<table>
<thead>
<tr>
<th>FY</th>
<th>LOB</th>
<th>LOC</th>
<th>Prj Code</th>
<th>Name/Title of Audit</th>
<th>High Risk (1)</th>
<th>Planned Hours 1, 2, 3</th>
<th>Primary Index Code</th>
<th>Core (s)</th>
<th>IT Indicator (3)</th>
<th>Est. Qtr Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY14</td>
<td>DNF</td>
<td></td>
<td></td>
<td>Prior Year Audits Not Completed (DNFs):</td>
<td></td>
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<tr>
<td>FY14</td>
<td>DNF</td>
<td></td>
<td></td>
<td>&lt;insert projects&gt;</td>
<td></td>
<td>300.0</td>
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</tbody>
</table>

(a) Subtotal - Planned Carry Forward

300.0

| FY14 | PN | CSS Implementation | Berkeley Education Alliance for Research in Singapore (BEARS) | 200.0 | 4 |
| FY14 | PN | International contracting, Remote employees | 240.0 A.b.01 | 3 |
| FY14 | PN | Blum Center for Developing Economies | 240.0 A.b.01 | 2 |
| FY14 | PN | Cloud Computing | 240.0 G.b.05 | G | 2 |
| FY14 | PN | Institutional Compliance Program | 240.0 N.c.10 | 4 |
| FY14 | PN | Deferred Maintenance – Governance and Resource Allocation | 240.0 B.b.04 | 4 |
| FY14 | PN | Disclosure Statement (DS-2) Compliance | 200.0 E.d.05 | 2 |
| FY14 | PN | Donor-Built Facilities | 280.0 H.g.03 | 2 |
| FY14 | PN | Annual Report of Executive Compensation (system-wide) | 120.0 J.b.06 | 3 |
| FY14 | PN | Chancellor's Expenses (G-45 Compliance) (system-wide) | 120.0 J.b.06 | 3 |
| FY14 | PN | Compensation (system-wide) | 150.0 J.b.01 | 3 |
| FY14 | PN | Contract Employees vs. Career Staff | 280.0 J.b.04 | 3 |
| FY14 | PN | Human Resources - Employee and Labor Relation | 300.0 J.b.03 | 3 |
| FY14 | PN | Intercollegiate Athletics (system-wide) | 50.0 M.a.01 | 1 |
| FY14 | PN | Intercollegiate Athletics – Revenue Recognition | 200.0 M.a.01 | 2 |
| FY14 | PN | Records Management | 240.0 G.a.02 | I | 3 |
| FY14 | PN | Revenue Generation (including UBIT considerations) | 240.0 N.e.05 | 2 |
| FY14 | PN | Service (Recharge) Center | 300.0 H.i.01 | 2 |
| FY14 | PN | Social Media | 180.0 L.b.01 | I | 1 |
| FY14 | PN | Sponsored Projects - Participant Support | 200.0 E.b.01 | 3 |
| FY14 | PN | Sponsored Projects - Subaward monitoring | 280.0 E.d.04 | 4 |
| FY14 | PN | Supporting International Research | 240.0 A.c.04 | 1 |
| FY14 | PN | Sustainability Reporting (Climate Neutral by 2025) | 180.0 I.e.01 | 4 |
| FY14 | PN | Timekeeping, Leave Accrual, and Payroll | 280.0 H.e.04 | S | 4 |

(b) Subtotal - Planned New Audits

0.0 5240.0

| FY14 | PS | (c) Planned Supplemental Audits (lumpsum) | 1160.0 |
| FY14 | PNF | (d) Audit Follow Up (lump sum) | 500.0 |

Total Planned Audit Program (a+b+c+d) (1)

7200.0

Planned Advisory Services:

- Data Analysis
  - Procure to Pay - Breathe Buy - Ship to Address | 60
  - Procure to Pay - Vendr Master | 60
  - Procure to Pay - Duplicate Payments | 60
  - Human Capital Management System - Employee Master/Ghost Employees | 60
  - Human Capital Management System - Compensation Adjustments | 60
- (e) Subtotal - Planned Advisory Services | 300

Unplanned Advisory Services (by Category) (2)

- Unplanned SC | 1200
- Unplanned SE | 100
- Unplanned SI | 240
- Unplanned SP | 0
- Unplanned SU | 240
- Unplanned SR | 374
- (f) Subtotal - Unplanned Advisory Services | 2154

Total Advisory Services (e+f) (1)

2454

| FY14 | IN | Planned Investigation Hours (lump sum) | 1400 |

Total Audits, Advisory Services, and Investigations

11054.0

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(1) Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report
(2) Enter lump sums for each applicable AS category (i.e. - SC, SE)
(3) * = Top 10 scores, 2 = next top 10 scores, 3 = all others
(4) IT indicators: A – application review
  I – integrated review
  T – technical review
  S – system development or post-implementation review
  G – general controls review

UCB Attach D Plan Schedules FY15_ECAS.xlsx