

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk 1, 2, 3	Planned Hours	Primary Index Code	IT Core (x) Indicator	IT Indicator (3)	Est. Qtr Completion
				<b>Prior Year Audits Not Completed (DNFs):</b>						
FY17	CAM	ECAS	DNF	<insert projects>						
				<b>(a) Subtotal - Planned Carry Forward</b>						
				<b>Planned New Audits (PNs):</b>						
FY17	CAM	ECAS	PN	Cybersecurity - Vulnerability Assessments and Penetration Testing (systemwide)		200.0	G.b.07			2
FY17	CAM	ECAS	PN	Outside Professional Activities		200.0	N.c.08			3
FY17	CAM	ECAS	PN	Fair Wage/Fair Work (systemwide)		100.0	H.f			3
FY17	CAM	ECAS	PN	UCOP Executive Compensation (systemwide)		150.0	J.b.06			4
FY17	CAM	ECAS	PN	UCPath Center		250.0	H.e.01			2
FY17	CAM	ECAS	PN	Medical Centers Clinical Enterprise Management Recognition Plan (CEMRP)		250.0	J.b.06			2
FY17	CAM	ECAS	PN	Office of the Treasurer Annual Incentive Plan (AIP)		250.0	J.b.06			2
FY17	CAM	ECAS	PN	Retirement Administration Service Center (RASC) User Access		150.0	D.c.02			2
FY17	CAM	ECAS	PN	RASC Death Reporting		150.0	D.c.02			2
FY17	CAM	ECAS	PN	New Pension Tier		150.0	D.c.01			1
FY17	CAM	UCOP	PN	UCOP Travel and Entertainment		150.0	H.c			2
FY17	CAM	UCOP	PN	ANR Federal Excess Personal Property Program		150.0	D.b			4
FY17	CAM	UCOP	PN	ANR Research and Extension Center (REC) Review		200.0	D.b.05			1
FY17	CAM	UCOP	PN	Electric Service Provider (ESP) Power Supply Validation		50.0	K.b.03			1
				<b>(b) Subtotal - Planned New Audits</b>		2400.0				
FY17	CAM	ECAS	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		800.0				
FY17	CAM	ECAS	PNF	<b>(d) Audit Follow Up (lump sum)</b>		200.0				
				<b>Total Planned Audit Program (a+b+c+d) (1)</b>		3400				
				<b>Planned Advisory Services:</b>						
FY17	CAM	ECAS	SC	Strategic Sourcing - Bypass Spending (systemwide)		250.0	H.f			4
FY17	CAM	ECAS	SC	Cybersecurity Risk Assessment (systemwide)		250.0	G.b.07			2
FY17	CAM	ECAS	SE	State Audit Follow-up		50.0	L.b.02			4
FY17	CAM	ECAS	SR	UCPath Pilot Deployment		250.0	G.b.04			4
FY17	CAM	ECAS	SC	Student Health Self-Assessment (systemwide)		200.0	O.b.01			2
FY17	CAM	UCOP	SC	ANR Financials		200.0	D.b.01			2
FY17	CAM	UCOP	SR	Modernization of Technology Infrastructure for Value (MOTIVE) Data Center Migration Project		150.0	G.b.05			1
FY17	CAM	UCOP	SR	Research Grants Program Office (RGPO) System Implementation Advisory Assistance		100.0	G.b.04			4
				<b>(e) Subtotal - Planned Advisory Services</b>		1450.0				
				<b>Unplanned Advisory Services (by Category) (2)</b>						
FY17	CAM	ECAS	SC	<b>Unplanned SC</b>		300				
FY17	CAM	ECAS	SE	<b>Unplanned SE</b>		310				
FY17	CAM	ECAS	SI	<b>Unplanned SI</b>		0				
FY17	CAM	ECAS	SP	<b>Unplanned SP</b>		0				
FY17	CAM	ECAS	SU	<b>Unplanned SU</b>		440				
FY17	CAM	ECAS	SR	<b>Unplanned SR</b>		120				
				<b>(f) Subtotal - Unplanned Advisory Services</b>		1170				
				<b>Total Advisory Services (e+f) (1)</b>		2620				
FY17	CAM	UCOP	IN	<b>Planned Investigation Hours (lump sum)</b>		480				
				<b>Total Audits, Advisory Services, and Investigations</b>		6500				

(1) Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

(2) enter lump sums for each applicable AS category (i.e. - SC, SE)

(3) 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

(3) IT Indicators:  
A – application review  
I – integrated review  
T – technical review  
S – system development or post-implementation review  
G – general controls review