FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk [*] 1, 2, 3	Planned Hours	Primary Index Code	Core (x)	IT Indicator [3]	Est. Qtr Completion
FY17			DNF	**Prior Year Audits Not Completed (DNFs):** <insert projects>						
				(a) Subtotal - Planned Carry Forward						
				Planned New Audits (PNs):						
FY17	CAM	UCSD	PN	Budget Planning and Monitoring	1	300	F.a.02			2
FY17	CAM	UCSD	PN	Facilities Management - Renovations and Alterations	1	350	K.a.02			4
FY17	CAM	UCSD	PN	Delegations of Authority	1	350	N.C.05			2
FY17	CAM	UCSD	PN	SIO Dept. Financial Controls (MBRD/CMBB/CMBC)	1	400	E.c.01.32			2
FY17	CAM	UCSD	PN	Supervisory Control & Data Acquisition (SCADA) Systems	1	350	G.b.03		I	4
FY17	CAM	UCSD	PN	Financial Aid Office	1	350	O.a.02	X		4
FY17	CAM	UCSD	PN	Emergency Management and Business Continuity	1	350	I.d.01			2
FY17	CAM	UCSD	PN	Computer Science and Engineering - Department Audit	1	400	A.b.01.21			2
FY17	CAM	UCSD	PN	Center for Energy Research (Organized Research Unit)	1	400	E.c.01.03			4
FY17	CAM	UCSD	PN	Department Financial Controls (Anthropology)	1	300	A.b.01.01		I	2
FY17	CAM	UCSD	PN	System Wide Review - TBD	1	300				4
FY17	HS	UCSD	PN	Information Technology General Controls	1	400	G.b.07	X	G	2
FY17	HS	UCSD	PN	Clinical Engineering / SCADA (Supervisory Control and Data Acquisition)	1	350	H.g.02.01		I	4
FY17	HS	UCSD	PN	Infusion Services (Hillcrest, La Jolla, Encinitas)	1	400	B.g.02.05			2
FY17	HS	UCSD	PN	Department of Surgery	1	350	B.e.01.13			2
FY17	HS	UCSD	PN	Psychiatry Clinical Services	1	400	B.e.01.10			4
FY17	HS	UCSD	PN	Medical Staff Administration – Physician Credentialing & Proctoring	1	350	B.h.01			4
FY17	HS	UCSD	PN	Epic Link - Access by Community Providers	1	350	G.a.01		I	4
FY17	HS	UCSD	PN	Clinic Cashiering & Reconciliations	1	350	H.b.02	X		2
FY17	HS	UCSD	PN	Moores Cancer Center (ORU Review)	1	400	E.c.01.37			2
FY17	HS	UCSD	PN	Human Subjects Research	1	400	E.b.01	X		4
				(b) Subtotal - Planned New Audits		**7,600**				
FY17	HS/CAM	UCSD	PS	**(c) Planned Supplemental Audits (lumpsum)**		3,585				
FY17	HS/CAM	UCSD	PNF	**(d) Audit Follow Up (lump sum)**		600				
				Total Planned Audit Program (a+b+c+d) [1]		**11,785**				
				Planned Advisory Services: [Insert specific Advisory Services projects]						
FY17	CAM	UCSD	SC	Data Analytics - Construction Plant Accounts	2	350	N.e.02			2
FY17	CAM	UCSD	SC	Annual Review of Executive Compensation	2	200	J.b.06			3
FY17	CAM	UCSD	SC	Sponsored Projects Accounts Receivable and Cash Management (SPARCM) System	2	350	G.b.03		I	4
FY17	HS	UCSD	SC	Genomic Testing – Send-Out Tests	2	250	B.b.01			2
FY17	HS	UCSD	SC	Point of Service Collections (Passport System)	2	250	B.i.03		I	4
FY17	HS	UCSD	SC	Resource Alignment	1	400	B.d.01			4
				(e) Subtotal - Planned Advisory Services		**1,800**				
				Unplanned Advisory Services (by Category) [2]						
FY17	HS/CAM	UCSD	SC	**Unplanned SC**		#REF!				
FY17	HS/CAM	UCSD	SE	**Unplanned SE**		1,800				
FY17	HS/CAM	UCSD	SI	**Unplanned SI**		0				
FY17	HS/CAM	UCSD	SP	**Unplanned SP**		0				
FY17	HS/CAM	UCSD	SU	**Unplanned SU**		0				
FY17	HS/CAM	UCSD	SR	**Unplanned SR**						
				(f) Subtotal - Unplanned Advisory Services		**#REF!**				
				Total Advisory Services (e+f) [1]		**#REF!**				
FY17	HS/CAM	UCSD	IN	**Planned Investigation Hours (lump sum)**		4,750				
				Total Audits, Advisory Services, and Investigations		**#REF!**				

[1] Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report
[2] enter lump sums for each applicable AS category (i.e. - SC, SE)
[*] 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others
[3] IT Indicators: A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review