

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk ⁽¹⁾ 1, 2, 3	Planned Hours	Primary Index Code	Core (x)	IT Indicator ⁽³⁾	Est. Qtr Completion	
Prior Year Audits Not Completed (DNFs):											
(a) Subtotal - Planned Carry Forward						0.0					
Planned New Audits (PNs):											
FY17	CAM	UCR	PN	Housing, Dining and Residential Services		350	O.b.04			1	
FY17	CAM	UCR	PN	IT Security		370	G.b.07			2	
FY17	CAM	UCR	PN	Physical Plant		350	K.b			1	
FY17	CAM	UCR	PN	CHASS Dean's Office		300	A.a.01			2	
FY17	CAM	UCR	PN	CNAS Dean's Office		300	A.a.01			2	
FY17	CAM	UCR	PN	EH&S Laboratory Safety		280	I.b			4	
FY17	CAM	UCR	PN	Campus Mobile Devices		330	G.a.01			3	
FY17	CAM	UCR	PN	Overtime & Comp Time		440	J.b.01			4	
FY17	CAM	UCR	PN	CE-CERT		400	A.b.01			2	
FY17	CAM	UCR	PN	Annual Report on Executive Compensation (AREC)		220	J.b.06			3	
FY17	CAM	UCR	PN	Annual Analytic Review & Fraud Detection		860	H			4	
FY17	CAM	UCR	PN	Systemwide Audit (SW)		400				4	
(b) Subtotal - Planned New Audits						4,600					
FY17	CAM	UCR	PS	(c) Planned Supplemental Audits (lumpsum)		966					
FY17	CAM	UCR	PNF	(d) Audit Follow Up (lump sum)		340					
Total Planned Audit Program (a+b+c+d) ⁽¹⁾						5,906					
Planned Advisory Services:											
FY17	CAM	UCR	SR	Campus Efficiencies/Operational Excellence		430	N.e.03			4	
FY17	CAM	UCR	SR	UC Path (pilot)		220	H.c.01			4	
FY17	CAM	UCR	SC	UC Mexico Initiative		320	D.a			3	
FY17	CAM	UCR	SC	Contract & Grant Internal Controls Review		280	E.d			3	
FY17	CAM	UCR	SC	CHASS Cluster Review		280	A.b.01			2	
FY17	CAM	UCR	SI	Training - other		130	J.b.02			4	
FY17	CAM	UCR	SI	Training - WB & Fraud		80	J.b.02			4	
FY17	CAM	UCR	SI	Training - Orientation		60	J.b.02			4	
FY17	CAM	UCR	SI	ERM		60	I			4	
(e) Subtotal - Planned Advisory Services						1,860					
Unplanned Advisory Services (by Category) ⁽²⁾											
FY17	CAM	UCR	SC	Unplanned SC		200					
FY17	CAM	UCR	SE	Unplanned SE		60					
FY17	CAM	UCR	SI	Unplanned SI							
FY17	CAM	UCR	SP	Unplanned SP							
FY17	CAM	UCR	SU	Unplanned SU							
FY17	CAM	UCR	SR	Unplanned SR							
(f) Subtotal - Unplanned Advisory Services						260					
Total Advisory Services (e+f) ⁽¹⁾						2,120					
FY17	CAM	UCR	IN	Planned Investigation Hours (lump sum)		1,396					
Total Audits, Advisory Services, and Investigations						9,422					

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

⁽³⁾ IT Indicators:
A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review