

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk <sup>(*)</sup> 1, 2, 3	Planned Hours	Primary Index Code	Core (x)	IT Indicator <sup>(3)</sup>	Est. Qtr Completion
<b>Prior Year Audits Not Completed (DNFs):</b>										
FY15	LBNL	DNF		<insert projects>						
<b>(a) Subtotal - Planned Carry Forward</b>										
<b>Planned New Audits (PNs):</b>										
FY15	LBNL	PN		FY14 Cost Allowability	1	650.0	C.c.04			4
FY15	LBNL	PN		Conference Activities	3	350.0	M.b.01			3
FY15	LBNL	PN		F&M (Financial Systems Modernization) Project Post-Implementation	1	400.0				3
FY15	LBNL	PN		Capital Planning, Budgeting	1	400.0	F.a.04			2
FY15	LBNL	PN		Disaster Recovery/Business Continuity	1	300.0	G.h.01			4
FY15	LBNL	PN		Compensation - Systemwide	3	150.0	C.d.01			4
FY15	LBNL	PN		Office of Management and Budget Circular A-123	1	350.0	E.f.02			3
FY15	LBNL	PN		Continuous Auditing/Monitoring	3	500.0				4
FY15	LBNL	PN		Excess Property Inventory and Sales		200.0	H.g			1
FY15	LBNL	PN		American Reinvestment Recovery Act Closeout	3	350.0				2
<b>(b) Subtotal - Planned New Audits</b>						3650.0				
FY15	LBNL	PS		<b>(c) Planned Supplemental Audits (lumpsum)</b>		400.0				
FY15	LBNL	PNF		<b>(d) Audit Follow Up (lump sum)</b>		450.0				
<b>Total Planned Audit Program (a+b+c+d) <sup>(1)</sup></b>						4500.0				
<b>Planned Advisory Services:</b>										
FY15	LBNL	SC		CY14 Annual Report of Executive Compensation	3	300	J.b.06			2
FY15	LBNL	SC		Employee Performance Management	2	300	J.b.07			2
<b>(e) Subtotal - Planned Advisory Services</b>						600				
<b>Unplanned Advisory Services (by Category) <sup>(2)</sup></b>						600				
FY15	LBNL	SC		Unplanned SC		1000				
FY15	LBNL	SE		Unplanned SE		350				
FY15	LBNL	SI		Unplanned SI		145				
FY15	LBNL	SP		Unplanned SP		100				
FY15	LBNL	SU		Unplanned SU		100				
FY15	LBNL	SR		Unplanned SR		100				
<b>(f) Subtotal - Unplanned Advisory Services</b>						1795				
<b>Total Advisory Services (e+f) <sup>(1)</sup></b>						2395				
FY15		IN		<b>Planned Investigation Hours (lump sum)</b>		250				
<b>Total Audits, Advisory Services, and Investigations</b>						7145.0				