COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN:

ORGANIZATION:

University of California (UCR)
Riverside Campus
, CA

DATE: 02/12/2015

FILING REF.: The preceding agreement was dated 01/30/2009

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: Facilities And Administrative Cost Rates

RATE TYPES: FIXED FINAL PROV. (PROVISIONAL) PRED. (PREDETERMINED)

EFFECTIVE PERIOD

TYPE	FROM	TO	RATE(%)	LOCATION	APPLICABLE TO
PRED.	07/01/2013	06/30/2015	52.00	On-Campus	Organized Research
PRED.	07/01/2015	06/30/2016	54.00	On-Campus	Organized Research
PRED.	07/01/2016	06/30/2018	55.00	On-Campus	Organized Research
PRED.	07/01/2018	06/30/2019	55.50	On-Campus	Organized Research
PRED.	07/01/2013	06/30/2019	26.00	Off-Campus	Organized Research
PRED.	07/01/2013	06/30/2015	58.50	On-Campus	Instruction
PRED.	07/01/2015	06/30/2019	51.00	On-Campus	Instruction
PRED.	07/01/2013	06/30/2019	26.00	Off-Campus	Instruction
PRED.	07/01/2013	06/30/2015	44.00	On-Campus	Other Sponsored Activities
PRED.	07/01/2015	06/30/2019	51.50	On-Campus	Other Sponsored Activities
PRED.	07/01/2013	06/30/2015	18.00	Off-Campus	Other Sponsored Activities
PRED.	07/01/2015	06/30/2019	10.60	Off-Campus	Other Sponsored Activities
PROV.	07/01/2019	Until Amended	Use same rates and conditions as those cited for fiscal year ending June 30, 2019.		

*BASE

Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel and up to the first $25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of $25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.

INSTITUTION:
University of California (UCR)
Riverside Campus

AGREEMENT DATE: February 12, 2015

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES:
Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

DEFINITION OF EQUIPMENT:
Equipment is defined as tangible nonexpendable personal property having a useful life of more than one year, and an acquisition cost of $5,000 or more per unit.

Treatment of Other Fringe Benefits:
This organization charges the actual cost of each fringe benefit direct to Federal projects. However, it budgets fringe benefits on project proposals by using a composite rate. Following is the list of fringe benefits at the University:
FICA, WORKERS COMPENSATION, RETIREMENT SYSTEM, LIFE/HEALTH/DENTAL/DISABILITY AND UNEMPLOYMENT INSURANCE.

DEFINITION OF ON-CAMPUS, OFF-CAMPUS AND SPECIAL RATES:

DEFINITION OF OFF-CAMPUS RATE:
The off-campus rate is applicable to those projects conducted at facilities not owned or leased by the University. However, if the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used.

PROJECTS CONDUCTED ENTIRELY ON-CAMPUS OR ENTIRELY OFF-CAMPUS:
Projects conducted entirely on-campus or entirely off-campus will be applied the on-campus or off-campus rate respectively.

PROJECTS CONDUCTED PARTIALLY OFF-CAMPUS AND PARTIALLY ON-CAMPUS:
If the project involves work at bothon-campus and off-campus sites, either the on-campus or off-campus rate generally should be applied, consistent with where the majority of the work is to be performed. Salary cost is generally accepted as a measure of work performed in terms of the total project.

USE OF BOTH ON-CAMPUS AND OFF-CAMPUS RATES:
The use of both on-campus and off-campus rates for a given project may be justified if both of the respective rates can clearly be identified with a significant portion of salaries and wages of the project. For purposes of this provision, significant is defined as approximately 25% or more of the total costs and a project's total salary and wage costs exceed $250,000.

OTHER SPECIAL RATES:
These rates apply only to the facility or program to which they are identified. If any additional special rates become necessary the establishment of such rates should be coordinated through the cognizant negotiation agency.

NEXT PROPOSAL DUE DATE
A proposal based on actual costs for fiscal year ending 06/30/18 will be due no later than 12/31/19.

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AGREEMENT DATE: February 12, 2015

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted: such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-21, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:

University of California (UCR) Riverside Campus

(INSTITUTION)

(SIGNATURE)

Nathan Brostrom

(NAME)

Executive Vice President - Chief Financial Officer

(TITLE)

3/10/2015

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

(SIGNATURE)

Arif Karim

(NAME)

Director, Cost Allocation Services

(TITLE)

2/12/2015

(DATE) 0229

HHS REPRESENTATIVE: Helen Fung

Telephone: (415) 437-7820

EXHIBIT A

UNIVERSITY OF CALIFORNIA, RIVERSIDE
FACILITIES AND ADMINISTRTIVE COST PROPOSAL
FISCAL YEAR ENDING JUNE 30, 2014 TO JUNE 30, 2019

	ORGANIZED RESEARCH											
	JULY 1, 2013 THROUGH JUNE 30, 2015			JULY 1, 2015 THROUGH JUNE 30, 2016			JULY 1, 2016 THROUGH JUNE 30, 2018			JULY 1, 2018 THROUGH JUNE 30, 2019		
		ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS
BUILDING DEPRECIATION		6.2%			6.8%			7.0%			7.1%	
EQUIPMENT DEPRECIATION		2.5%			2.0%			2.2%			2.3%	
INTEREST		5.9%			9.0%			9.2%			9.3%	
OPERATIONS & MAINTENANCE		9.5%			9.0%			9.2%			9.3%	
LIBRARY		1.9%			1.2%			1.4%			1.5%	
GENERAL ADMINISTRATION	7.0%			5.9%			5.9%			5.9%		
DEPARTMENT ADMINISTRATION	15.8%			16.8%			16.8%			16.8%		
SPONSORED PROJECT ADMINISTRATION	2.8%			3.2%			3.2%			3.2%		
STUDENT SERVICE ADMINISTRATION	0.4%			0.1%			0.1%			0.1%		
ADMINISTRATION COMPONENTS	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%
TOTAL		52.0%	26.0%		54.0%	26.0%		55.0%	26.0%		55.5%	26.0%

	INSTRUCTION & DEPARTMENTAL RESEARCH						OTHER SPONSORED ACTIVITIES					
	JULY 1, 2013 THROUGH JUNE 30, 2015			JULY 1, 2015 THROUGH JUNE 30, 2019			JULY 1, 2013 THROUGH JUNE 30, 2015			JULY 1, 2015 THROUGH JUNE 30, 2019		
		ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS
BUILDING DEPRECIATION		5.3%			5.9%			4.4%			11.0%	
EQUIPMENT DEPRECIATION		1.6%			0.5%			1.5%			1.9%	
INTEREST		3.0%			3.5%			3.7%			8.3%	
OPERATIONS & MAINTENANCE		6.8%			5.8%			11.6%			15.8%	
LIBRARY		15.8%			9.3%			4.8%			3.9%	
GENERAL ADMINISTRATION	4.6%			4.1%			10.3%			4.8%		
DEPARTMENT ADMINISTRATION	9.6%			12.0%			3.0%			1.2%		
SPONSORED PROJECT ADMINISTRATION	4.4%			2.3%			3.9%			4.2%		
STUDENT SERVICE ADMINISTRATION	7.4%			7.6%			0.8%			0.4%		
ADMINISTRATION COMPONENTS	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	18.0%	18.0%	18.0%	10.6%	10.6%	10.6%
TOTAL		58.5%	26.0%		51.0%	26.0%		44.0%	18.0%		51.5%	10.6%

ADMINISTRATIVE COMPONENTS ARE CAPPED AT 26.0% IN ACCORDANCE WITH OMB A-21, DATED JULY 28, 1993.

CONCUR:

Matthew Booth

(SIGNATURE)

Executive Vice President - Chief Financial Officer

TITLE

3/11/2015

DATE