

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk ⁽¹⁾	Planned	Primary	IT	Est. Qtr
					1, 2, 3	Hours	Index Code	Core (x)	Indicator ⁽³⁾
Planned New Audits (PNs):									
FY13	C	UCSD	PN	Information Technology Governance – Systemwide (IS)	1	200	G.a.01	G	2
FY13	C	UCSD	PN	Construction - Systemwide (Prop 1D Funded Facility)	1	150	K.a.01		3
FY13	C	UCSD	PN	Information Technology Security – San Diego Supercomputer (IS)	1	300	G.g.01	T	4
FY13	C	UCSD	PN	Youth Programs	1	250	J.b.04		2
FY13	C	UCSD	PN	Department of Theatre and Dance	1	200	A.b.01.18	I	2
FY13	C	UCSD	PN	Department of Education Studies	1	150	A.b.01.36		1
FY13	C	UCSD	PN	Geosciences Research Division (SIO)	1	200	E.c.01.25	I	3
FY13	C	UCSD	PN	Intercollegiate Athletics	1	250	M.a.01		3
FY13	C	UCSD	PN	Marketplace/Sci-Quest	1	300	H.f.04.01	A	4
FY13	C	UCSD	PN	California Student Opportunity and Access Program (Cal SOAP)	1	250	A.e.01		2
FY13	C	UCSD	PN	Travel	1	300	H.c.04		1
FY13	C	UCSD	PN	Entertainment	1	300	H.c.03		2
FY13	HS	UCSD	PN	Epic EHR – Access Management	1	250	B.b.03	A	1
FY13	HS	UCSD	PN	Pharmacy – Controlled Substances/Medication Waste Tracking and Reporting	1	300	B.b.04		2
FY13	HS	UCSD	PN	Pharmacy – 340B Drug Pricing Program Activity	1	300	B.b.04		1
FY13	HS	UCSD	PN	Meaningful Use Standards and Certification (Systemwide)	1	150	G.e.01	G	4
FY13	HS	UCSD	PN	Regulatory Oversight – Non-Licensed Clinics	1	300	B.c.02		3
FY13	HS	UCSD	PN	Electrophysiology (EP) Unit and Non-Invasive Lab (Cardiology Cost Center Reviews)	1	300	B.b.07	I	2
FY13	HS	UCSD	PN	Accounts Payable	1	250	H.c.01	X	3
FY13	HS	UCSD	PN	Medical Center Utilization Review Process	1	250	B.i.01	X	1
FY13	HS	UCSD	PN	Stericycle Waste Material Removal Management Agreement and Services	1	250	H.f.01	X	2
FY13	HS	UCSD	PN	International Service Agreements/Affiliations	1	250	H.f.01	X	4
(b) Subtotal - Planned New Audits						5,450			
FY13	C/HS	UCSD	PS	(c) Planned Supplemental Audits (lumpsum)		2,371			
FY13	C/HS	UCSD	PNF	(d) Audit Follow Up (lump sum)		1,150			
Total Planned Audit Program (a+b+c+d) ⁽¹⁾						8,971			
Planned Advisory Services:									
FY13	C	UCSD	SC	UC Path (PPS Replacement) Initiative		200	G.d.01		4
FY13	C	UCSD	SC	Review of Annual Report on Executive Compensation (AREC) (system-wide)		100	J.b.06		3
FY13	HS	UCSD	SC	Epic Revenue Cycle System Implementation		150	B.i.01	X	4
FY13	HS	UCSD	SC	Clinical Research Billing - Pilot Project Work Group		200	E.a.05	X	4
FY13	HS	UCSD	SC	Health Sciences Clinical Enterprise Cashiering System		200	H.b.02	X	2
(e) Subtotal - Planned Advisory Services						850			
Unplanned Advisory Services (by Category) ⁽²⁾									
FY13	C/HS	UCSD	SC	Unplanned SC		450			
FY13	C/HS	UCSD	SE	Unplanned SE		2,000			
FY13	C/HS	UCSD	SI	Unplanned SI		200			
FY13	C/HS	UCSD	SP	Unplanned SP		0			
FY13	C/HS	UCSD	SU	Unplanned SU		0			
FY13	C/HS	UCSD	SR	Unplanned SR		0			
(f) Subtotal - Unplanned Advisory Services						2,650			
Total Advisory Services (e+f) ⁽¹⁾						3,500			
FY13	C/HS	UCSD	IN	Planned Investigation Hours (lump sum)		4,300			
Total Audits, Advisory Services, and Investigations						16,771			

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

⁽³⁾ IT Indicators: A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review