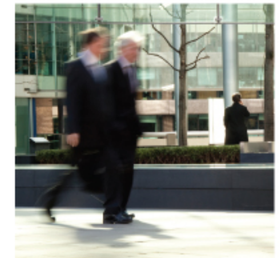


R11 Upgrade Enhancements

University of California – October 5, 2015

TeamMate AM R11 Enhancements

Melissa Ewing, Senior TeamMate Consultant

Wolters Kluwer
Audit, Risk & Compliance

When you have to be right

Agenda

Introduction

- R10.4.5 to R11.1 Upgrade – Status Update
- Instructor Introductions
- Session Objectives

End User Education

- Suite Wide R11 Feature Enhancements
- TeamStore – Leveraging Content in Audit Projects
- TeamMate Analytics – Access & Quick Start Resources

Summary & Wrap-up

- Questions
- Evaluations

TeamMate® AM
Audit Management System

UC TeamMate

Suite Wide Accomplishments R10.4

August 2014

TeamMate® AM
Audit Management System

UC TeamMate - Added Integration
Suite Wide Enhancements R11.1

Editable Hyperlink Labels
TeamMate Analytics
New Text Editor
Work Program Usability
TeamTec Workflow
Contextual Filtering
Procedure Viewer
TeamTalk
Sign-Off's
Custom Perspectives
TeamEWP
TeamMate R11
TeamCentral
Multiple Attachments
Intuitive User Interface
History Tab
New Action ICONS
Integrated Survey Tool
Implementation Tracking Update
Link to Project

Session Objectives

- **Understand Changes from R10.4 to R11**
- **Leverage new R11 features in our audits**
- **Leverage TeamStore Content in our audits**
- **Leverage TeamMate Analytics**

Auditor Changes & Feature Enhancements

TeamEWP

- Text Editor - Platform Upgrade
- Procedure Viewer – Work Program Usability Improvements
- Editable Hyperlink Labels

TeamTalk

- View & Add Notes Buttons
- Preparer & Reviewer Sign-off

TeamTEC

- Copy Timesheet | Multiple Approval Roles

TeamCentral

- Implementation Tracking Changes
- Survey Enhancements

Auditor Changes & Feature Enhancements
TeamEWP Enhancements

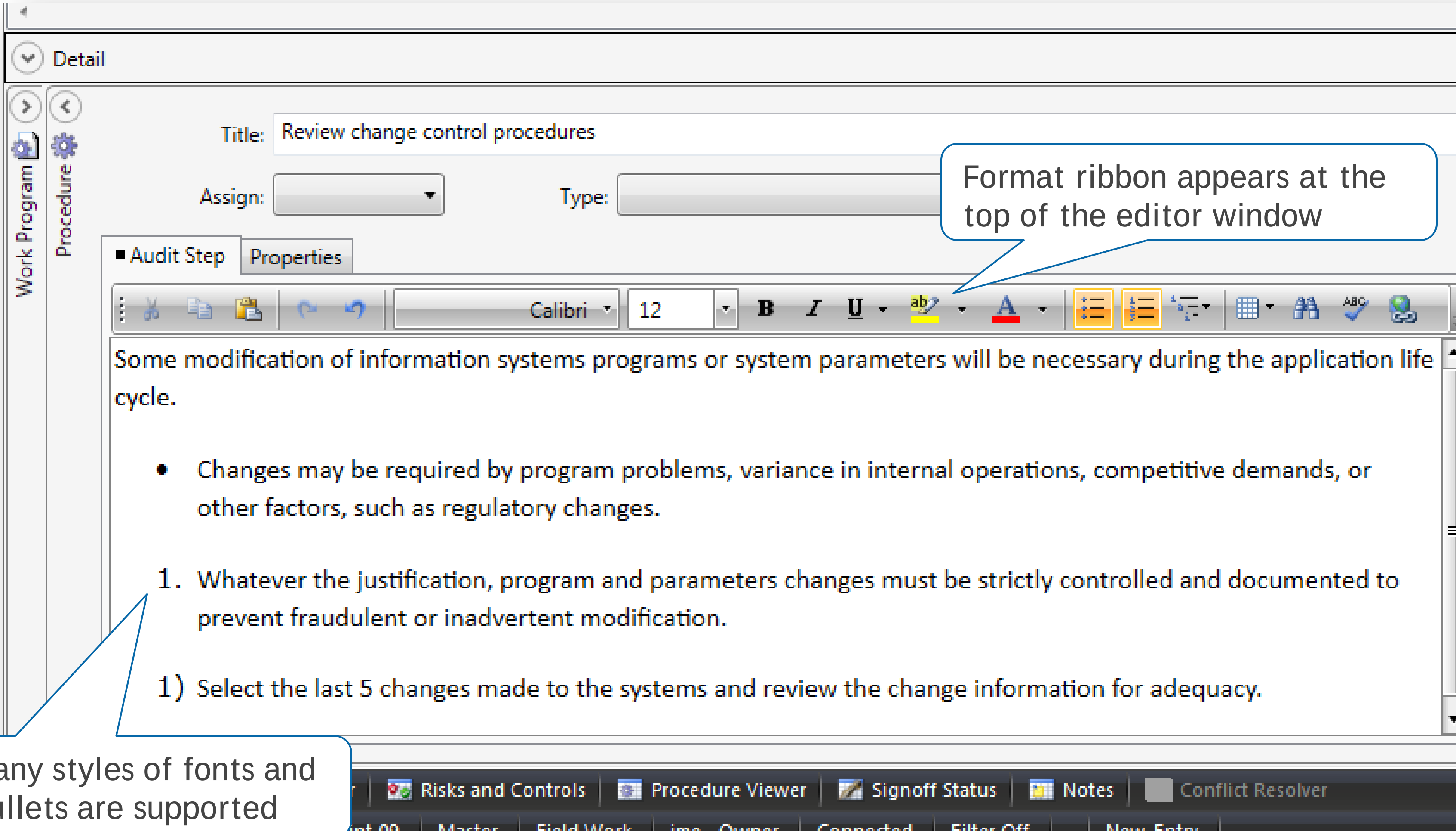
- Usability Enhancements
  - More control of information displayed on screen

R11 → **R11.1** →

Text Editor Platform Upgrade	Procedure View Enhancements	Custom Procedure View	Filtering & Grouping	Other
Format Ribbon	Full Grid View	Column Manager	Slide Out Panel	Editable Hyperlink Labels
Spell as you type	Split View	Hide / Unhide Fields	Convenient Checkboxes	
Zoom-In on Text	Details Pane View	Re-Order Fields	Selected Filters at Top	

Text Editor – Platform Upgrade

- Format ribbon

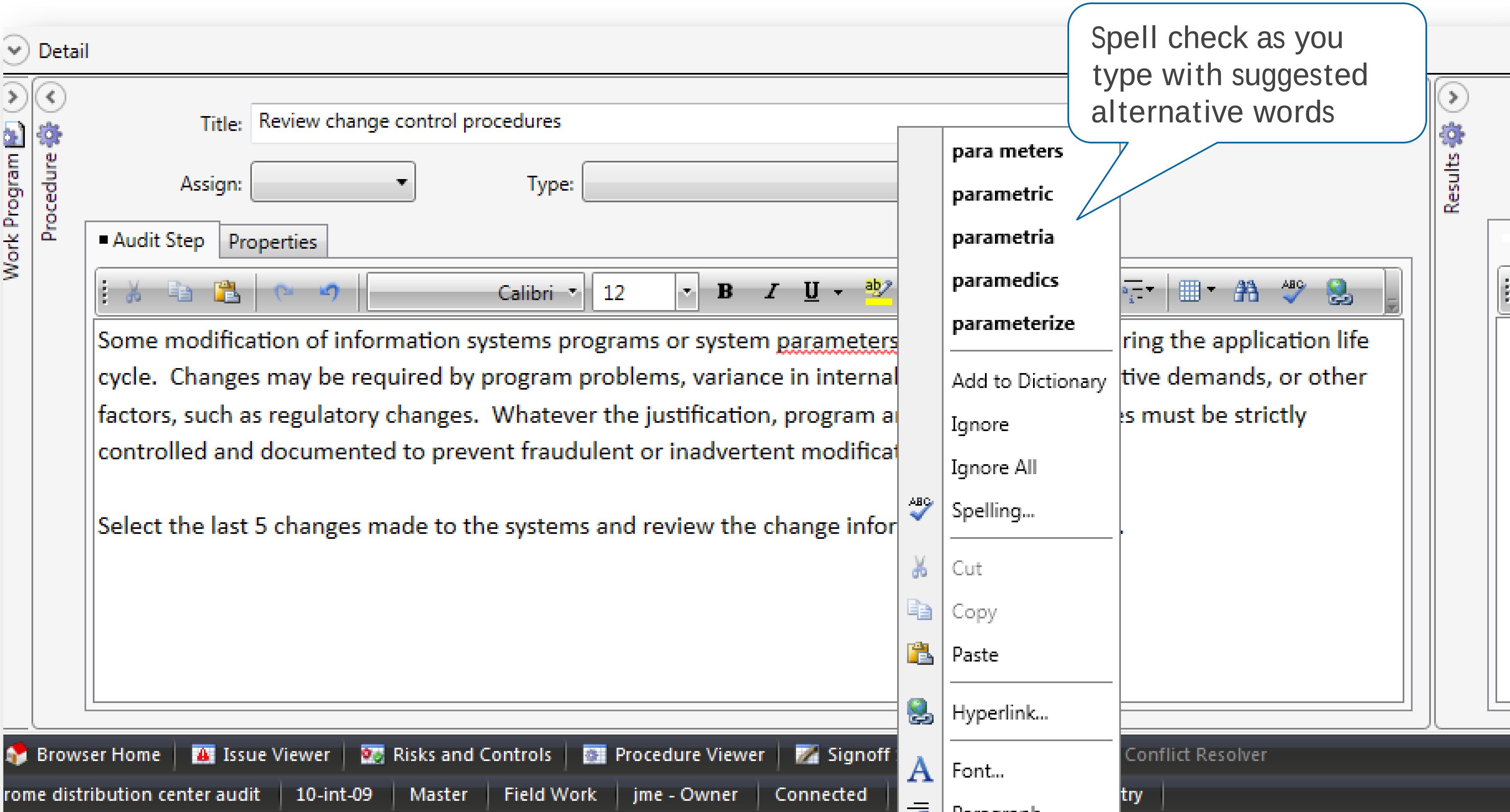


Format ribbon appears at the top of the editor window

Many styles of fonts and bullets are supported

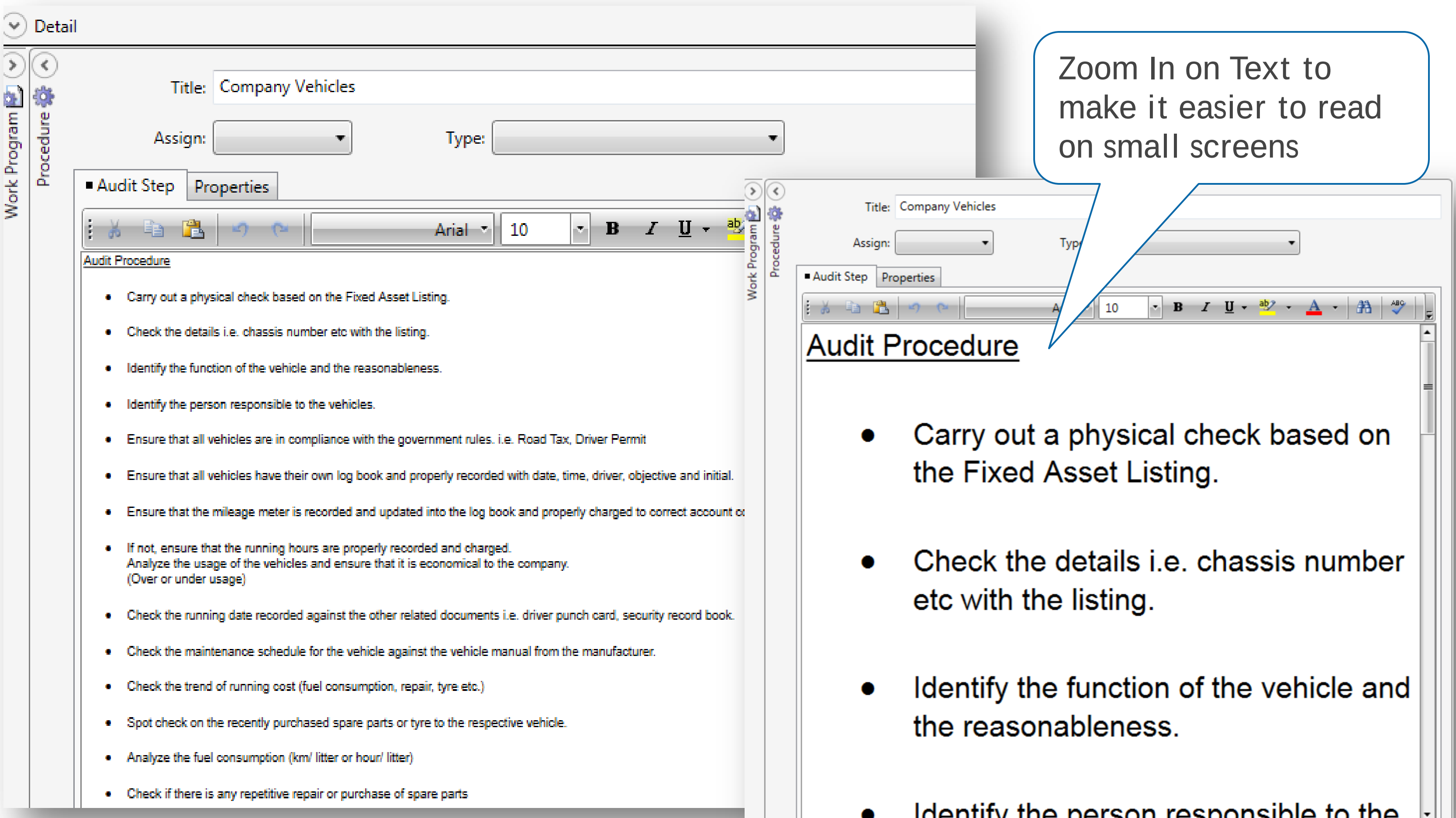
Text Editor – Platform Upgrade

- Spell Check as you type with Suggestions



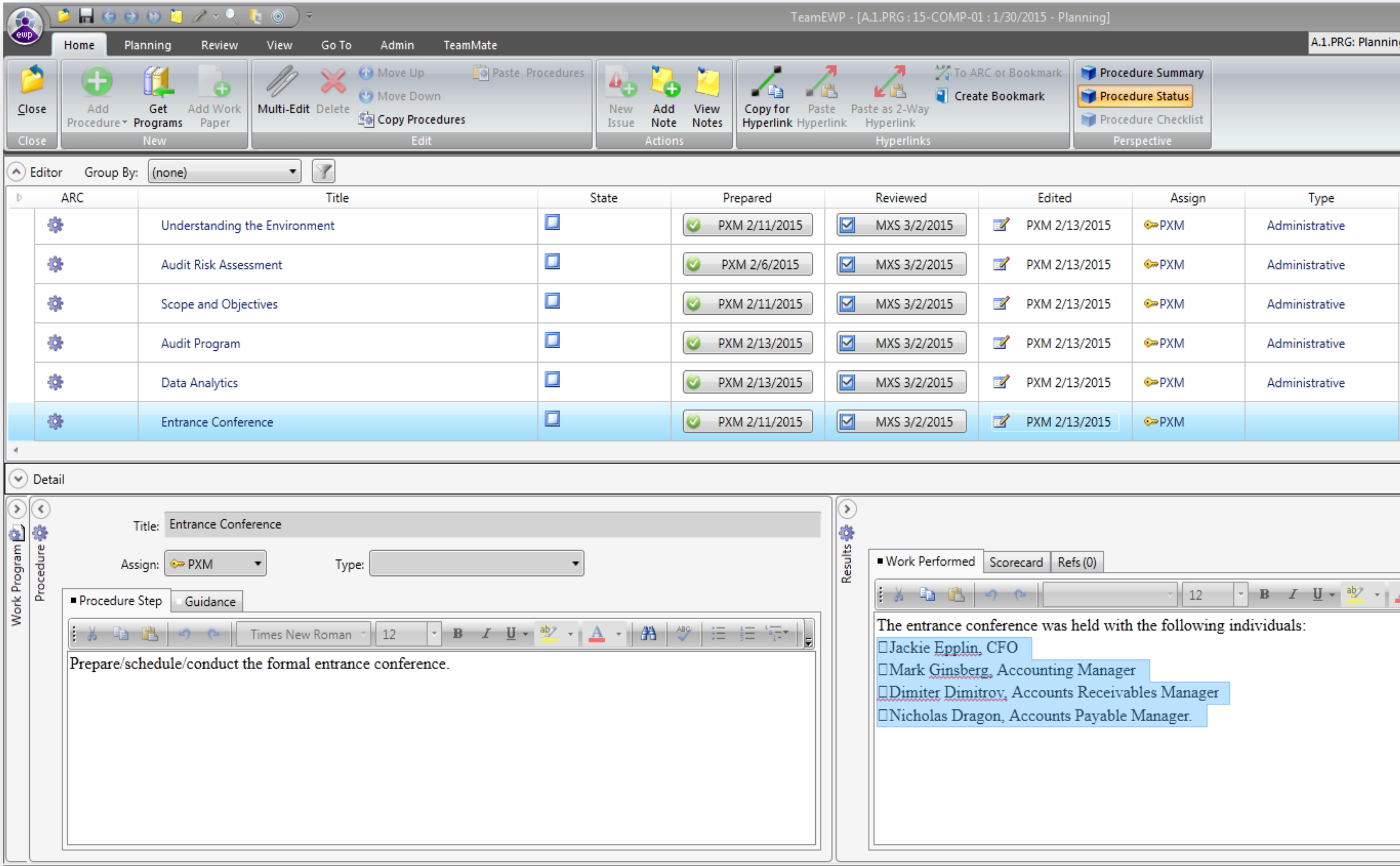
Text Editor – Platform Upgrade

- Zoom-In/Out to increase/ decrease visible text size



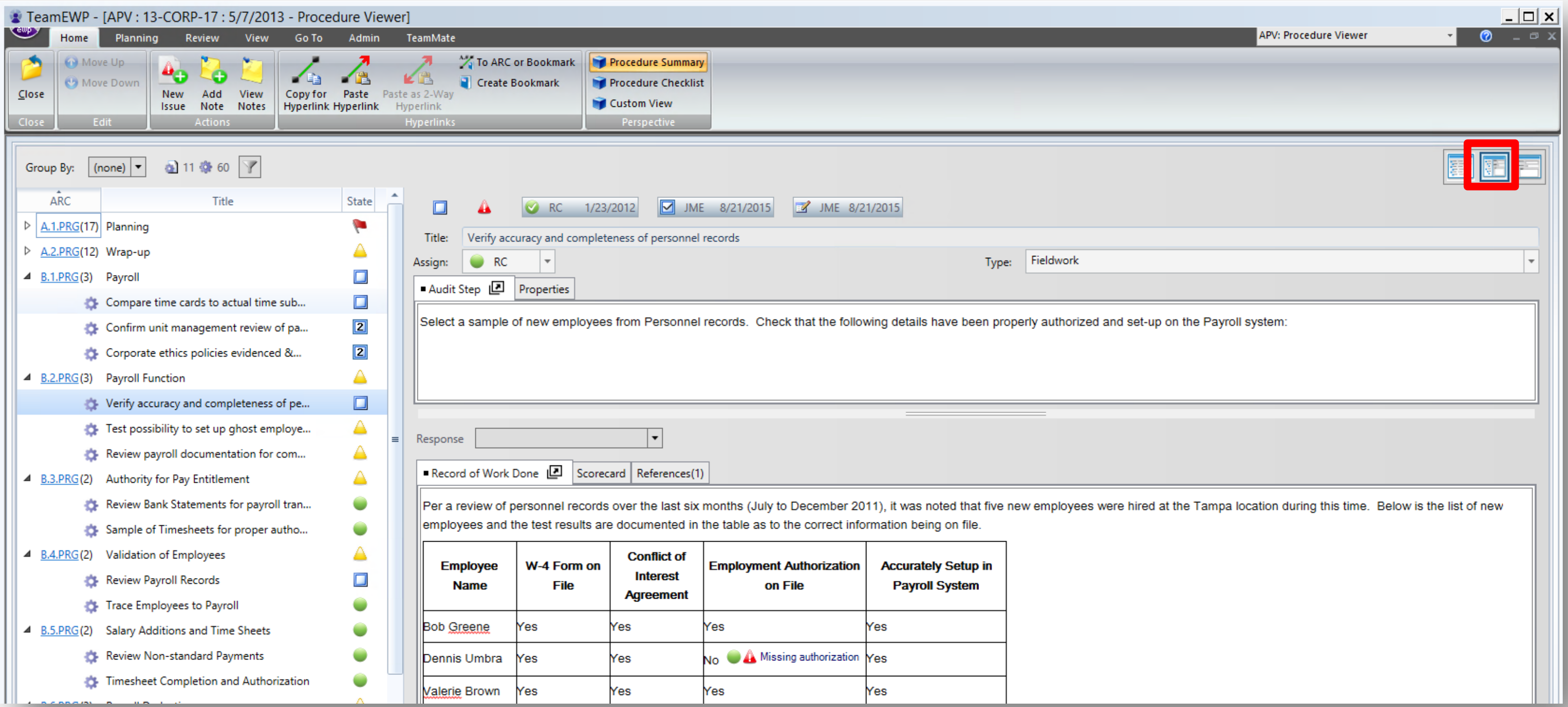
Procedure View Enhancements

- OLD – Procedure Layout & Perspectives



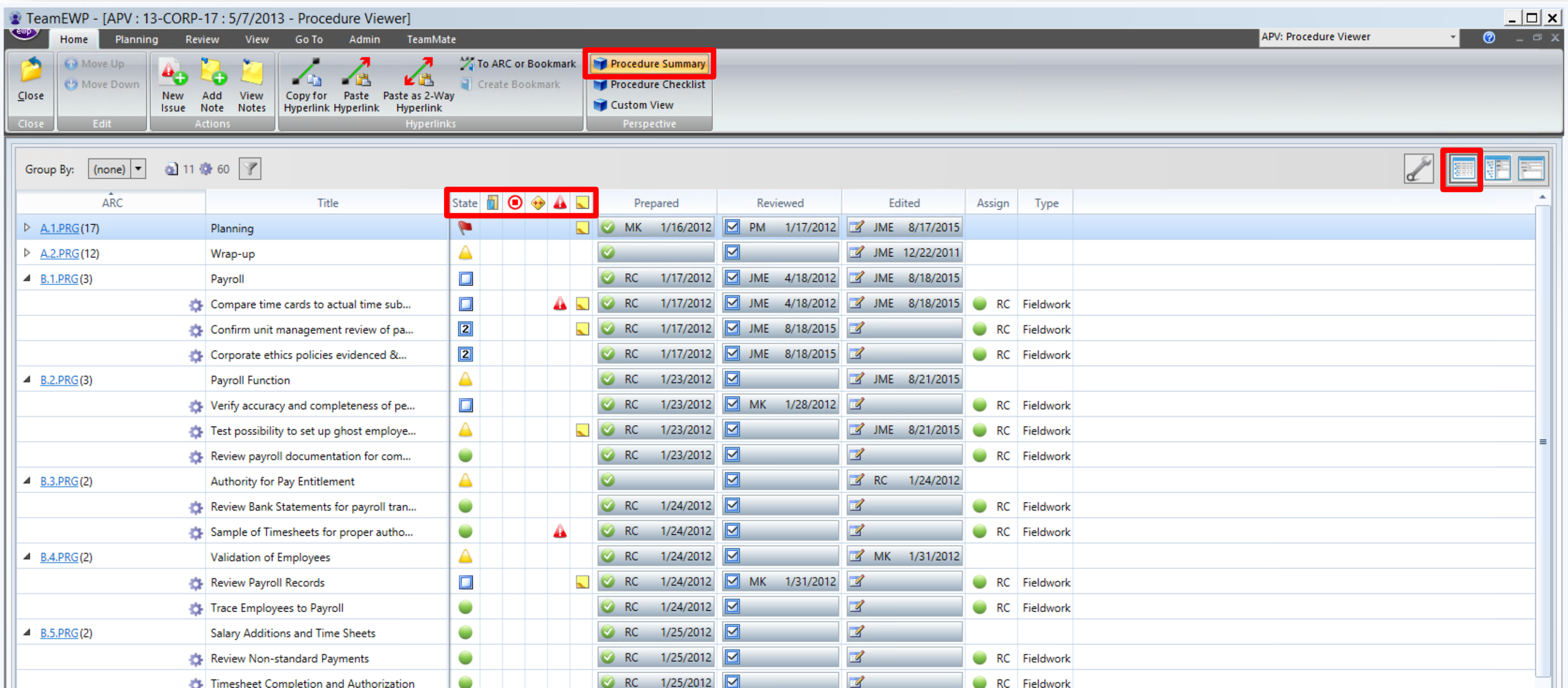
Procedure View Enhancements

- NEW Procedure Layout – SPLIT VIEW



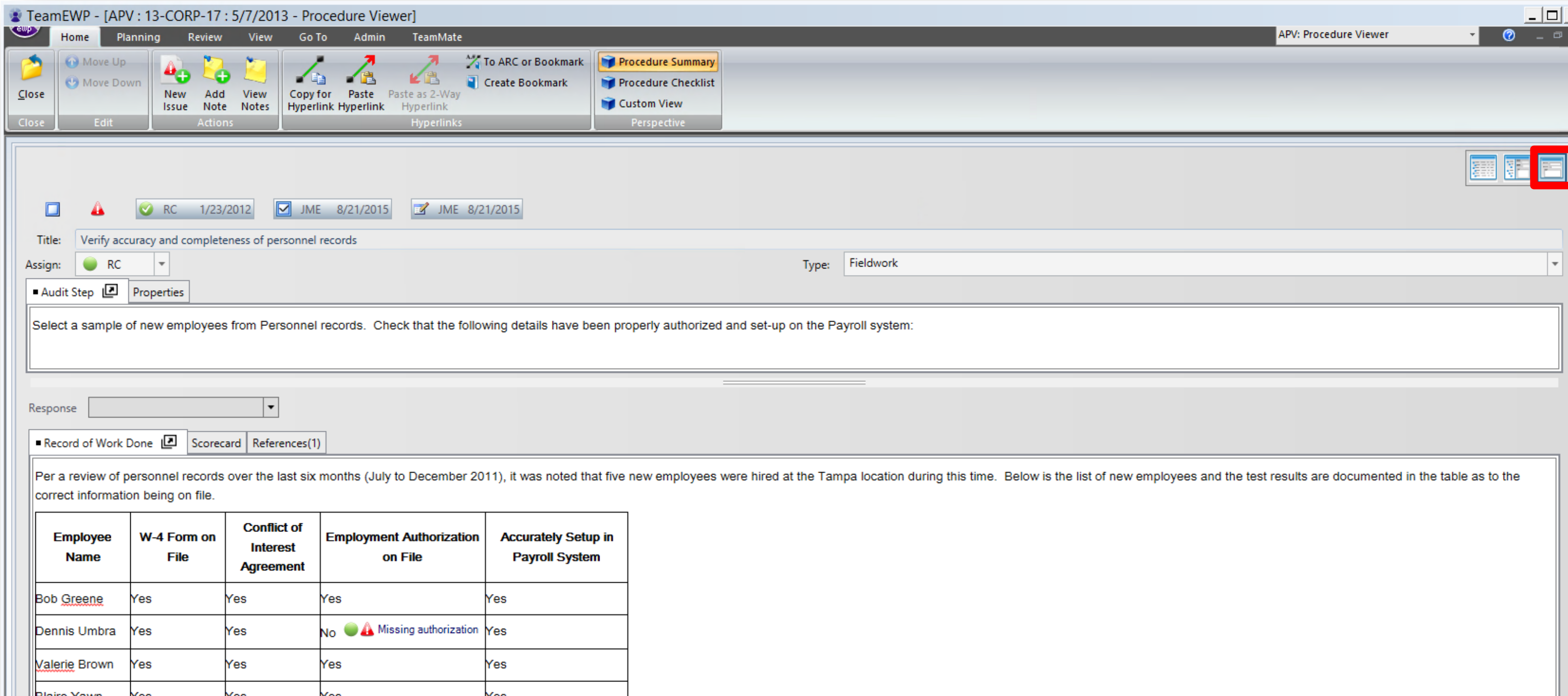
Procedure View Enhancements

- NEW Procedure Layout – FULL GRID VIEW



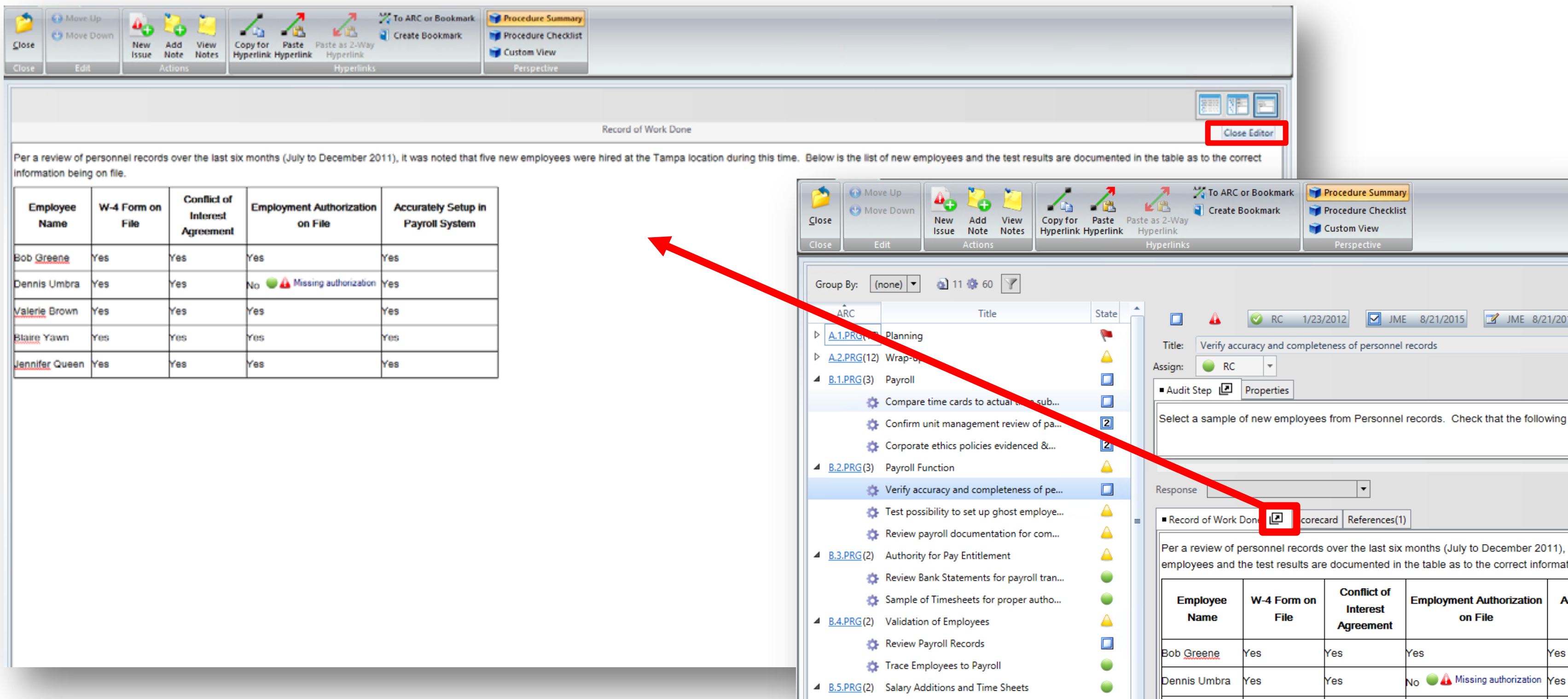
Procedure View Enhancements

- NEW Procedure Layout – DETAILS PANE VIEW



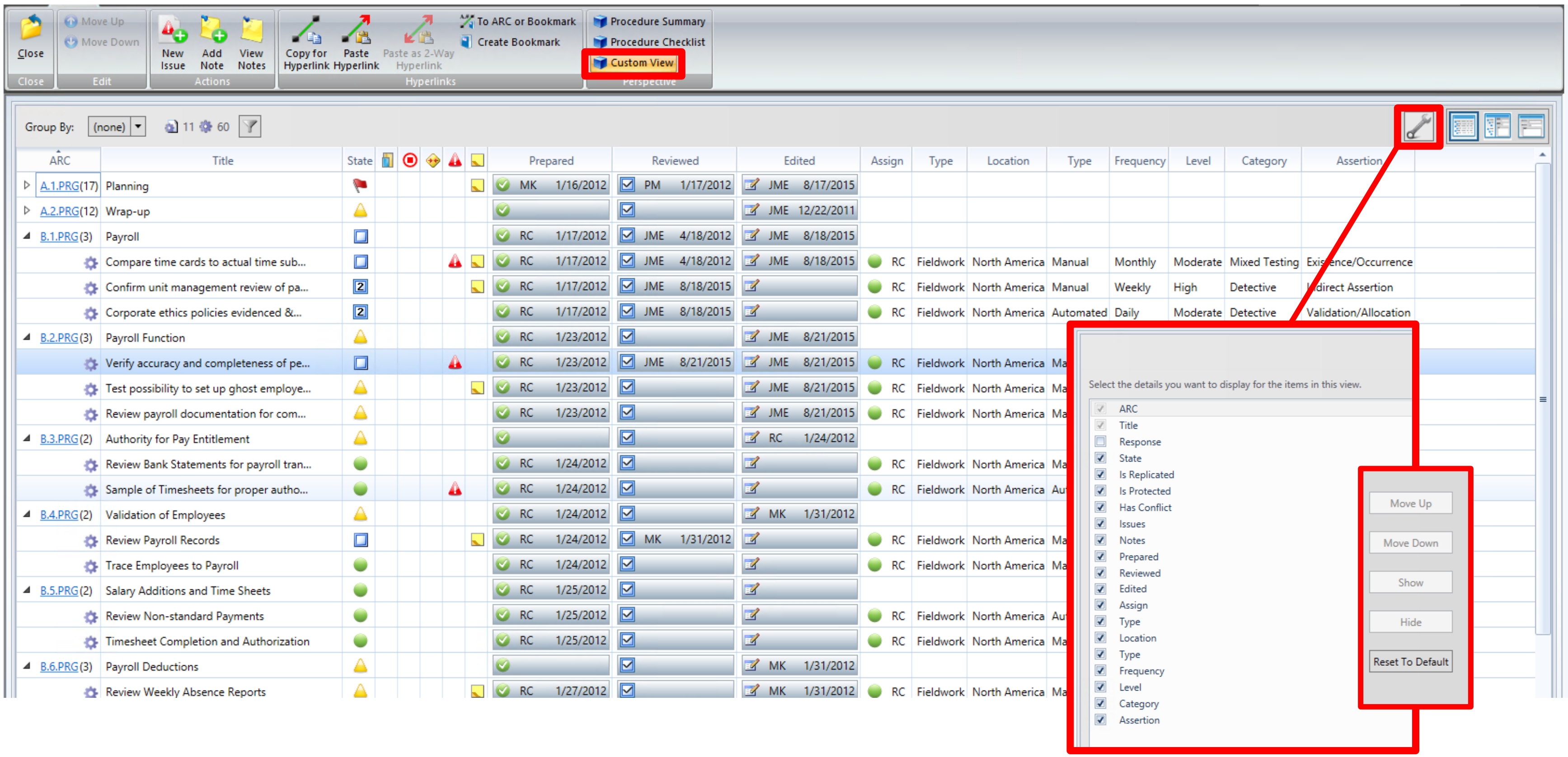
Procedure View Enhancements

- NEW Procedure Layout – EXPAND PANE OPTION



Procedure View Enhancements

- NEW Procedure Layout – CUSTOM VIEW



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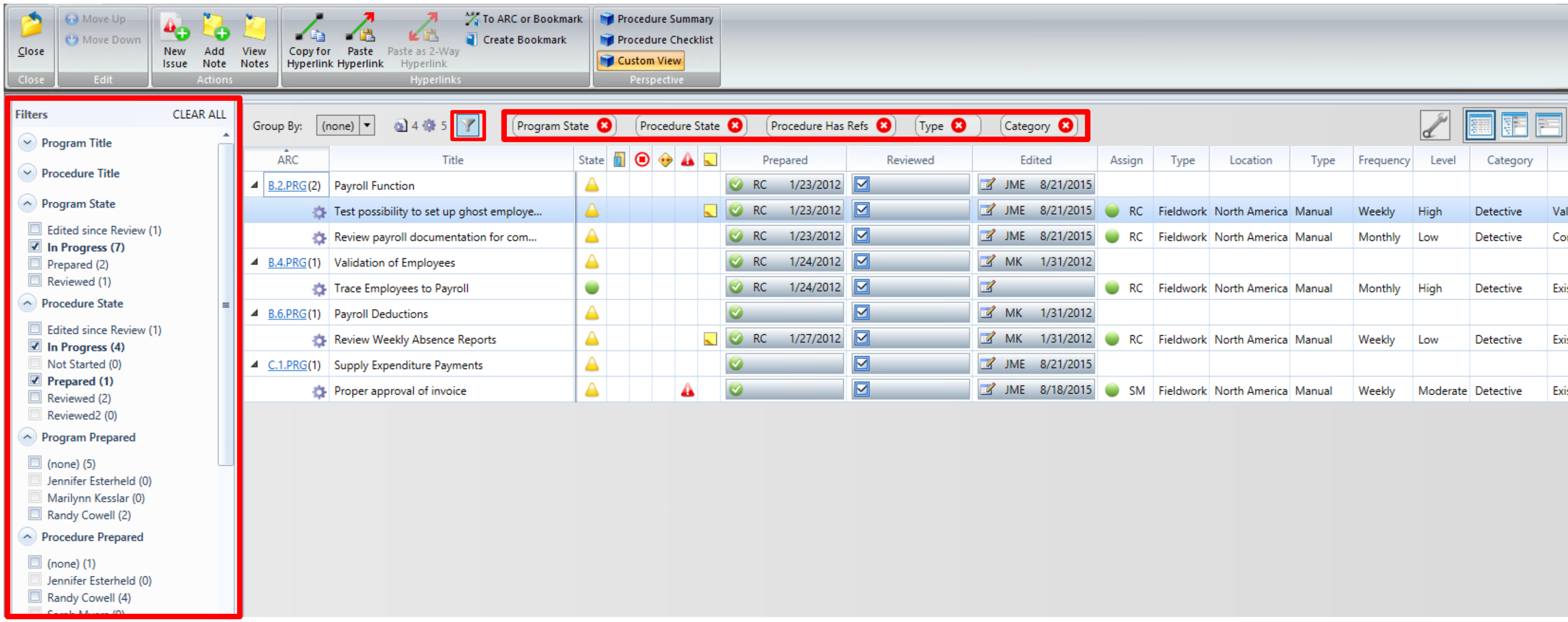
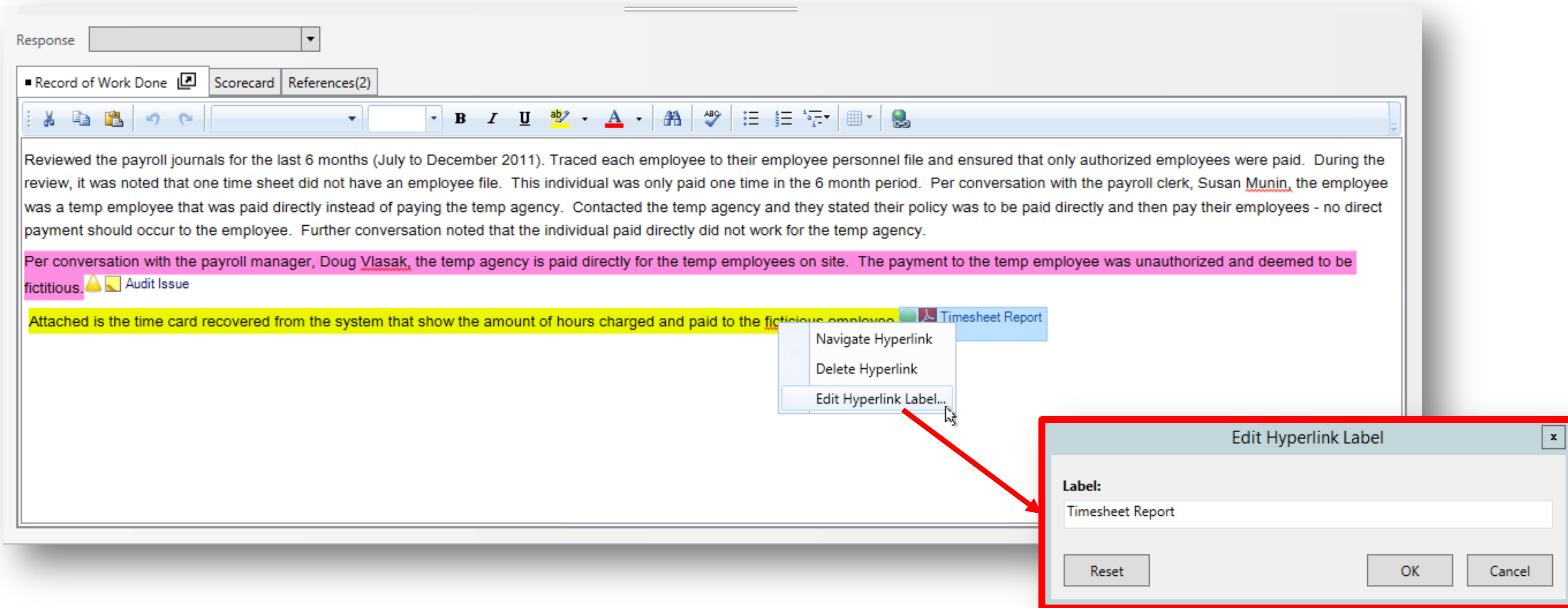
Procedure View Enhancements

- NEW Procedure Layout – PROCEDURE FILTERING



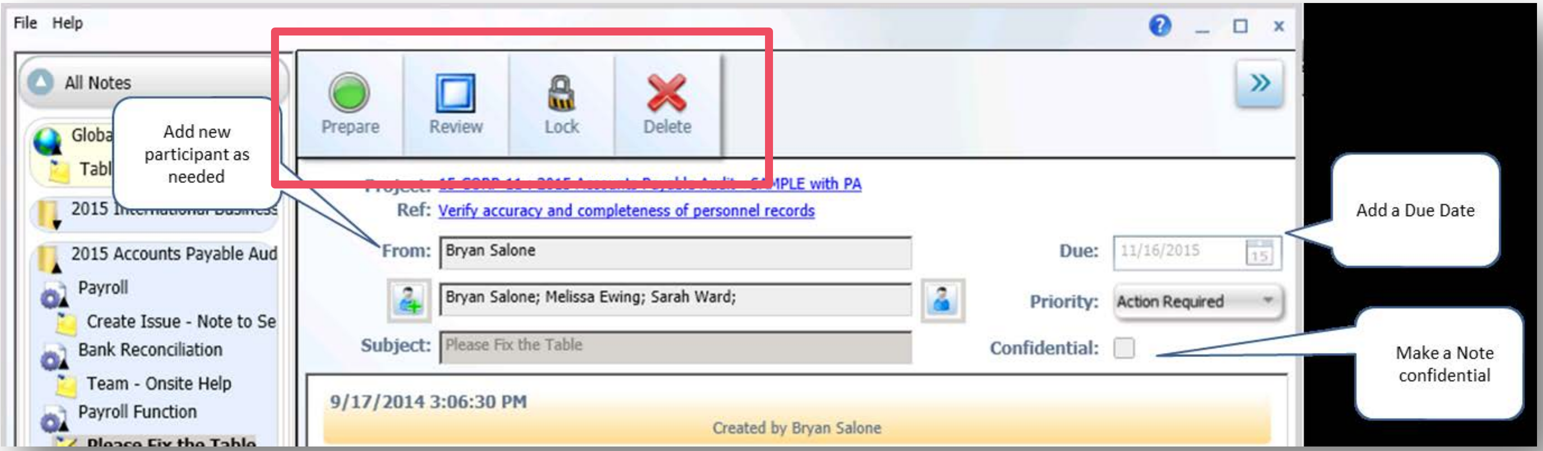
Procedure View Enhancements

- NEW CUSTOM HYPERLINKS



TeamTalk Enhancements

- NEW Preparer and Reviewer Signoff Buttons



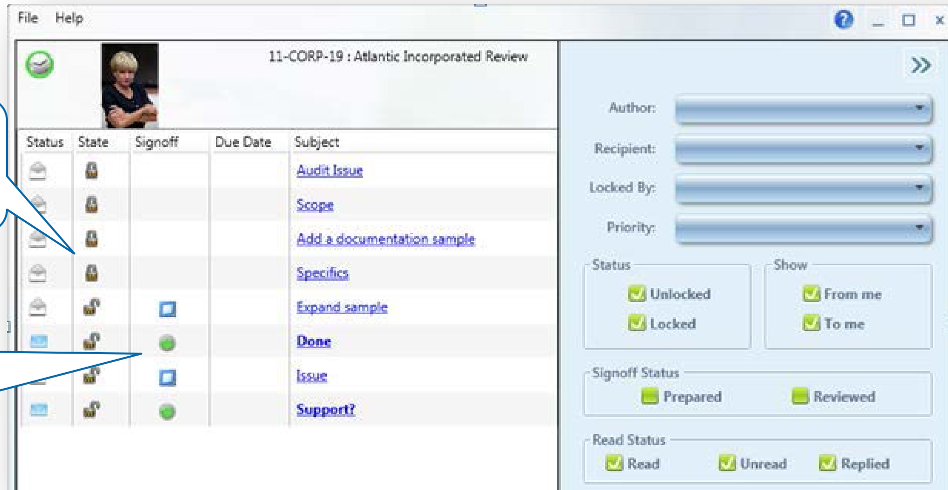
- Support for Undo Signoffs

TeamTalk Enhancements

- NEW Workflow ICONS

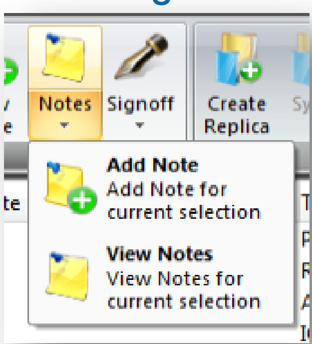
Locked states replace state of open or closed notes

Icons match workflow for procedures and issues

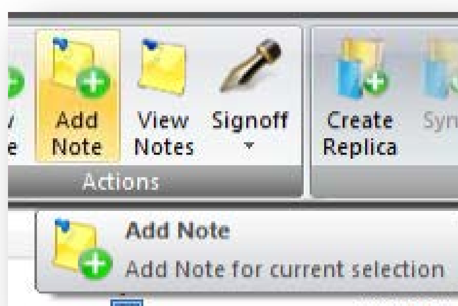


- Distinct Add & View Notes Buttons

OLD-Single Button

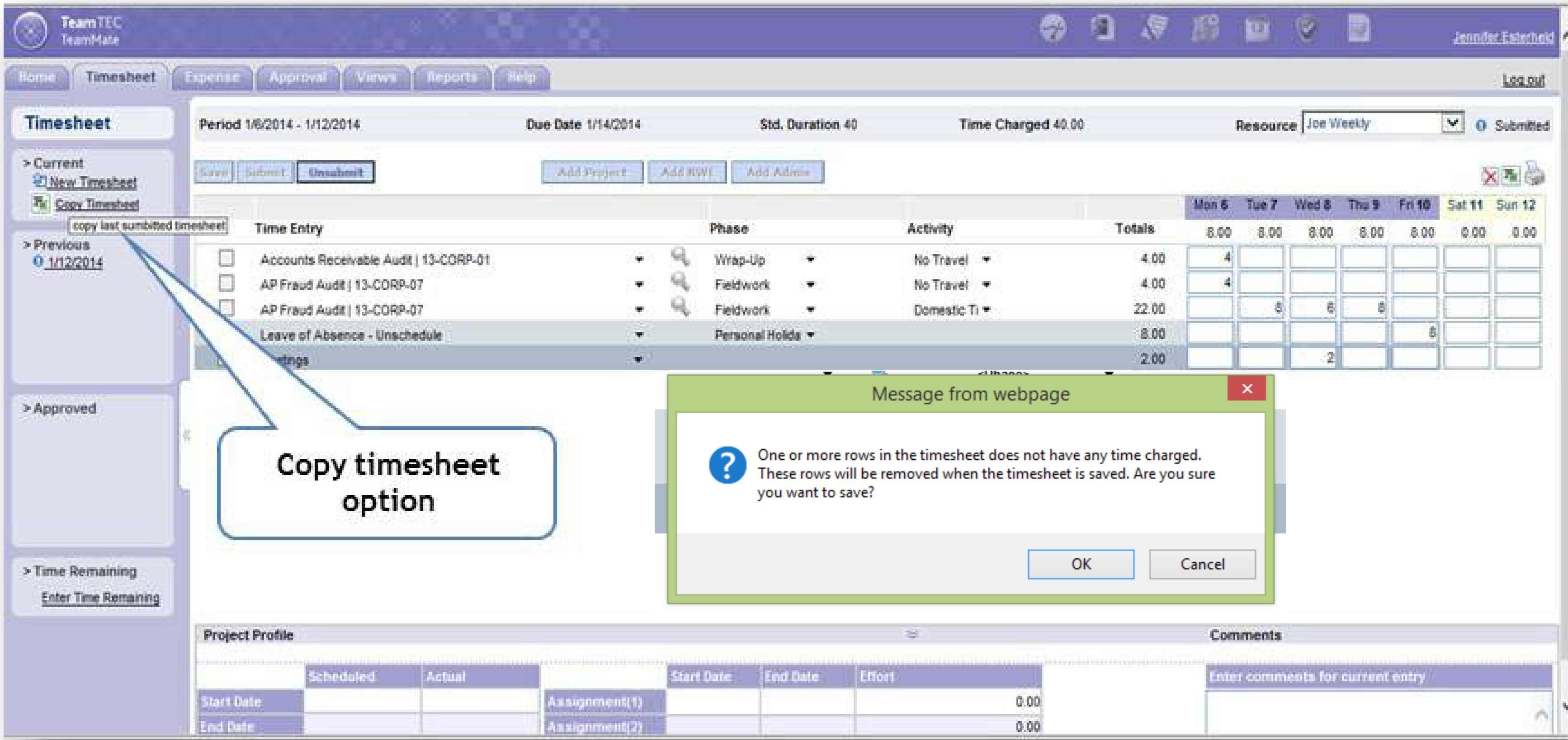


NEW-Separate Function Buttons



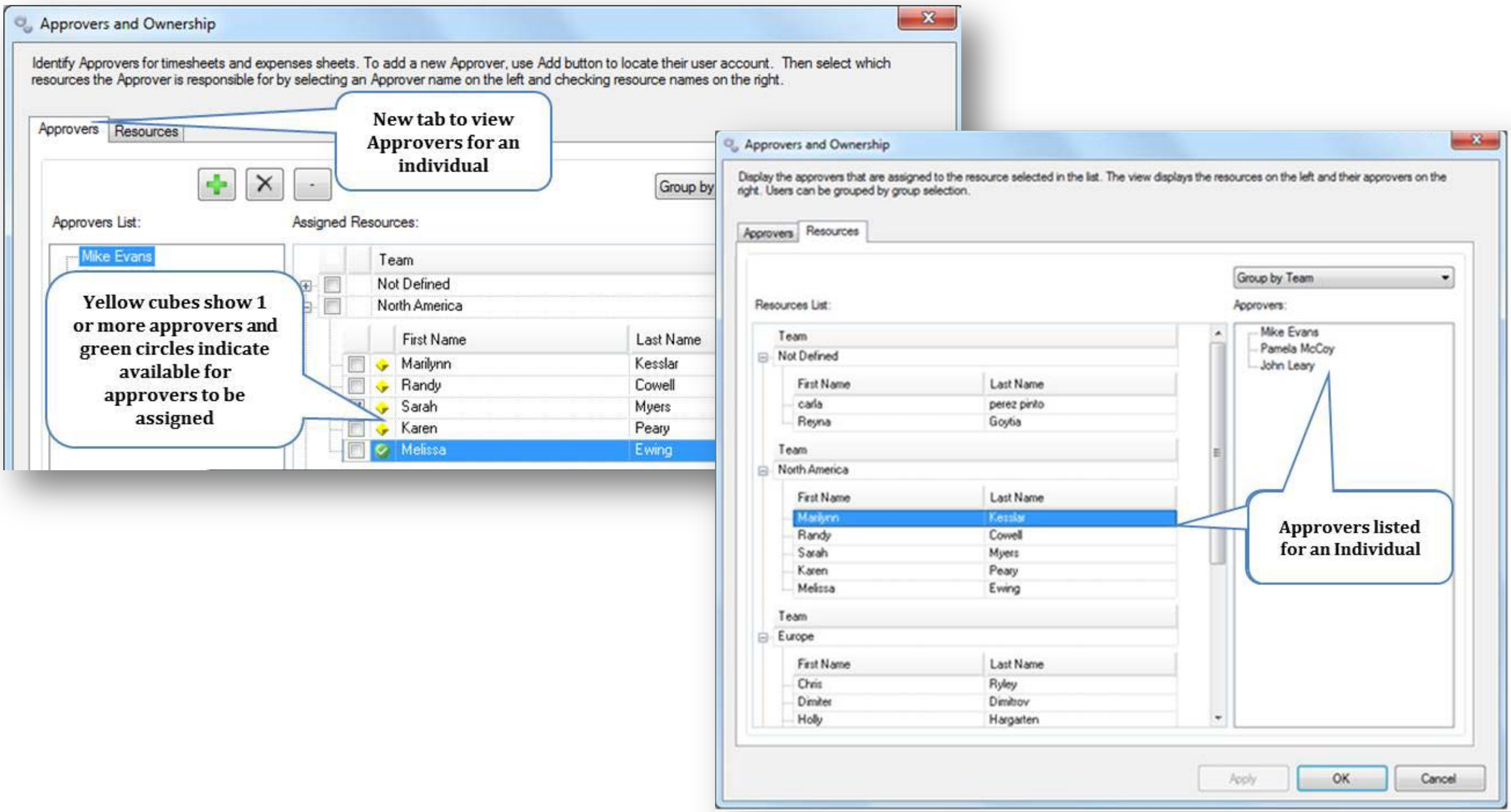
TeamTEC Enhancements

- Copy Timesheet / Project time entry prompt



TeamTEC Enhancements

- NEW – Support for Multiple Approvers



TeamCentral Enhancements

Improved User Interface

- Convenient Project Access
- New Action Icons
- Visible History Tab

Implementation Tracking

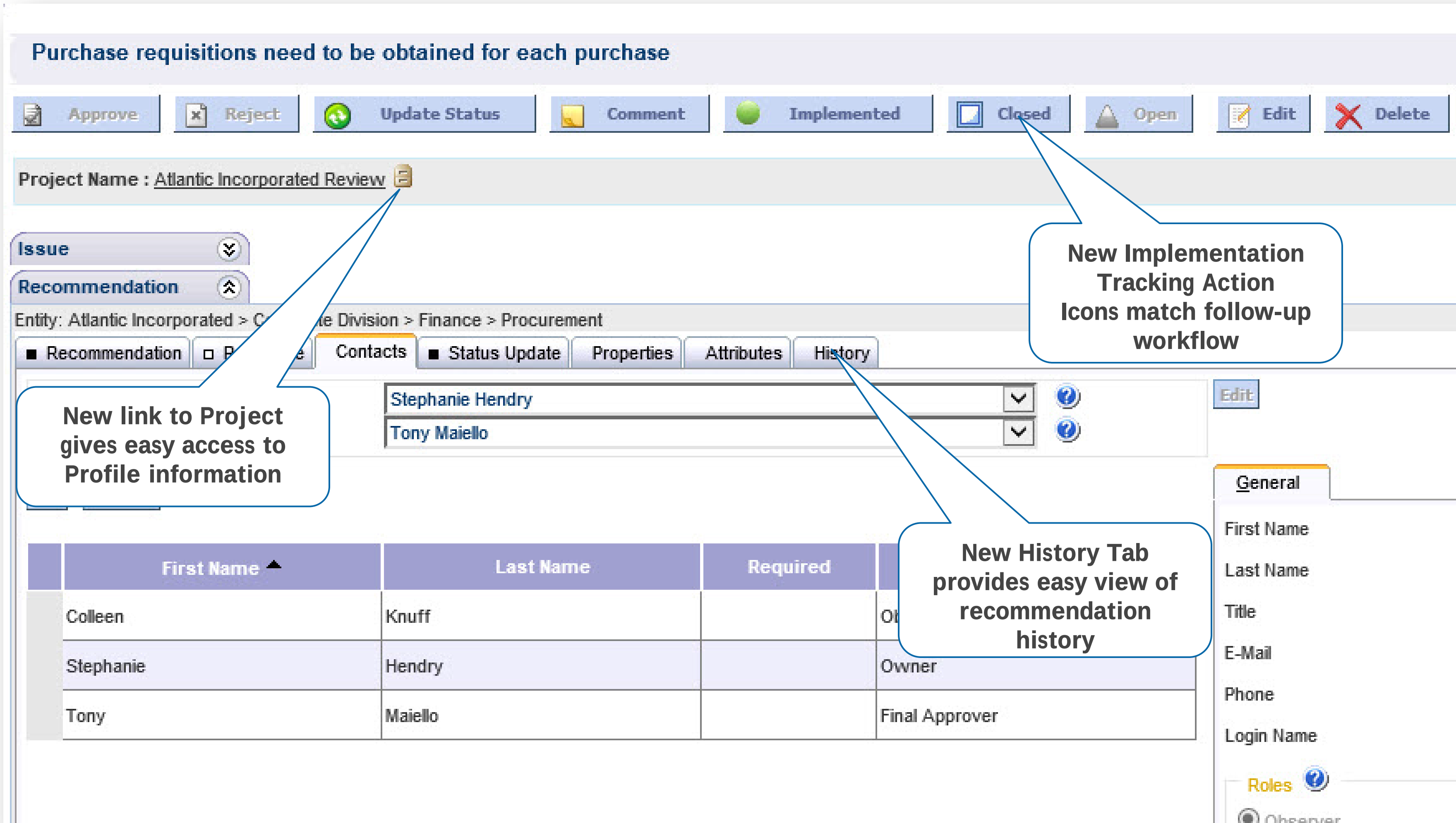
- Multiple Attachments
- Separate Attachments in History
- Separate Revision Policy

Survey Enhancements

- Re-Open / Delete Closed Surveys
- Copy / Paste Survey Questions
- Send Reminder Button

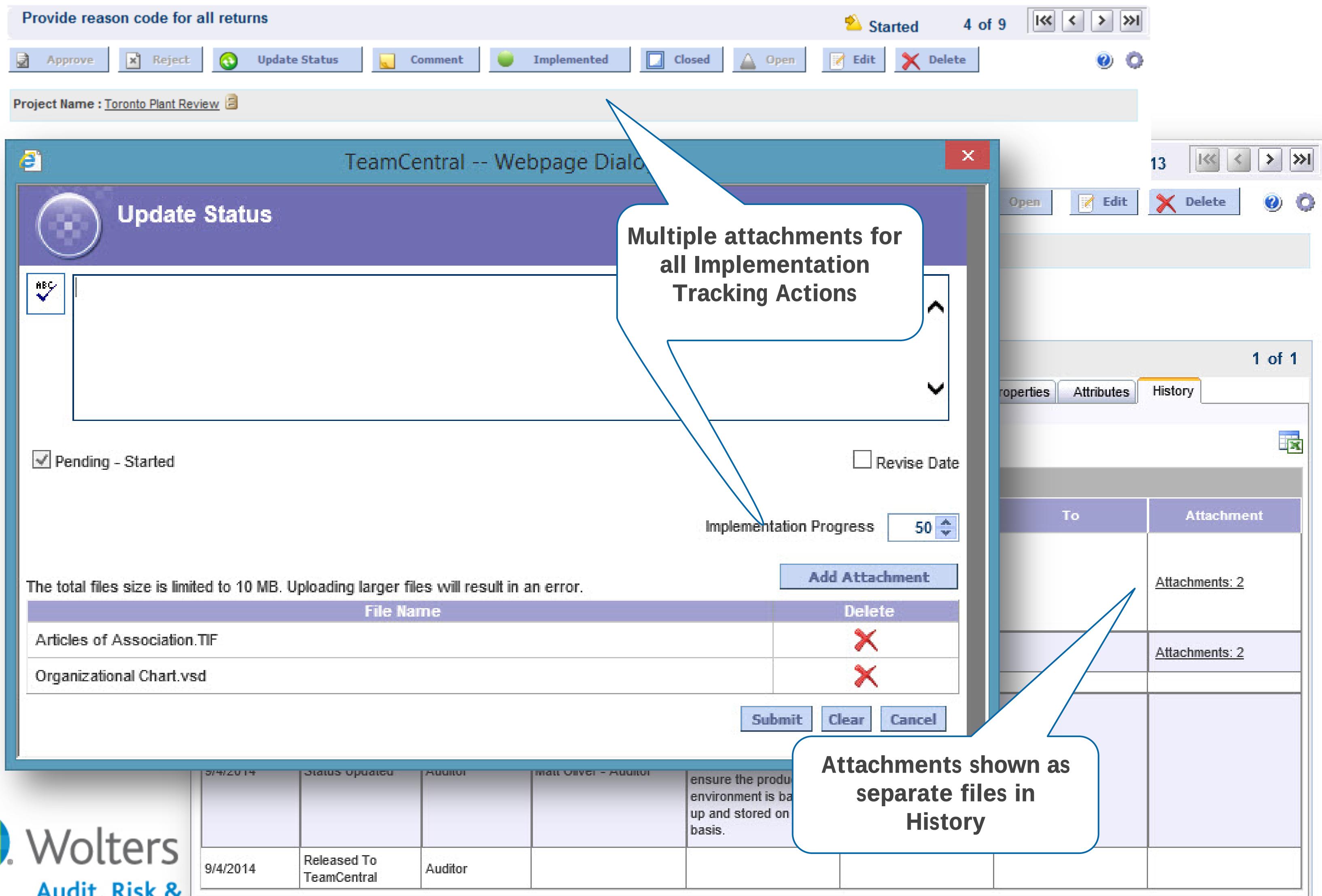
TeamCentral Enhancements

- Intuitive User Interface



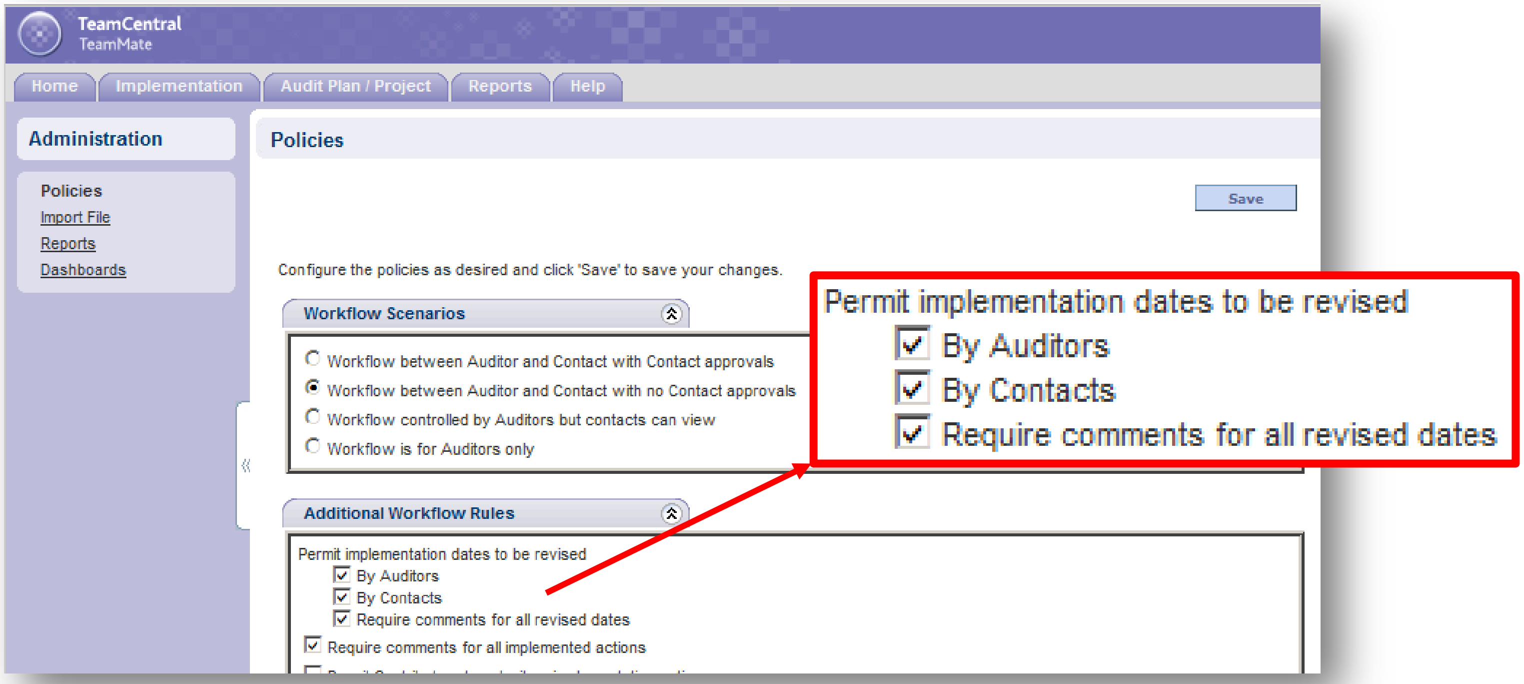
TeamCentral Enhancements

- Multiple Workpaper Attachments for all TeamCentral Actions



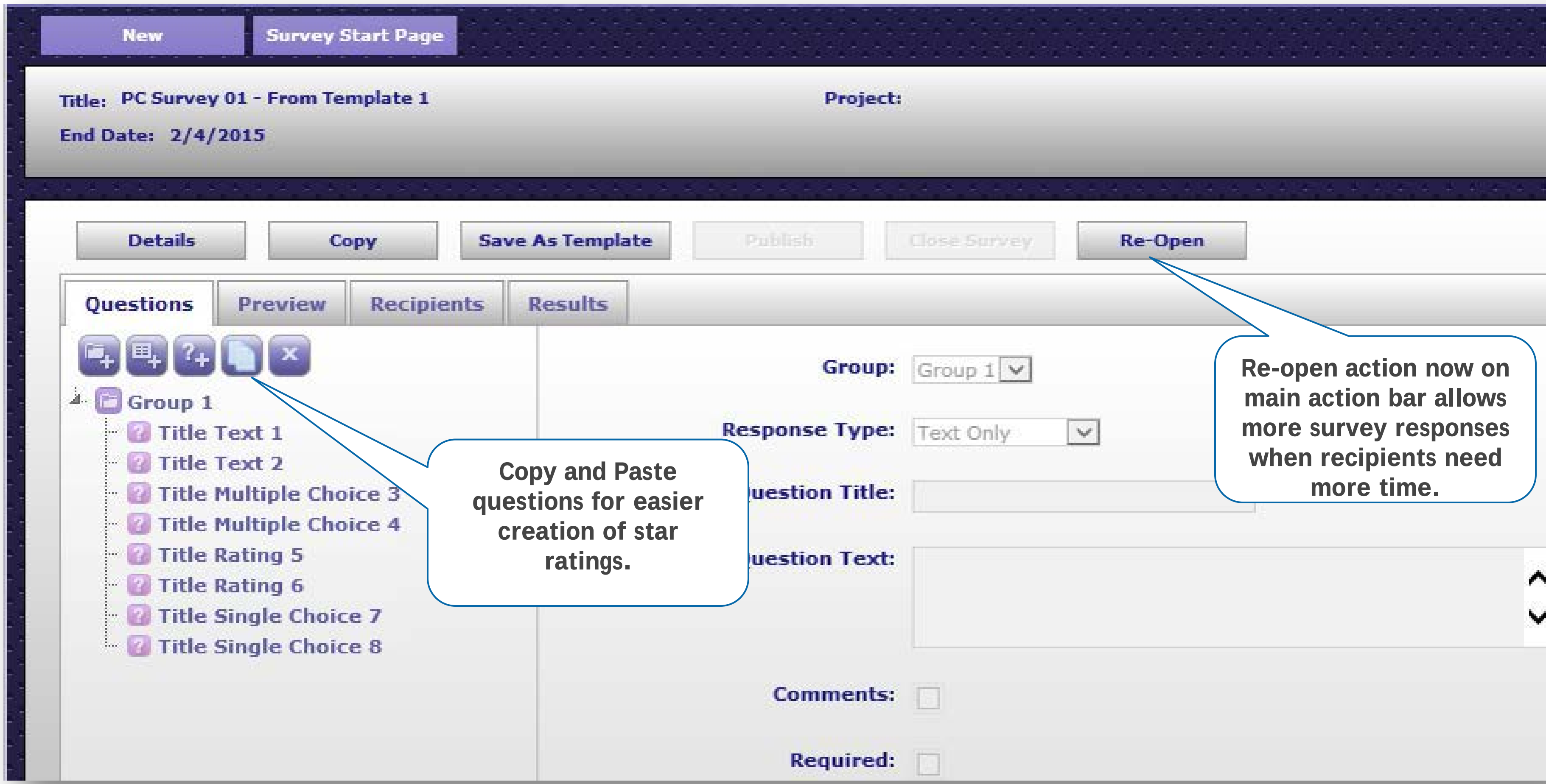
TeamCentral Enhancements

- Issue Tracking - Date Revision Policy



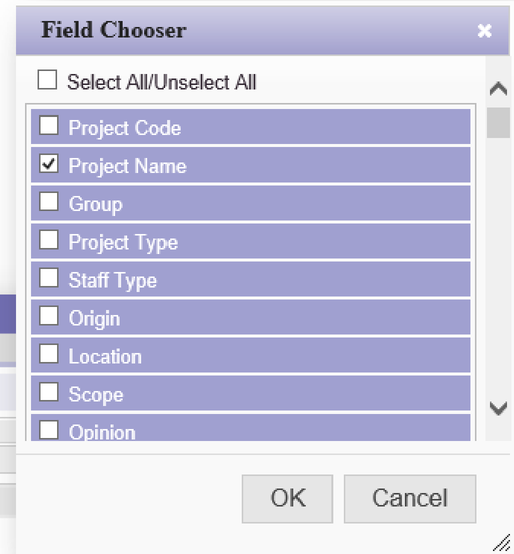
TeamCentral Enhancements

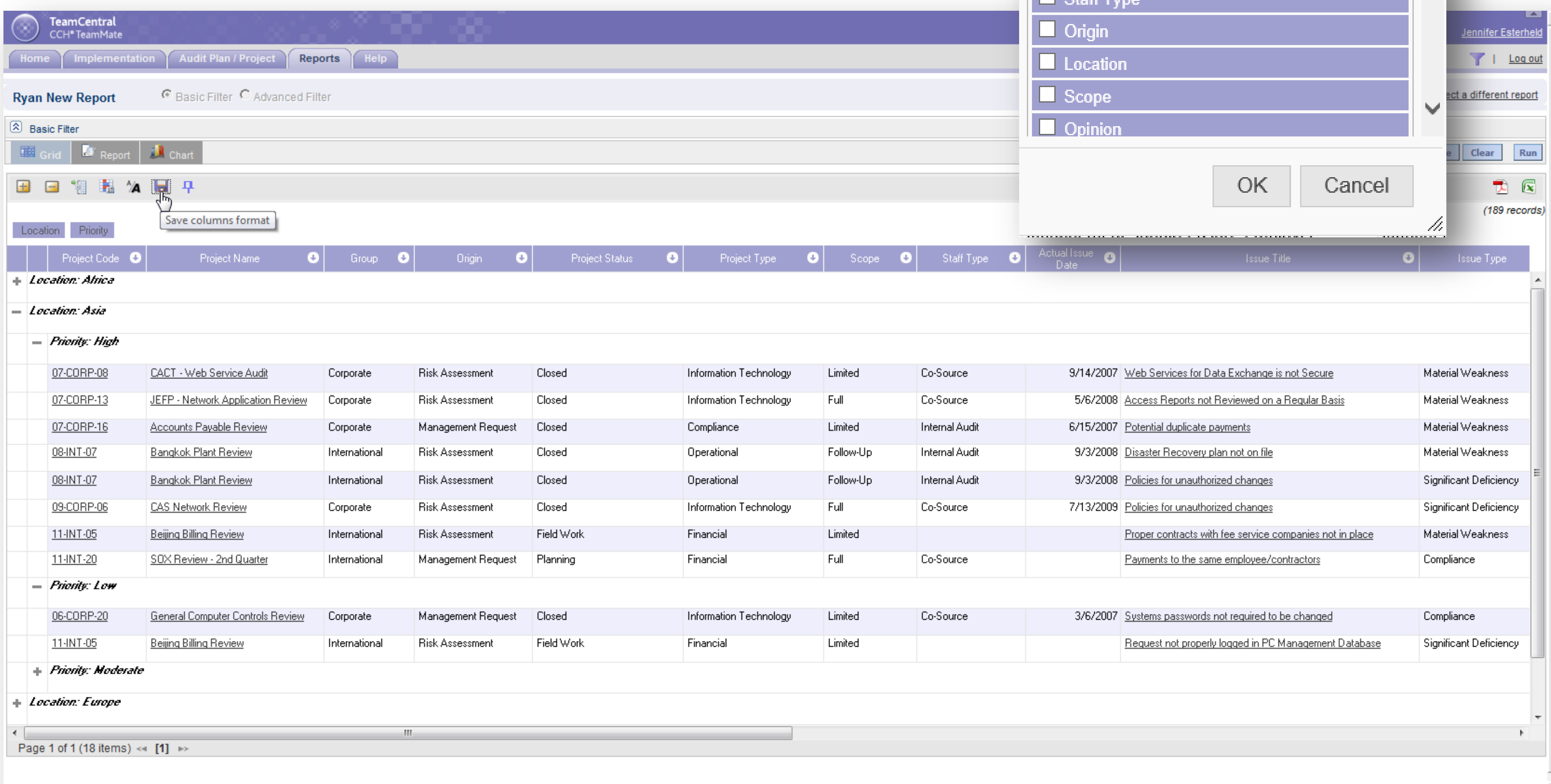
- New – Online Survey workflow features



TeamCentral Enhancements

- New Field Chooser – save customizations
  - Hide columns – check on/off
  - Move columns
  - Group, Sum, Count, Sort, etc.





Project Code	Project Name	Group	Origin	Project Status	Project Type	Scope	Staff Type	Actual Issue Date	Issue Title	Issue Type
Location: Africa										
Location: Asia										
Priority: High										
07-CORP-08	CACT - Web Service Audit	Corporate	Risk Assessment	Closed	Information Technology	Limited	Co-Source	9/14/2007	Web Services for Data Exchange is not Secure	Material Weakness
07-CORP-13	JEFP - Network Application Review	Corporate	Risk Assessment	Closed	Information Technology	Full	Co-Source	5/6/2008	Access Reports not Reviewed on a Regular Basis	Material Weakness
07-CORP-16	Accounts Payable Review	Corporate	Management Request	Closed	Compliance	Limited	Internal Audit	6/15/2007	Potential duplicate payments	Material Weakness
08-INT-07	Bangkok Plant Review	International	Risk Assessment	Closed	Operational	Follow-Up	Internal Audit	9/3/2008	Disaster Recovery plan not on file	Material Weakness
08-INT-07	Bangkok Plant Review	International	Risk Assessment	Closed	Operational	Follow-Up	Internal Audit	9/3/2008	Policies for unauthorized changes	Significant Deficiency
09-CORP-06	CAS Network Review	Corporate	Risk Assessment	Closed	Information Technology	Full	Co-Source	7/13/2009	Policies for unauthorized changes	Significant Deficiency
11-INT-05	Beijing Billing Review	International	Risk Assessment	Field Work	Financial	Limited			Proper contracts with fee service companies not in place	Material Weakness
11-INT-20	SOX Review - 2nd Quarter	International	Management Request	Planning	Financial	Full	Co-Source		Payments to the same employee/contractors	Compliance
Priority: Low										
06-CORP-20	General Computer Controls Review	Corporate	Management Request	Closed	Information Technology	Limited	Co-Source	3/6/2007	Systems passwords not required to be changed	Compliance
11-INT-05	Beijing Billing Review	International	Risk Assessment	Field Work	Financial	Limited			Request not properly logged in PC Management Database	Significant Deficiency
Priority: Moderate										
Location: Europe										

Page 1 of 1 (18 items) [1]

Continued TeamStore Initiatives

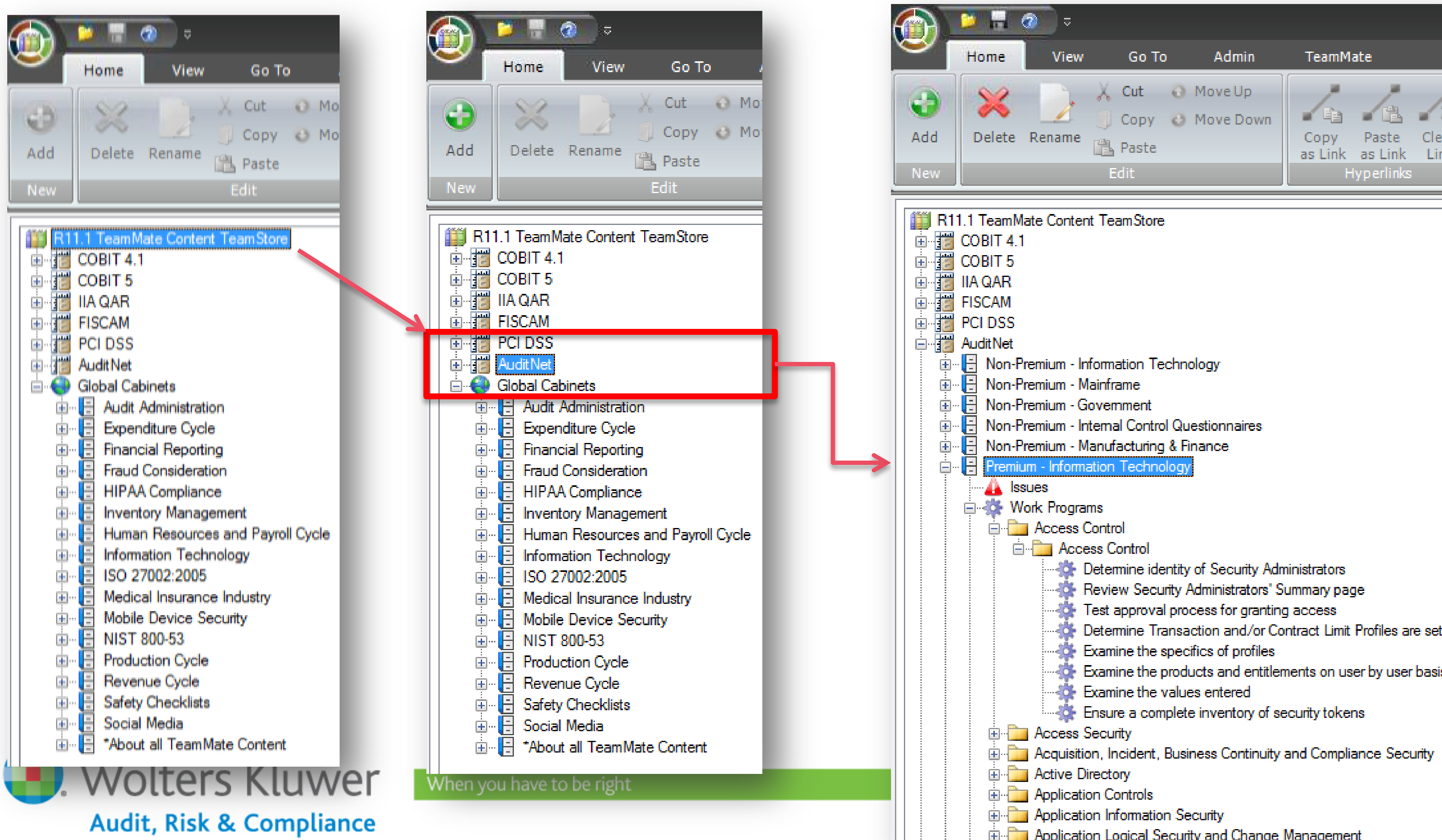
Audit Net
- Access to AuditNet TeamStore in Projects
- Procedures, Workpapers, Risks and Controls
- Access to AuditNet website via Connect

ACUA
- Access to ACUA TeamStore in Projects
- Risks and Controls library
- Get Risks brings linked controls automatically

TeamStore
- Provides efficiency in audit work
- Search content by cabinet and/or object
- Send data from project to TeamStore

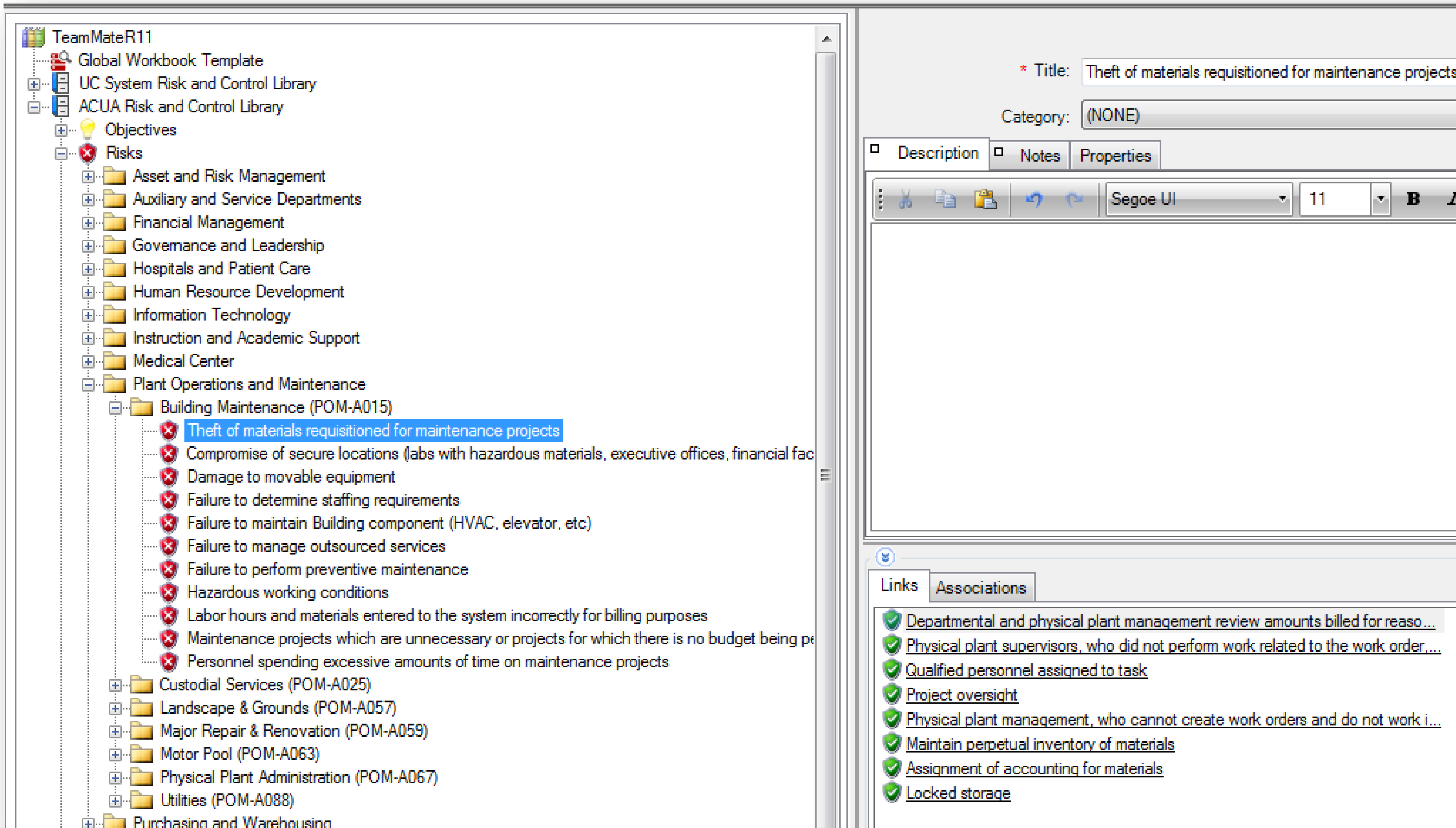
TeamStore and Risk & Controls

- TeamMate TeamStore Content-R11.1 UPDATE



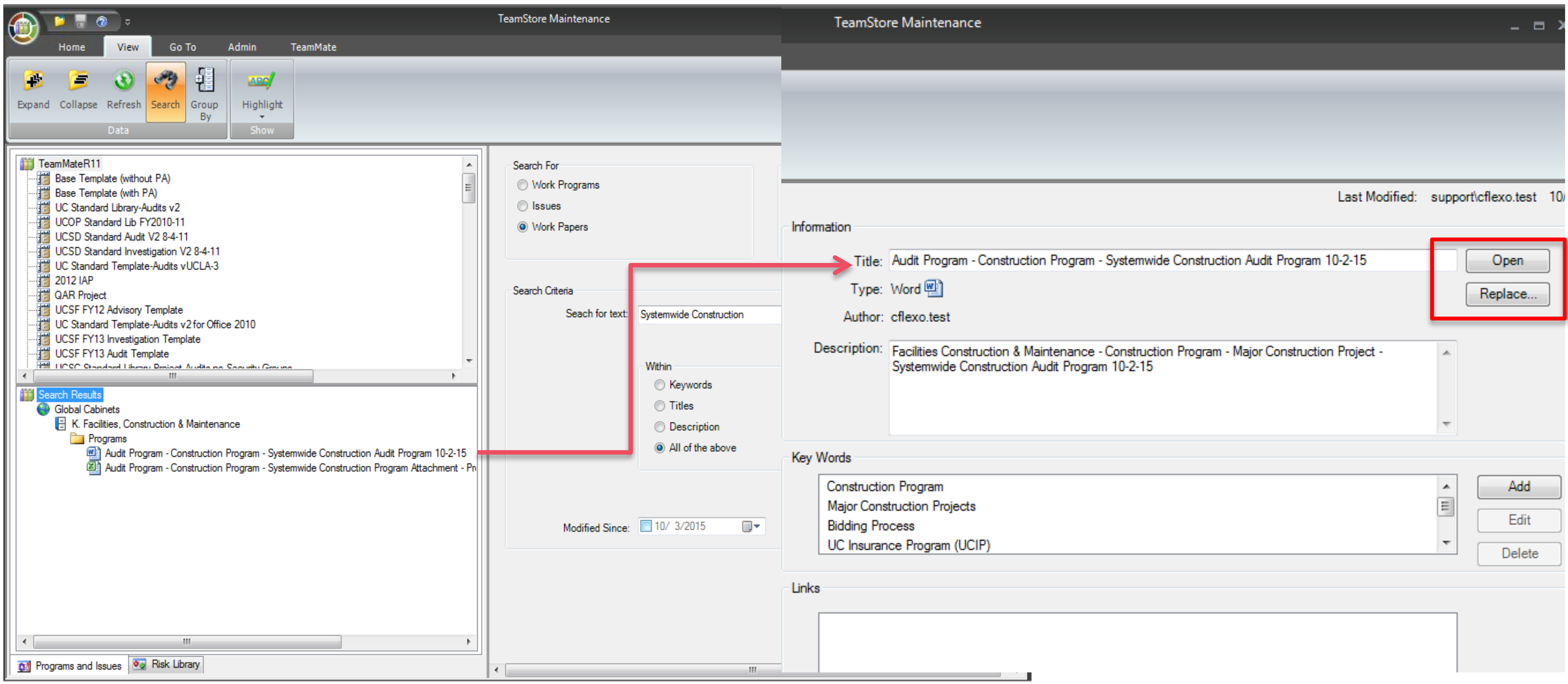
TeamStore and Risk & Controls

- ACUA Risk & Control Library Content



TeamStore and Risk & Controls

- TeamStore Search and Document Review
  - Quick launch and review



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When you have to be right

TeamMate Analytics

Microsoft Excel
- Convenient Excel Add-in
- Accessible within EWP Excel workpapers

Key Features
- Over 130 powerful data analysis tools
- Specifically designed for Auditors and Accountants

Resources & Updates
- Embedded Help Guide
- Online Connect How to Videos
- Check for Updates in Excel

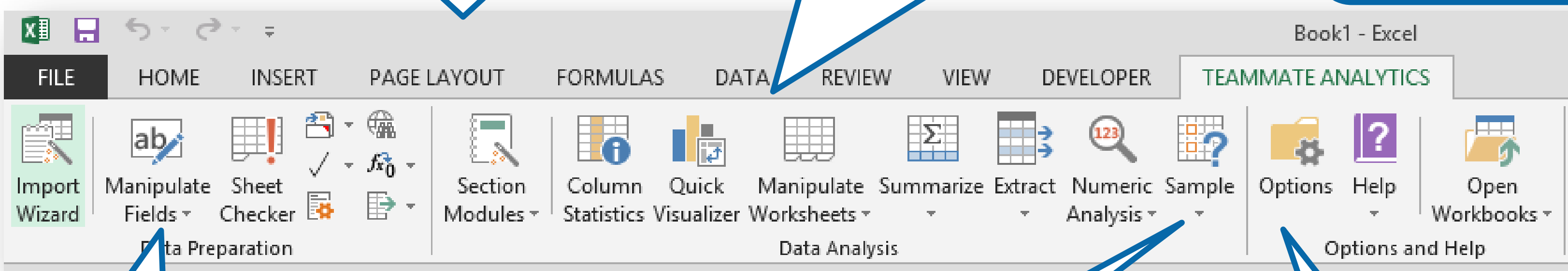
TeamMate Analytics

Tools and Features

Section Modules
Revolutionary account-area focused modules that allow you to perform up to 17 tests at once on a single report

Data Analysis
The core tools that allow you to test in many different ways, including Benford's testing, summarization, gaps, duplicates, outliers and many more…

Plus, context-sensitive help, a comprehensive user manual and forum access



Toolbar
Data cleansing and excel productivity tools to make day-to-day working in excel easier and more efficient

Sampling
4 sampling routines including Monetary Unit Sampling (MUS) and random sampling

Engagement Setup
Store engagement details for recall and produce lead schedules

TeamMate Analytics Quick Start Resources

TeamMate Analytics Resources on TeamMate Connect https://university-of-california-system-teammategroup.teammateconnect.com/main

What's New

TeamMate R11 Upgrade

- TeamMate IT Overview 11.1
- What's New in TeamMate 11.1?
- What's New in TeamMate 11.0?

TeamMate Analytics

- TeamMate 3.3 IT Overview
- TeamMate 3.3 Release Notes

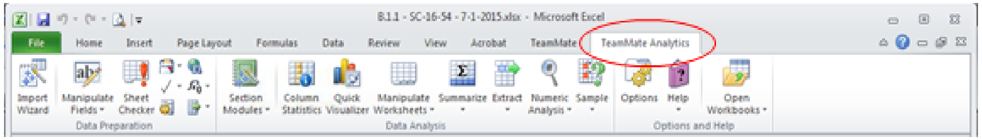
- TeamMate Analytics Overview
- TeamMate Analytics Quick Start Guide
  - MS Excel Sample Files
- TeamMate Analytics Demo
- TeamMate Analytics: When and How to Use It

TeamMate Analytics Quick Start

TeamMate Analytics includes more than 130 audit tools and runs on top of Excel, allowing auditors to easily perform powerful data analysis and deliver significant value without the need for extensive training. The following quick start tools and resources are a great way to started on deploying data analytics on every audit.

Accessing TeamMate Analytics

The TeamMate Analytics tab located in MS Excel documents that are contained in TeamMate EWP projects.



TeamMate Analytics Examples Overview and Sample Excel files

Use the PDF overview and associated Excel sample files to help you execute some of the TeamMate Analytics functions on your own. For more details about the functions, consult the TeamMate Analytics Training Videos link or TeamMate Analytics help.

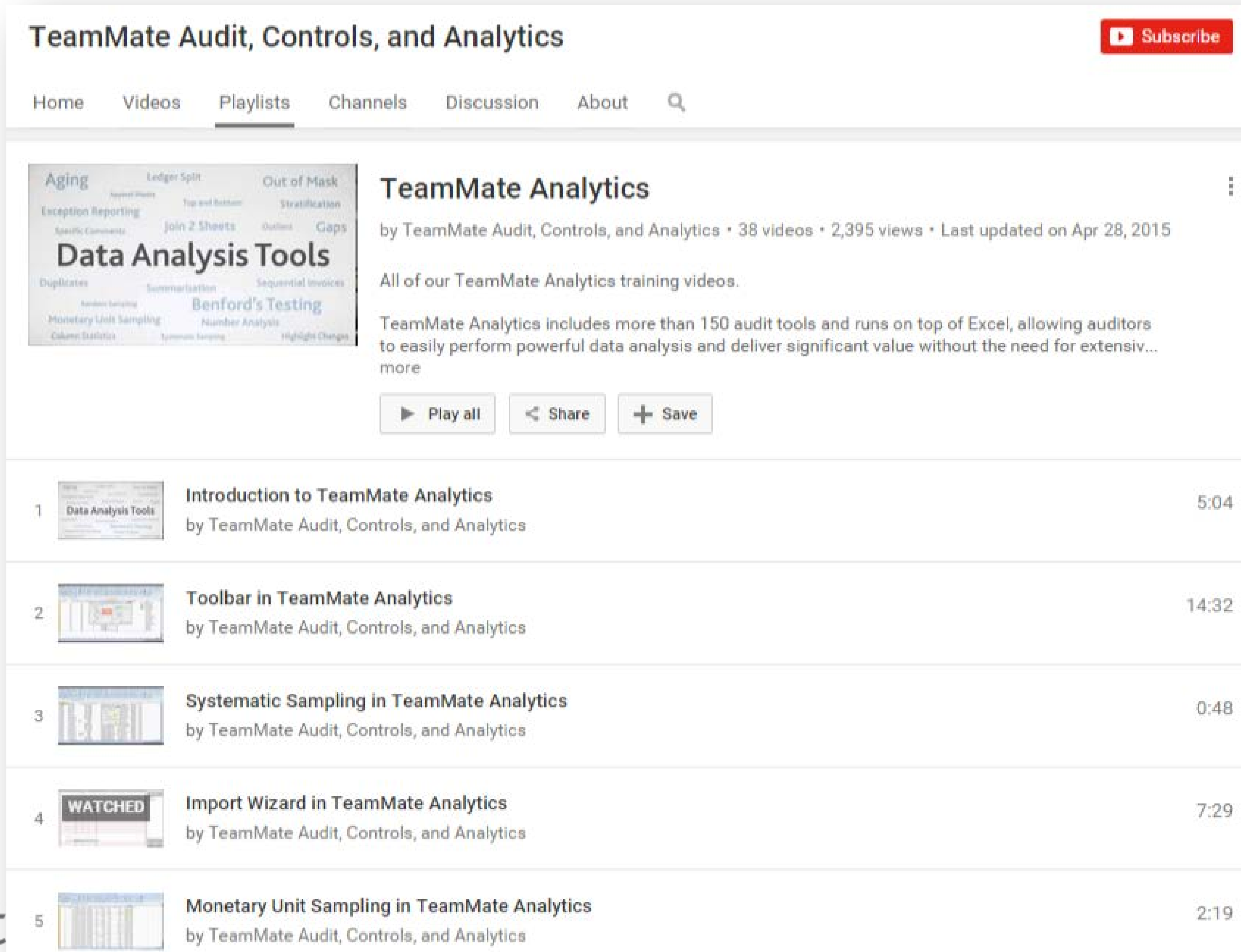
*Note: always make a copy of the Workbook you are working in just in case you make a mistake and need to start over.

TeamMate Analytics Sample Files -

Excel Sample Files.zip

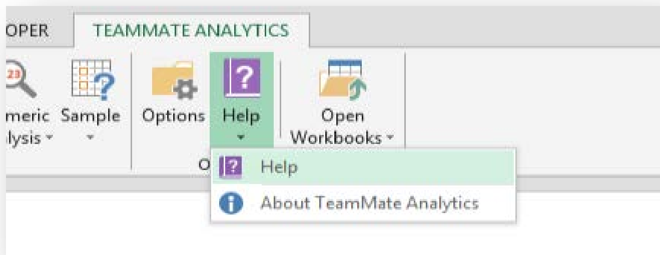
TeamMate Analytics Quick Start Resources

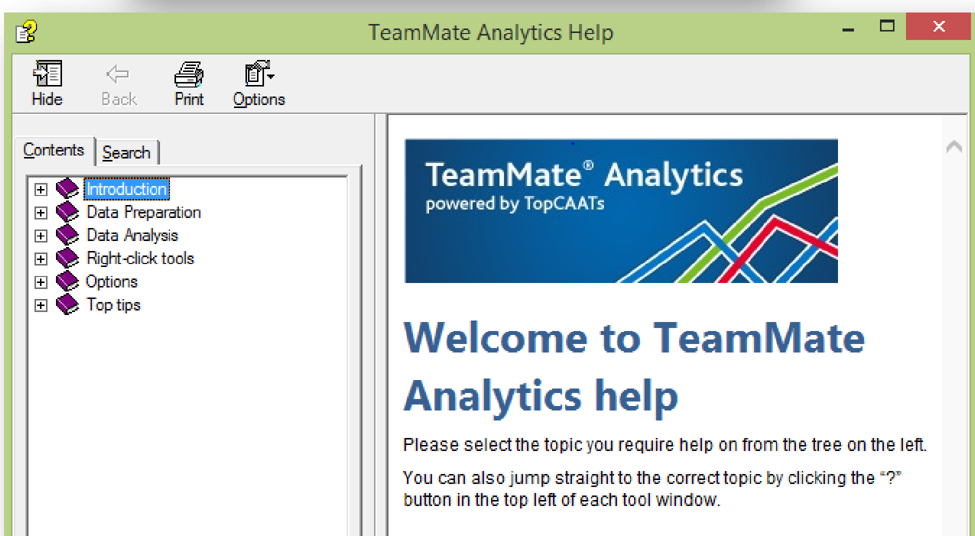
TeamMate Analytics Online Training Videos:
https://www.youtube.com/playlist?list=PLCiuK-IyTcc1aV37193pWHmkV6q1ASO4P



TeamMate Analytics Quick Start Resources

TeamMate Analytics Embedded Help Guide - Click Help from within the TeamMate Analytics TAB





UC TeamMate Group

Leveraging TeamMate User Community
W

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-
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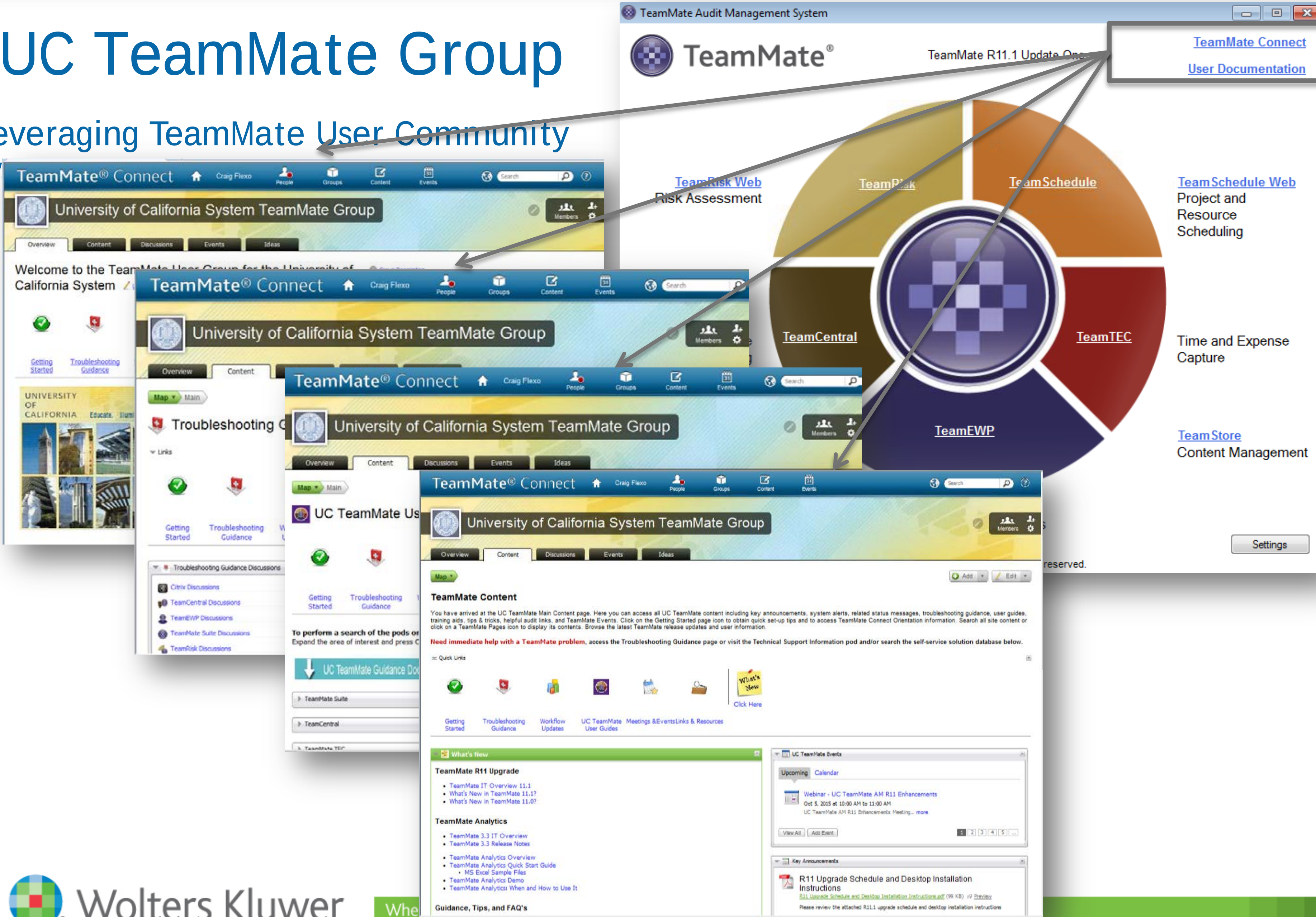

Whe

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UC TeamMate Group

- E-Mail Invitation

This email is your invitation to join the "University of California System TeamMate Group" at TeamMate Connect.

Use the link below as it has a unique invitation key created just for you.

The UC System TeamMate User Group site on TeamMate Connect provides a central resource for content sharing, document management, enterprise search, regarding Internal Audit and our use of the TeamMate Suite. Joining the UC Systems TeamMate User Group also provides access to over 6,700 TeamMate u site to stay on top of all the latest TeamMate developments.

Once registered, the "Read Me First! Getting Started with UC TeamMate Group" makes initial registration and setup quick and easy. Also, review the Tour Gu document to start leveraging UC TeamMate content on your first login.

Please contact Craig Flexo (cflexo@iad.ucla.edu<mailto:cflexo@iad.ucla.edu>) or Deb Collins (deb@ucsc.edu<mailto:deb@ucsc.edu>) with any questions.

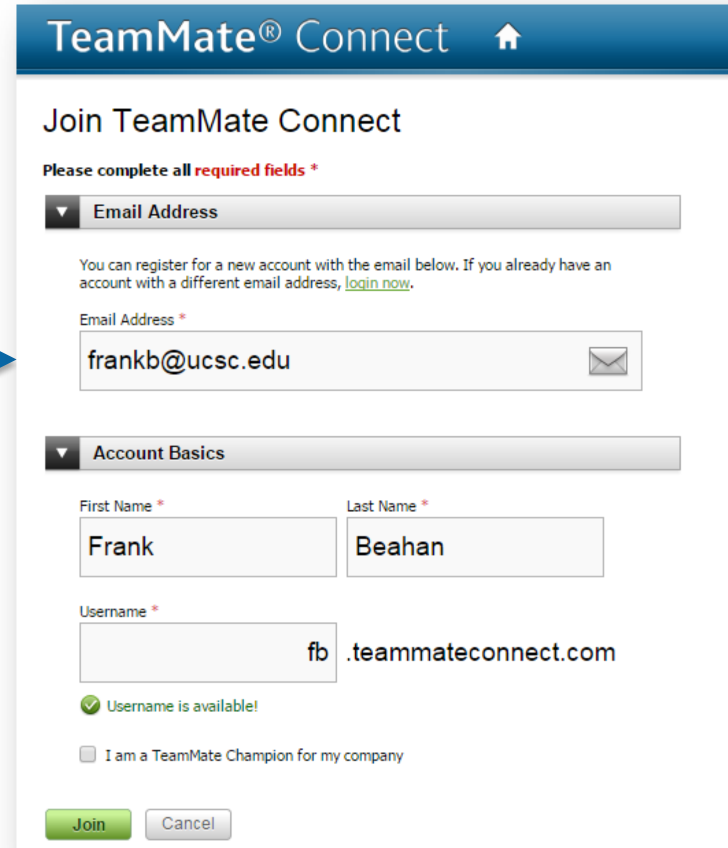
Join now:
https://university-of-california-system-teammategroup.teammateconnect.com/member/new?invite_key=5B047D77E1746E24C7E58C8AD41F61299C81ECE3

Connect with people and browse content at TeamMate Connect
Learn more at https://teammateconnect.com

If you believe this message is SPAM, please forward the message to:
clientrelations@wolterskluwer.com

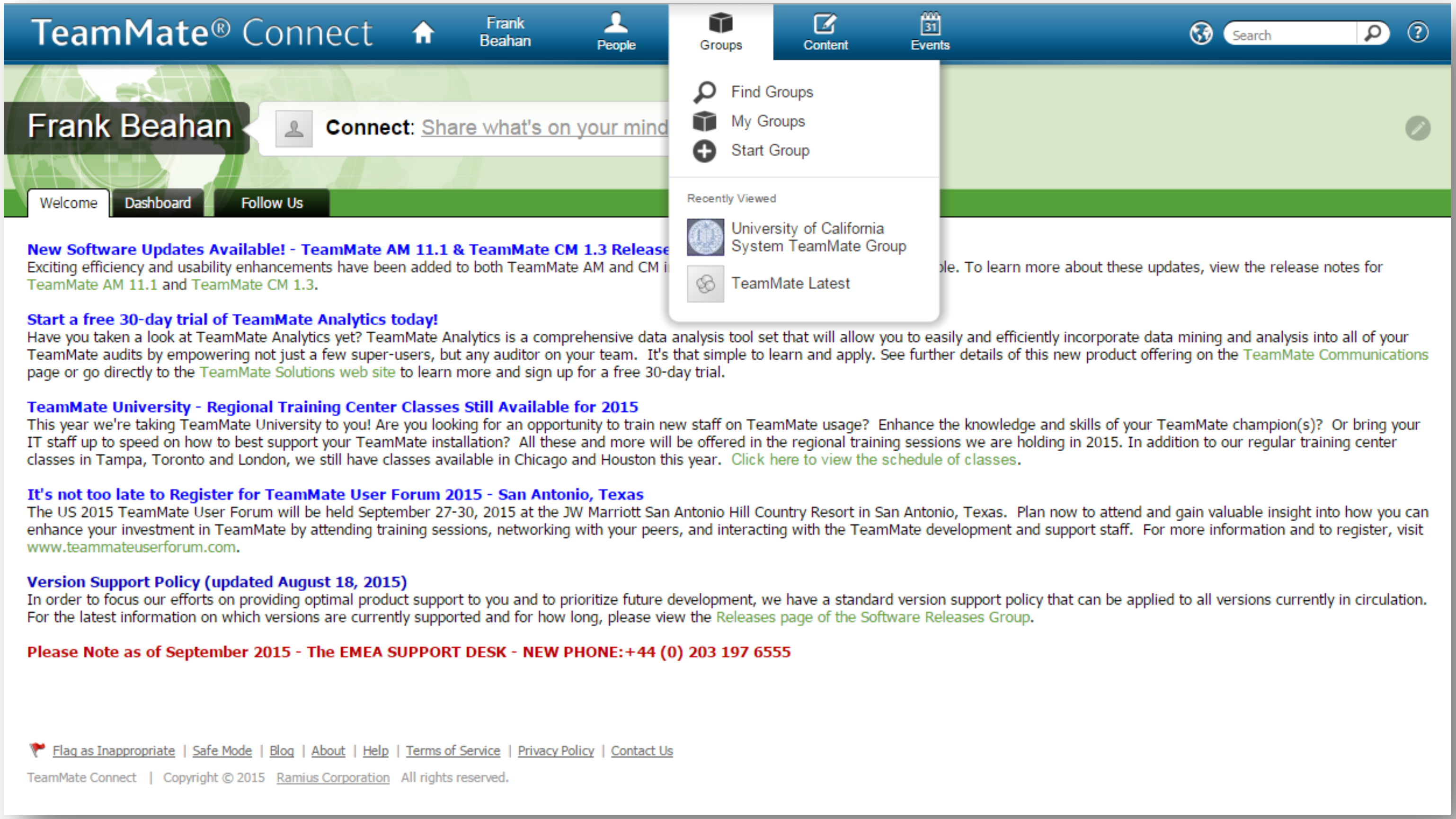
UC TeamMate Group

- TeamMate Connect Registration



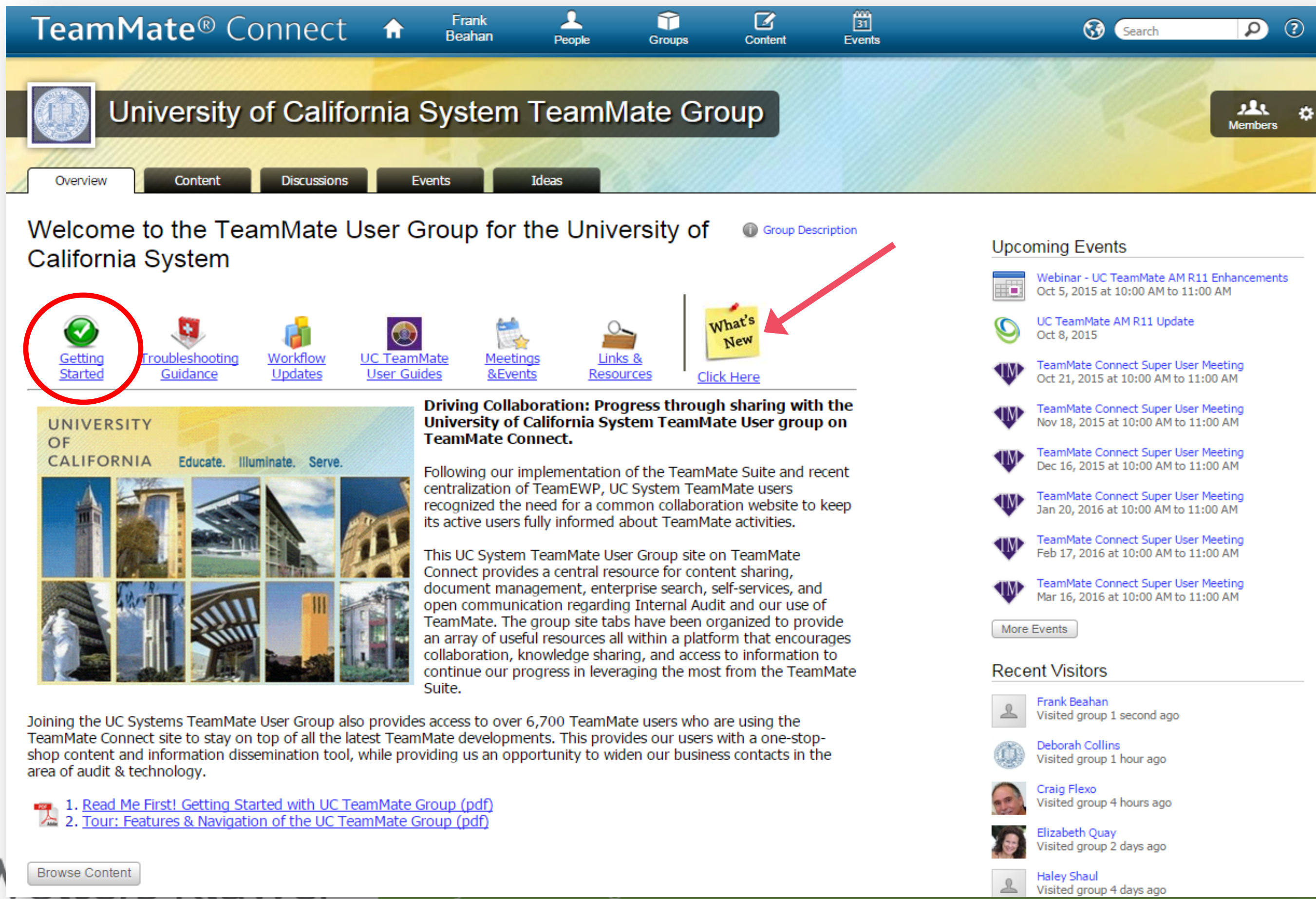
UC TeamMate Group

- Access UC TeamMate Group

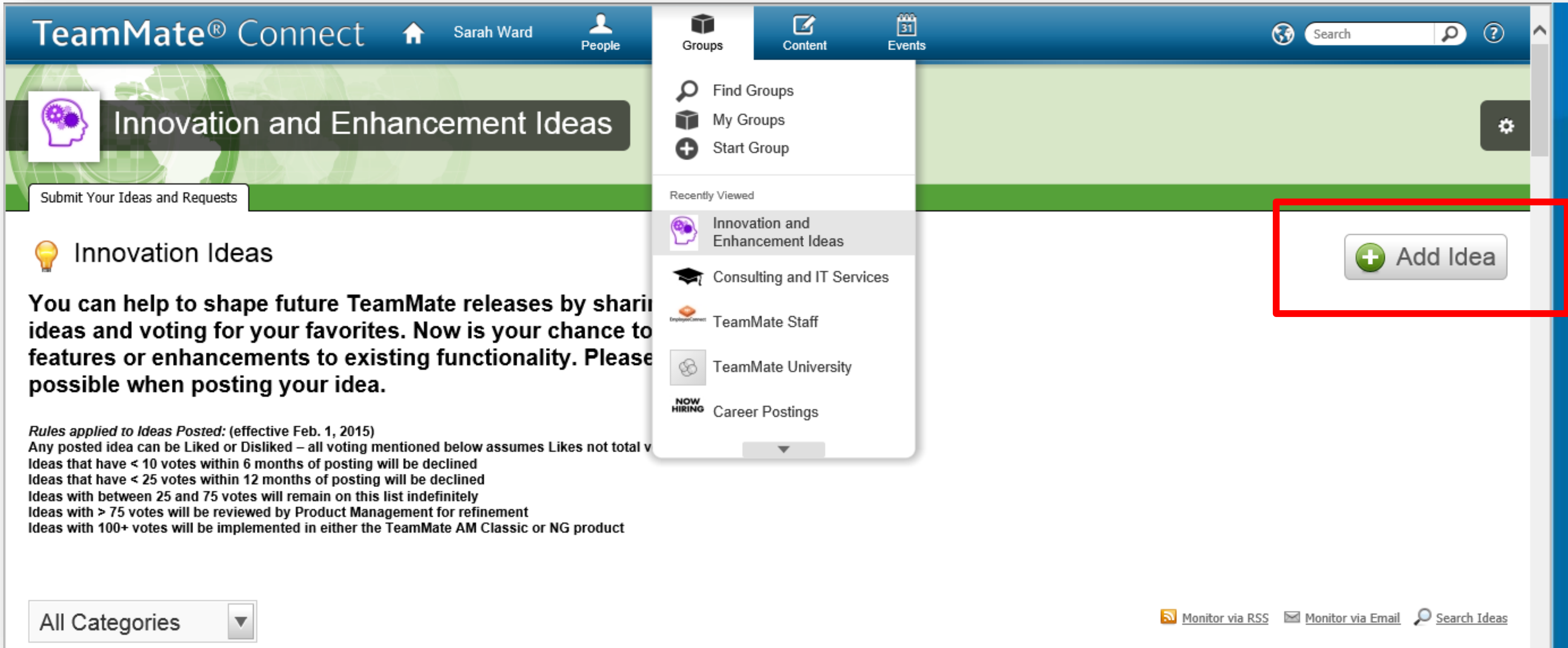


UC TeamMate Group

- Getting Started Guides
  - 1. Read Me First! Getting Started with UC TeamMate Group
  - 2. Tour: Features & Navigation



Innovation & Enhancement Ideas Group



Submit your TeamMate enhancement requests on TeamMate Connect or search for existing requests and LIKE to make your vote count!

Questions?