

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk <sup>(1)</sup> 1, 2, 3	Planned Hours	Primary Index Code	IT Core (x) Indicator <sup>(3)</sup>	Est. Qtr Completion
<b>Prior Year Audits Not Completed (DNFs):</b>									
FY17			DNF	<insert projects>					
<b>(a) Subtotal - Planned Carry Forward</b>									
<b>Planned New Audits (PNs):</b>									
FY17	CAM	UCLA	PN	Housing & Hospitality Services - Conference Services	2	368	M.b.01		4
FY17	CAM	UCLA	PN	Housing & Hospitality Services - Cashiering Operations	2	418	H.b.02		4
FY17	CAM	UCLA	PN	Housing & Hospitality Services - Lake Arrowhead Conference Center	2	318	M.b.01		4
FY17	CAM	UCLA	PN	Housing & Hospitality Services - Student Technology Center	3	268	G.b.07		4
FY17	CAM	UCLA	PN	Housing & Hospitality Services - University Apartments - Revenue, Leasing, and Vacancy	1	318	M.c.04		4
FY17	CAM	UCLA	PN	Housing & Hospitality Services - Vending Services Cashiering Operations	2	218	H.b.02		4
FY17	CAM	UCLA	PN	Housing & Hospitality Services - On-Campus Housing - Major Maintenance Reserve	2	268	K.b.02		4
FY17	CAM	UCLA	PN	Events & Transportation - Fleet & Transit - Auto Parts Inventory	3	277	M.b.04		4
FY17	CAM	UCLA	PN	Events & Transportation - Fleet & Transit - Fuel Accountability	3	277	M.b.04		4
FY17	CAM	UCLA	PN	Events & Transportation - Pay Stations	2	277	H.b.02		4
FY17	CAM	UCLA	PN	Events & Transportation - Revenue Recognition	2	477	M.a.04		4
FY17	CAM	UCLA	PN	UCPD - Cash Management	2	425	H.b.02		4
FY17	CAM	UCLA	PN	UCPD - Recharges and Revenue Reconciliation	2	475	H.i.01		4
FY17	CAM	UCLA	PN	Campus Service Enterprises - Early Care and Education	1	320	M.c.01		4
FY17	CAM	UCLA	PN	Campus Service Enterprises - Insurance and Risk Management	1	320	I.a.05		4
FY17	CAM	UCLA	PN	Information Technology Services - Procurement and Asset Management	2	350	H.f.04		4
FY17	CAM	UCLA	PN	Central Ticket Office - Cashiering	2	285	H.b.02		4
FY17	CAM	UCLA	PN	Residential Life	1	350	O.b.04		4
FY17	CAM	UCLA	PN	Facilities Management - Energy Services	1	400	K.b.03		4
FY17	CAM	UCLA	PN	Facilities Management - Purchased Utility Billing	1	400	K.b.03		4
FY17	CAM	UCLA	PN	Facilities Management - Recycling and Waste Management	2	300	I.e.01		4
FY17	CAM	UCLA	PN	Facilities Management - Employee Incentive Awards	2	300	J.b.07		4
FY17	CAM	UCLA	PN	Capital Programs - CapStar System Review	2	400	G.b.07		4
FY17	CAM	UCLA	PN	Capital Programs - Project Planning and Development	2	400	K.a.01		4
FY17	CAM	UCLA	PN	Capital Programs - Records Center Administration	3	350	G.a.02		4
FY17	CAM	UCLA	PN	ASUCLA - UCLA Store - Retail Division - Computer Store	2	240	M.a.02		4
FY17	CAM	UCLA	PN	ASUCLA - UCLA Store - Retail Division - Textbooks Division	2	280	M.a.02		4
FY17	CAM	UCLA	PN	ASUCLA - UCLA Restaurants - North Campus Division	2	260	M.a.08		4
FY17	CAM	UCLA	PN	ASUCLA - Finance Division - Accounts Receivable	2	320	H.a.02		4
FY17	CAM	UCLA	PN	ASUCLA - Finance Division - Loss Prevention	2	200	M.a.02		4
FY17	CAM	UCLA	PN	Campus - UCLA Foundation	1	500	L.a.03		4
FY17	CAM	UCLA	PN	Campus - Athletics	1	400	M.a.01		4
FY17	CAM	UCLA	PN	Campus - Academic Department - 1	1	500	A.b.01		4
FY17	CAM	UCLA	PN	Campus - Academic Department - 2	1	500	A.b.01		4
FY17	CAM	UCLA	PN	Campus - Purchasing and Accounts Payable Assessment - Follow-up	2	200	H.f.04		4
FY17	CAM	UCLA	PN	Campus - Systemwide Audit		300			4
FY17	CAM	UCLA	PN	Campus - Data Analytics - Procurement Strategic Sourcing		300			4
FY17	HS	UCLA	PN	Business Contracts	1	500	H.f.01		4
FY17	HS	UCLA	PN	Clinical Laboratory	1	600	B.b.01		4
FY17	HS	UCLA	PN	Overflow Care	1	450	B.g.02		4
FY17	HS	UCLA	PN	Hospital Revenue	1	1500	B.i.01		4
FY17	HS	UCLA	PN	UCLA Health Clinics	1	350	B.i.01		4
FY17	HS	UCLA	PN	Faculty Practice Group-Business Office	1	500	H.b.02		4
FY17	HS	UCLA	PN	Main Cashiers Office	1	2500	H.b.02		4
FY17	HS	UCLA	PN	Housestaff Duty Hours	1	450	B.j.01		4
FY18	HS	UCLA	PN	School of Medicine-Administration	2	451	B.e.01		4
FY17	HS	UCLA	PN	Nursing Support for Research Studies	2	530	E.a.04		4
FY17	HS	UCLA	PN	Outpatient Bone Marrow Transplant Program	2	400	B.g.05		4
FY17	HS	UCLA	PN	Patient Dietary Assessments	2	350	B.b.09		4
FY17	HS	UCLA	PN	Travel Expenses	2	400	H.c.04		4
FY17	HS	UCLA	PN	Entertainment Expenses	2	400	H.c.03		4
FY17	HS	UCLA	PN	Compensation Agreements	2	450	H.e.01		4
FY17	HS	UCLA	PN	Nursing Volunteers	2	375	B.g.02		4
FY17	HS	UCLA	PN	Decentralized On-Boarding	2	425	J.b.04		4
FY17	HS	UCLA	PN	Leasing Costs	2	400	H.g.03		4
<b>(b) Subtotal - Planned New Audits</b>						<b>23590</b>			<b>70</b>
FY17	HS/CAM	UCLA	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		<b>1600</b>			
FY17	HS/CAM	UCLA	PNF	<b>(d) Audit Follow Up (lump sum)</b>		<b>1500</b>			
<b>Total Planned Audit Program (a+b+c+d)<sup>(1)</sup></b>						<b>26690</b>			
<b>Advisory Services:</b>									
FY17	HS/CAM	UCLA	SC	Misc SC		750			
FY17	HS/CAM	UCLA	SC	I.T. Security	1	300	G.b.07		
FY17	HS	UCLA	SC	Wounded Warrior Project/Operation Mend	2	450	L.a.07		
FY17	HS/CAM	UCLA	SE	Misc SE		2500			
FY17	HS/CAM	UCLA	SI	Misc SI		500			
FY17	HS/CAM	UCLA	SU	Misc SU		500			
FY17	HS/CAM	UCLA	SR	SR misc		1000			
<b>(e) Subtotal - Planned Advisory Services</b>						<b>6000</b>			
<b>Total Advisory Services (e+f)<sup>(1)</sup></b>						<b>6000</b>			
FY17	HS/CAM	UCLA	IN	<b>Planned Investigation Hours (lump sum)</b>		<b>1600</b>			
<b>Total Audits, Advisory Services, and Investigations</b>						<b>34290</b>			