biomolecular engineering.

Making science more engaging for undergraduates was the goal of a \$1 million grant from the Howard Hughes Medical Institute to Manuel Ares, a professor of molecular, cellular and developmental biology, who was one of 20 scientists nationwide appointed as Howard Hughes Medical Institute professors.

The New Teacher Center drew \$1.66 million from various sources, including a \$750,000 grant from the Carnegie Corp. The Carnegie grant will allow the innovative education center to expand its reach by working with selected postsecondary education departments. Under the partnership, support for new teachers will extend from college preparation through their first two years in the classroom.

A research project on how to bridge the digital divide affecting Latinos brought the Center for Justice, Tolerance and Community a \$249,449 award from the W. T. Grant Foundation. Including additional grants, the center raised a total of \$425,000.

The University Library’s Special Collections was enhanced by a donation of photographs, valued at close to \$800,000, from renowned California photographers Pirkle Jones and his late wife, Ruth-Marion Baruch.

The archive of science fiction writer Robert A. Heinlein, also held in Special Collections, received a cash gift of \$141,000. The gift, from the estate of Heinlein’s widow, Virginia, was accompanied by an additional \$36,000 grant from the Heinlein Trust to support the work of the UCSC Heinlein Scholar in organizing and preserving the archive and preparing it for scholarly use. The Heinlein archive features a priceless collection of the author’s original manuscripts, correspondence and personal library.

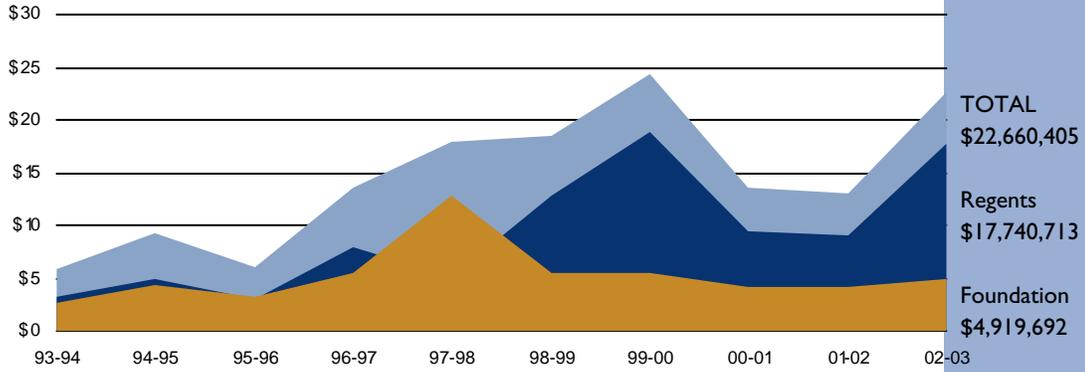
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
	NEW PLEDGES					
ACCURAL	\$3,751,003	\$12,278,321	\$4,636,889	\$1,900,497	\$12,539,854	\$22,660,405
	NEW OUTRIGHT GIFTS					
CASH	\$14,791,040	\$12,098,754	\$8,868,696	\$11,556,413	\$10,120,551	\$18,190,155
	PLEDGE PAYMENTS					
	\$7,898,111	\$3,576,193	\$4,801,001	\$2,383,751	\$8,069,604	

# THE CAMPUSES

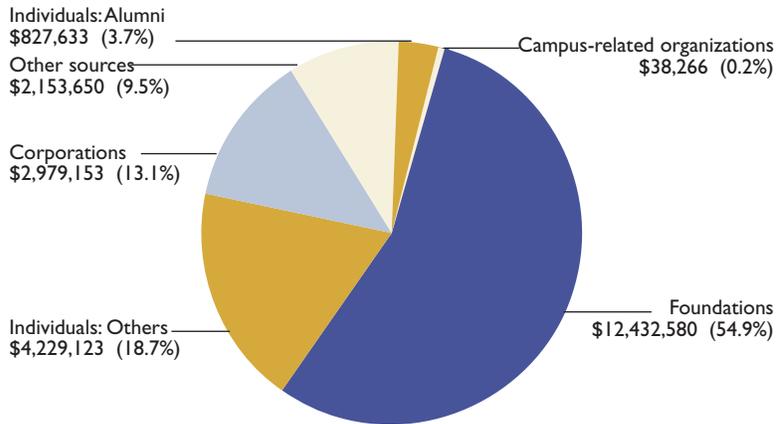
2002-2003

SANTA CRUZ

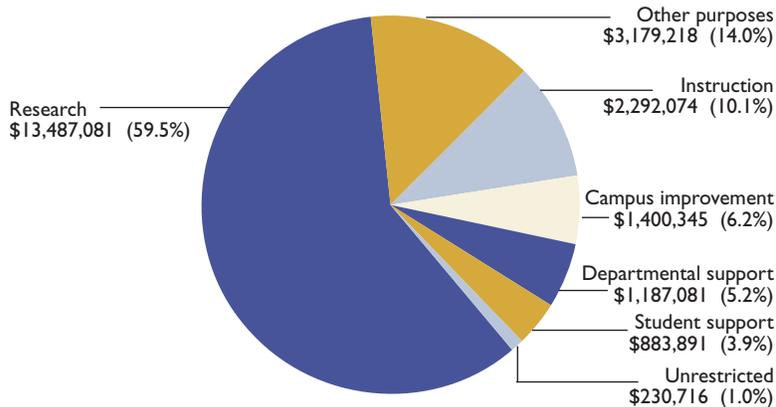
## TOTAL GIVING (\$ MILLIONS)



## GIFT SOURCE



## GIFT PURPOSE



The Universitywide programs include multi-campus research units; the three national laboratories that UC manages under contract with the federal government; the Mathematics, Engineering, Science Achievement (MESA) student outreach program; the Puente Project, another important and successful outreach for students statewide; the Education Abroad Program; and the University of California Press. The programs also include COSMOS (California State Summer School for Math Sciences), a summer residency program for 9th through 12th grade students with exceptional aptitude in math and science.

Multi-campus research units include the Humanities Research Institute, and the state funded Breast Cancer Research and Tobacco Research Programs, among others.

The U.S. Department of Energy national laboratories include the E. O. Lawrence Berkeley National Laboratory and the Lawrence Livermore National Laboratory in California, and the Los Alamos National Laboratory in New Mexico.

The MESA program assists educationally disadvantaged students at pre-college, community college and university levels to excel in math and science and ultimately to attain degrees in math-based fields.

The Puente Project has helped tens of thousands of educationally under-served students to succeed in college through its innovative combination of accelerated writing instruction, academic counseling and mentoring by community professionals. In California, Puente is located in 54 community colleges and 35 high schools. Its goal is to prepare and enroll students in four-year institutions such as UC.

The Education Abroad Program provides foreign study for over 4,200 students at more than 150 institutions in 35 countries. In most cases, UC students are fully integrated into the academic curriculum of the foreign host university, and UC students study alongside their native counterparts. Through its exchanges, partnerships and initiatives, EAP expands the scope of education at UC by giving students access to the vast new sources of knowledge available worldwide, to special pedagogical methods and field study opportunities, and to widely varying cultural, social, political and economic environments.

University of California Press, the nonprofit publishing arm of UC, is one of the nation's largest university publishers. It has earned worldwide recognition for its innovative titles and excellence in book design and production. Each year, it publishes 180 new clothbound books, 90 paperback books and 33 scholarly journals. Approximately one-third of its books are written by UC faculty.

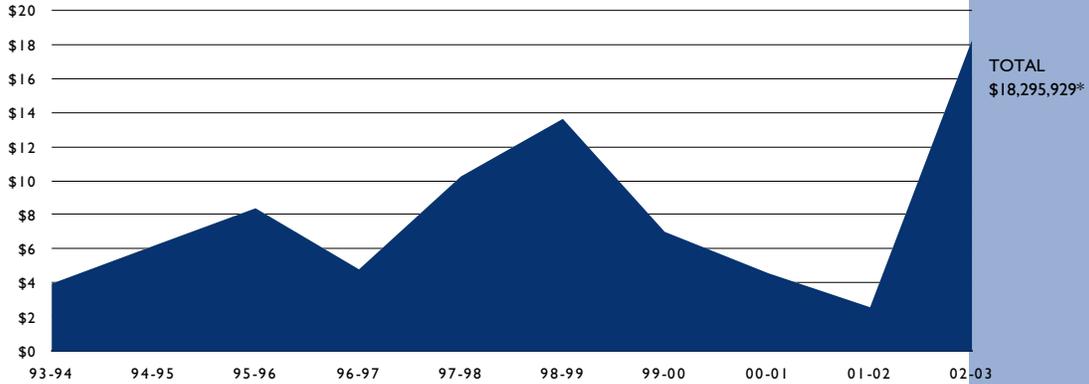
REPORTING CONVENTIONS	98-99	99-00	00-01	01-02	02-03	02-03 TOTALS
ACCURAL	NEW PLEDGES \$401,313	\$371,000	\$1,623,528	\$61,300	\$369,403	\$18,295,929
	NEW OUTRIGHT GIFTS \$12,792,130	\$5,016,905	\$2,918,926	\$2,485,244	\$17,926,526	\$18,311,329
CASH	PLEDGE PAYMENTS \$102,000	\$0	\$950	\$462,050	\$384,803	

# THE CAMPUSES

## 2002-2003

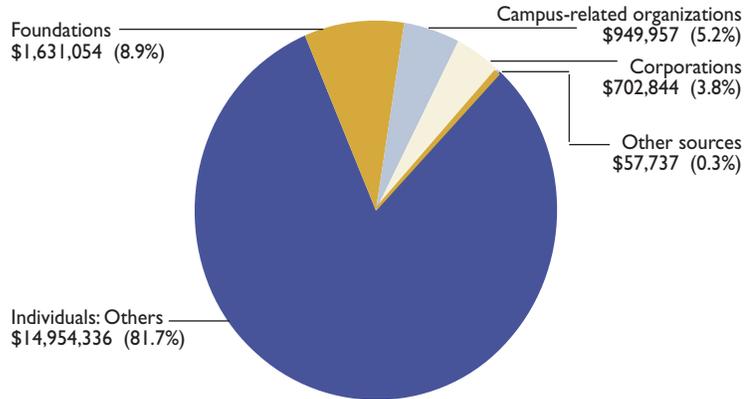
# UNIVERSITYWIDE AND MULTI-CAMPUS PROGRAMS

### TOTAL GIVING (\$ MILLIONS)

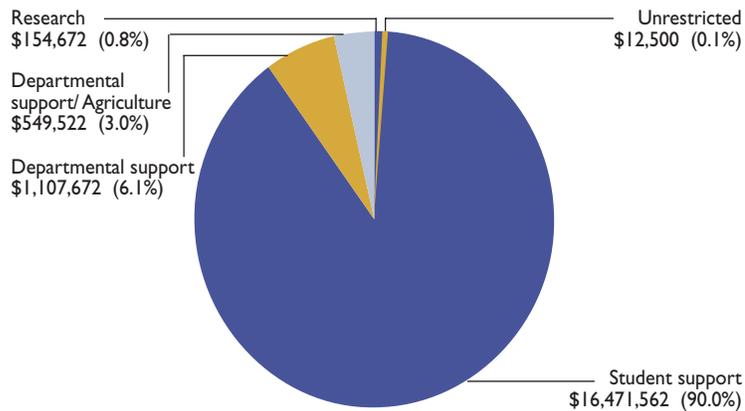


\*Includes \$14.9 million in funds received upon the distribution of a trust established over 50 years ago. A similar amount from the same gift was recorded at the Berkeley campus.

### GIFT SOURCE



### GIFT PURPOSE



# PRIVATE SUPPORT

## Foundation Leadership

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### UC BERKELEY FOUNDATION

Web site: <http://www.urel.berkeley.edu/foundation/>

#### Executive Officers

Chair: Dwight L. Barker '59, Orinda  
Vice Chair: Robert G. O'Donnell '65, MBA '66, Atherton  
Chair–Finance Committee: William F. Ausfahl '61, Moraga  
Vice Chair–Finance Committee: Cheryl Ann Valentine '79, MBA '91, Walnut Creek  
Chair–Investment Committee: Robert B. Beim '66, Atherton  
Chair–Governance Committee: Charlene Conrad Liebau '60, San Marino  
Vice Chair–Governance Committee: Grant N. Inman MBA '69, Orinda  
Chair–Programs Committee: Kathleen G. Correia '76, Lafayette  
Vice Chair–Programs Committee: Rick J. Holmstrom MBA '98, Palo Alto  
Immediate Past UCBF Chair: Nadine M. Tang '75, Kensington

#### Administrative Officers

President: Donald A. McQuade  
Vice President: F. Scott Biddy  
Vice President: Andrea K. Nakagawa '66, MBA '81  
Secretary: Jenny K. Hanson  
Assistant Secretary: Aileen K. Kim  
Treasurer: Robert W. Gendron  
Assistant Treasurer: Sylvia A. Worthington

### UC DAVIS FOUNDATION

Web site: <http://www.development.ucdavis.edu/found/>

#### Executive Officers

Chair: Charles Soderquist MS '73, PhD '78, Sacramento  
Vice Chair: Michael Sugawara, Sacramento  
Chair-- Finance and Investment Committee: Michael Child '76, Atherton  
Chair-Nominating Committee: Mark Friedman, Sacramento  
Chair-Development Committee: Tom Stallard '68, JD '75, Woodland  
Chair of Audit Committee: Terry Eager, El Macero  
Ex-officio: Celeste E. Rose, Sacramento  
Executive Director: Cheryl Brown Lohsé, Davis  
Chief Financial Officer: Rick Vorpe, Davis

### THE UCI FOUNDATION

Web site: [www.foundation.uci.edu](http://www.foundation.uci.edu)

#### Officers

Chair: Ted Smith  
Vice Chair–Finance and Investment: Rick Keller  
Vice Chair–Donor Relations: Martha F. Newkirk '72, MA '76, PhD '81  
Vice Chair–Major Gifts: Douglas K. Freeman  
Vice Chair–Nomination and Board Development: Jack Norberg  
Vice Chair–Public Advocacy and Community Relations: Timothy L. Strader, Sr.  
General Counsel: Paul Frederic Marx  
President: Thomas J. Mitchell, Vice Chancellor–University Advancement  
Chief Financial Officer: Lynn Rahn, Executive Director–Business and Finance, University Advancement  
Secretary, UCI Foundation Liaison: Margie Jacobs, University Advancement

### THE UCLA FOUNDATION

Web site: [www.foundation.ucla.edu](http://www.foundation.ucla.edu)

#### Executive Officers

President: Peter J. Taylor '80, Los Angeles  
Chair of the Foundation: Keenan Behrle JD '69, Los Angeles  
Immediate Past Chair of the Foundation: Leon E. Wentz '60, Atherton  
President-elect: Herbert G. Kawahara '51 BS, Rolling Hills Estates  
Chair–Campaign UCLA: Robert S. Wilson '53, Rancho Santa Fe  
General Counsel: William Finestone '65, MBA '66, JD '69, Pacific Palisades  
Executive Vice President: Michael C. Eicher, Vice Chancellor–External Affairs, Rolling Hills Estates  
Vice President–Development: Dennis Slon, Associate Vice Chancellor–Development, Los Angeles  
Vice President–Finance: Neal Axelrod '76, Assistant Vice Chancellor of Finance & Information Management, Los Angeles  
Executive Director and Secretary: Peter A. Hayashida '88, Assistant Vice Chancellor of External Affairs, Studio City

# PRIVATE SUPPORT

## Foundation Leadership

### UC MERCED FOUNDATION

Web site: [http://www.ucmerced.edu/about\\_merced/leadership.asp](http://www.ucmerced.edu/about_merced/leadership.asp)

#### Executive Officers

Chair: John Garamendi, Walnut Grove  
Vice Chair: Robert Carpenter, Merced  
Secretary: Frederick Ruiz, Dinuba  
Treasurer/Finance Committee Chair: JoAnn Bertges,  
San Francisco  
Corporate President: Carol Tomlinson-Keasey, Chancellor,  
Merced  
Corporate Vice President/Executive Director: Esteban  
Soriano, Vice Chancellor-University Advancement,  
Merced

### UC RIVERSIDE FOUNDATION

Web site: <http://www.foundation.ucr.edu/on.ucr.edu/>

#### Executive Officers

Chair: Amy S. Harrison '72, Grand Terrace  
Vice Chair: Open  
Treasurer: James A. Robinson, Riverside  
Immediate Past Chair: Henry W. Coil, Riverside  
President: Robert J. Nava, Interim Vice Chancellor-  
University Advancement, Riverside  
Executive Director: Georgia Elliott, Executive Director-  
Corporate & Foundation Relations, Riverside  
Secretary: Judith Lehr, Director-Research & Writing,  
Riverside  
Associate Treasurer: Elizabeth Wottring-Gonzales, Director-  
UA Finance & Administration, Riverside

### UC SAN DIEGO FOUNDATION

Web site: <http://www-er.ucsd.edu/ucsdfoundation>

#### Executive Officers

Chair: Duane J. Roth, San Diego  
Vice Chair: John Cambon '74, San Diego  
Vice Chair: Joan Jacobs  
Treasurer: Mary F Berglund

#### Operating Officers

Acting Chancellor: Marsha A. Chandler, La Jolla  
President: James M. Langley, Vice Chancellor-External  
Relations, La Jolla  
Assistant Treasurer: Steven W. Relyea, Vice Chancellor-  
Business Affairs, La Jolla

### UC SAN FRANCISCO FOUNDATION

Web site: <http://www.ucsf.edu/foundation/>

#### Executive Officers

Chair: Barbara Bass Bakar, San Francisco  
Vice Chair: William E. Oberndorf, San Francisco  
President: J. Michael Bishop, MD, Chancellor  
Executive Vice President: Bruce W. Spaulding, Vice  
Chancellor-University Advancement & Planning  
Vice President: Kathleen L. Kane, JD, Associate Vice  
Chancellor-Development  
Treasurer: Steve Barclay, Vice Chancellor-Administration and  
Finance  
Secretary: Michael D. Irwin, Executive Director-Financial  
Services Administration

### UC SANTA BARBARA FOUNDATION

#### Foundation Officers

Chair: Mark A. Bertelsen, Esq. '66  
Chair-Elect: Steven C. Mendell '63  
Past Chair: William R. Rauth III '66  
Vice Chair- Finance and Treasurer: William S. "Tom"  
Thomas Jr.  
Vice Chair-Development: Dexter J. Goodell '61  
Vice Chair-Stewardship: Kathryn D. McKee '59  
Secretary: Susan Worster '70  
Executive Director: Gary A. Greinke, Associate Vice  
Chancellor  
Chief Financial Officer: Eric J. Sonquist, Director-Finance  
and Administration

### UC SANTA CRUZ FOUNDATION

Web site: <http://www.foundation.ucsc.edu>

#### Executive Officers

President: Kenneth A. Feingold '71, Santa Monica  
Vice President: Anuradhu Luther Maitra, Saratoga  
Paliamentarian: Timothy J. Morgan '70, Santa Cruz

#### Administrative Officers

Executive Secretary: Ronald P. Suduiko, Vice Chancellor-  
University Relations  
Assistant Secretary: Linda S. Moore, Administrative  
Specialist, University Relations  
Treasurer: Thomas M. Vani, Vice Chancellor-Business and  
Administrative Services

# PRIVATE SUPPORT

## Glossary

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### **Gift data**

The gift data included in the Annual Report on the University Private Support Program is compiled using the UC system convention for gift reporting (i.e., includes pledges when made, not paid; outright gifts and private grants, not contracts; and gifts from foreign sources).

### **Regents**

The Board of Regents of the University of California is the corporate body entrusted by the enabling legislation with the University's organization and administration.

### **Campus foundations**

Campus foundations, originally established at the Los Angeles campus in 1945 and the Berkeley campus in 1948 as alumni foundations to secure private support for student aid, are independently incorporated as non-profit, public benefit corporations. They serve as the primary fundraising arm for their respective campuses. The strength of the foundations rests with the direction provided by their community-based volunteer trustees. Each foundation is staffed by University personnel. They are located on each campus.

## **SOURCES OF GIFTS**

This category identifies the original source of gifts and grants to The Regents and the campus foundations.

## **INDIVIDUALS**

### **Alumni**

Alumni are defined as former undergraduate or graduate students, full- or part-time, who have earned some credit toward a degree offered by a particular campus of the University of California.

### **Individuals (non-alumni)**

All individual donors who are not alumni are covered by this classification, including: parents, faculty, staff, and trustees.

## **ORGANIZATIONS**

### **Campus-related organizations**

This classification covers student groups, alumni associations, and University support groups, but not campus foundations. A transfer to The Regents from a campus foundation is reported in the classification appropriate to the original donor when the gift was received by the campus foundation.

### **Corporations**

This classification covers both for-profit entities and non-profit entities that are funded by for-profit entities.

For-profit entities are defined as corporations, businesses, partnerships, and cooperatives that have been organized for profit-making purposes, including corporations owned by individuals and families, incorporated professional individuals, and other closely-held companies.

Examples of non-profit entities that are funded by for-profit entities include: industry or trade associations; professional, union, or lobbying organizations; and non-profit organizations funded by one or more companies or individuals operating for profit, including corporate foundations.

### **Foundations**

This classification covers private tax-exempt entities established and operated exclusively for charitable purposes; non-profit foundations or charitable trusts, including funds or endowments designated by the Internal Revenue Service as grant-making foundations; community foundations; family foundations; or charitable trusts. This classification excludes corporate foundations (which are classified as for-profit entities), campus foundations or quasi-government entities such as the National Endowment for the Humanities.

### **Other sources**

This classification covers all non-profit organizations other than those described above, including fundraising consortia, religious organizations, and higher educational institutions or associations.

## **PURPOSES OF GIFTS**

This category identifies the intended use of private support as designated by the donor or grantor.

### **Research**

This classification applies to private support that is restricted to scientific, technical and humanistic investigation, including salaries and other support of research projects.

### **Student support**

This classification includes support that is restricted to non-repayable financial aid to undergraduate or graduate students, including scholarships, fellowships, awards, prizes, and private support for work-study students, as well as gift funds to support loans to be repaid by undergraduate and graduate students.

# PRIVATE SUPPORT

## Glossary

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### **Instruction**

This classification is restricted to support for seminars, conferences, lecture programs, and teaching awards, including salaries, honoraria and employee benefits.

### **Campus improvement**

This classification includes support for ongoing operation of the physical plant, including its buildings and grounds, other facilities, and equipment. It also includes gifts and private grants of real and personal property, including equipment and works of art for use by the University. It also covers gifts and private grants that are restricted by the donor or grantor to purchase buildings, other facilities, equipment, and land for use by the University; or to construct or carry out major renovation of buildings and other facilities; or to retire indebtedness. In addition, the classification applies to gifts of library materials and monetary support that are restricted to acquire, restore, and preserve books, periodicals, manuscripts, maps, and related materials; to acquire audiovisual and other equipment; or to support other activities of campus libraries.

### **Departmental support**

This classification covers gifts that are restricted by the donor to a particular academic division, department or entity, but otherwise unrestricted. It also covers gifts that are restricted by the donor to a particular academic division, department or unit, and further restricted by the donor to a particular purpose for which no other purpose category is listed, including endowed chairs. In addition, it covers gifts and grants that have been given for support of agricultural research and public service, which the Berkeley, Davis and Riverside campuses and the systemwide office break out separately, but excludes instruction and student financial aid.

### **Department support: Agriculture**

This classification is used by the Berkeley, Davis and Riverside campuses and the Office of the President only. It covers gifts and grants that have been given for support of agriculture research and public service, but excludes instruction and student financial aid.

### **Other purposes**

This classification covers support of non-instructional services beneficial to individuals and groups external to the University (e.g., exhibits, museums, patient care,

public service, and similar facilities and programs); and gifts restricted by the donor for endowment, which maintains the principal in perpetuity, but otherwise unrestricted by the donor as to use of the endowment income.

### **Unrestricted**

This classification includes gifts made by the donor for current use without restriction, regardless of any subsequent administrative designation or purpose.

## **TYPE OF ASSETS**

This category identifies the form (type of asset) of a gift at the time it is transferred to the University or the campus foundation.

### **Cash**

This classification covers currency, coins, checks, money orders, and bank drafts.

### **Securities**

This classification includes stocks, bonds, and related instruments such as promissory (mortgage) notes and insurance policies if maintained rather than surrendered for cash.

### **Real property**

This classification applies to real estate, including land, buildings, and other improvements; and to oil, mineral and related rights. Real property is reported at its fair market value.

### **Pledge**

This classification applies to the promise to make a gift or private grant, the amount of the gift or grant to be paid within five years by the donor, usually in installments.

### **Non-monetary items**

This classification applies to personal or company property (except securities and real property), including works of art, books, intellectual property, and scientific and other equipment. Non-monetary gifts are reported at their fair market value.