

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk 1, 2, 3	Planned Hours	Primary Index Code	Core (x)	IT Indicator ⁽³⁾	Est. Qtr Completion
				Prior Year Audits Not Completed (DNFs):						
FY15			DNF	<insert projects>						
				(a) Subtotal - Planned Carry Forward		0				
				Planned New Audits (PNs):						
FY15	C	UCSD	PN	Laboratory Safety – Chemical Safety	1	300	I.b.03			4
FY15	C	UCSD	PN	Conflict of Commitment/Outside Professional Activities	1	300	N.c.08			2
FY15	C	UCSD	PN	Joint Ventures/Affiliations	1	300	H.h.02			3
FY15	C	UCSD	PN	Information Technology Governance and Planning	1	300	G.b.01	x	G	1
FY15	C	UCSD	PN	Gift Fund Expenditures	1	300	L.a.07	x		4
FY15	C	UCSD	PN	Fraud Detection/Continuous Monitoring	1	300	N.c.03		A	1
FY15	C	UCSD	PN	Information Technology Security (System-wide)	1	200	G.b.07	x	G	3
FY15	C	UCSD	PN	Student Health Services (System-wide)	2	200	O.b.01			3
FY15	C	UCSD	PN	MyTime Entry (On-line Timekeeping)	2	300	H.e.04	x	S	1
FY15	C	UCSD	PN	Financial Audits (System-wide)	2	200	H			3
FY15	C	UCSD	PN	Bioengineering	2	300	A.b.01.20		I	2
FY15	C	UCSD	PN	Marine Facility/Shipboard Technical Support	2	300	E.c.01.31		I	2
FY15	HS	UCSD	PN	Clinical Research Billing Systems	1	300	E.a.04		A	4
FY15	HS	UCSD	PN	Surgical Pathology Billing Review	1	300	B.i.01		I	2
FY15	HS	UCSD	PN	Epic Charge Router	1	300	B.i.01/B.i.03	x	A	3
FY15	HS	UCSD	PN	Surgical and Perioperative Services (Epic OpTime)	1	300	B.g.03		I	4
FY15	HS	UCSD	PN	Enterprise Security – Phase II	1	300	G.b.07	x	G	4
FY15	HS	UCSD	PN	Charge Description Master: Research Rate Review	1	300	E.a.04		A	1
FY15	HS	UCSD	PN	Claim Denial Management	1	300	B.i.01/B.i.03		I	3
FY15	HS	UCSD	PN	Faculty Compensation	2	300	B.d.01			4
FY15	HS	UCSD	PN	Vendor Hosted Applications	2	300	G.b.05		A	1
FY15	HS	UCSD	PN	Collective Bargaining Agreements Terms	2	300	J.b.03	x	I	2
FY15	HS	UCSD	PN	Skaggs School of Pharmacy and Pharmaceutical Sciences Executive Accounts	2	300	A.b.01.35			1
FY15	HS	UCSD	PN	Health System International Patient Services Program	2	300	A.d.01			3
FY15	HS	UCSD	PN	Department of Reproductive Medicine	2	300	B.e.01.12			2
				(b) Subtotal - Planned New Audits		7,200				
FY15			PS	(c) Planned Supplemental Audits (lumpsum)		3,424				
FY15			PNF	(d) Audit Follow Up (lump sum)		1,300				
Total Planned Audit Program (a+b+c+d) ⁽¹⁾						11,924				
				Planned Advisory Services:						
				[Insert specific Advisory Services projects]						
FY15	C	UCSD	SC	Threat Assessment Team	1	200	I.d.01			4
FY15	C	UCSD	SC	Export Controls	1	200	E.a.03			4
FY15	C	UCSD	SC	OMB Cost Principles - Readiness for 2 CFR	1	200	E.d.02	x		1
FY15	C	UCSD	SC	Capital Improvement Program	2	200	K.a.01	x		3
FY15	C	UCSD	SC	COGNOS Business Intelligence & Reporting	2	200	G.b.04	x	A	2
FY15	C	UCSD	SC	Review of Annual Report on Executive Compensation	2	200	J.b.06			3
FY15	HS	UCSD	SC	Health Sciences Enterprise: Assessment of Fraud Management	1	200	N.c.03			3
FY15	HS	UCSD	SC	Epic Reporting	1	200	N.d.01		A	3
FY15	HS	UCSD	SC	ICD-10 Implementation	1	200	B.i.01/B.i.03		S	2
FY15	HS	UCSD	SC	Deferred Maintenance –School of Medicine Facilities at Hillcrest	2	200	K.b.04			1
				(e) Subtotal - Planned Advisory Services		2,000				
				Unplanned Advisory Services (by Category) ⁽²⁾						
FY15		UCSD	SC	Unplanned SC		800				
FY15		UCSD	SE	Unplanned SE		2,600				
FY15		UCSD	SI	Unplanned SI		200				
FY15		UCSD	SP	Unplanned SP		0				
FY15		UCSD	SU	Unplanned SU		0				
FY15		UCSD	SR	Unplanned SR		0				
				(f) Subtotal - Unplanned Advisory Services		3,600				
Total Advisory Services (e+f) ⁽¹⁾						5,600				
FY15			IN	Planned Investigation Hours (lump sum)		4,300				
Total Audits, Advisory Services, and Investigations						21,824				

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

⁽³⁾ IT Indicators: A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review